#### CS11-711 Advanced NLP Introduction to Natural Language Processing

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Site <u>https://phontron.com/class/anlp2021/</u>

## What is NLP Anyway?

- Technology to handle human language (usually text) using computers
- Aid human-human communication (e.g. machine translation)
- Aid human-machine communication (e.g. question answering, dialog)
- Analyze/understand language (syntactic analysis, text classification, entity/relation recognition/linking)
- We now use NLP several times a day, sometimes without knowing it!

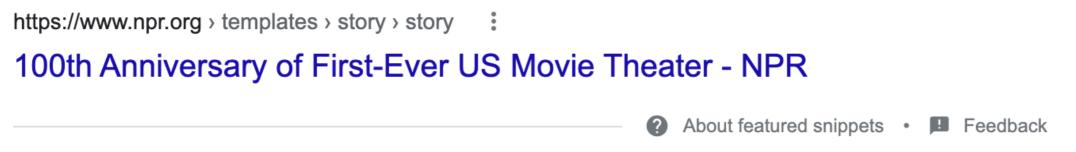
#### NLP can Answer our Questions

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#### Pittsburgh

On June 19, 1905, the Nickelodeon opened in **Pittsburgh**, **Penn**. ALEX CHADWICK, host: A hundred years ago Sunday, America's first motion picture theater opened to the public. Jun 17, 2005



Retrieved Aug. 29, 2021

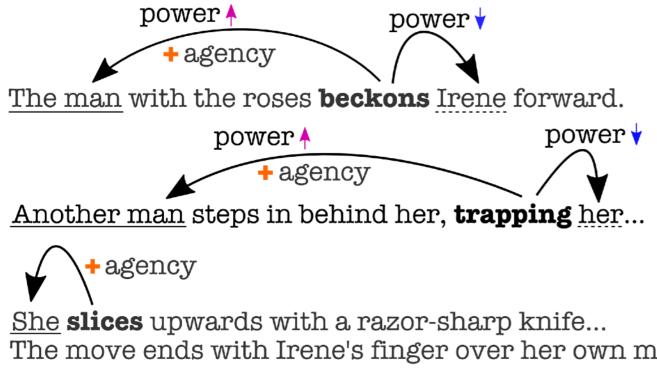
#### NLP can Translate Text

緊急事態宣言から「まん延防止等重点措 置」に移行した大阪府では、飲食店での酒 類提供が一部解禁された。ただ、提供には 府が認証する「ゴールドステッカー」の申 請が必須。申請には43項目にのぼる感染 対策をクリアする必要があり、飲食店から は「ハードルが高すぎる」との悲鳴が上 がっている。「項目が40個以上もあって 多すぎるし、ネットでの手続きも難しい。 本当に、何から何までややこしい」 In Osaka Prefecture, which has shifted from a state of emergency to "priority measures such as prevention of spread," the provision of alcoholic beverages at restaurants has been partially lifted. However, it is essential to apply for a "gold sticker" certified by the prefecture to provide it. It is necessary to clear 43 items of infection control in the application, and restaurants are screaming that the hurdle is too high. "There are more than 40 items, too many, and it is difficult to complete the procedure online. It's really complicated."

Front page news from Asahi Shimbun, translated by Google Jun 25., 2021

#### NLP can Aid Scientific Inquiry

- e.g. computational social science, answering questions about society given observational data
- example: "do movie scripts portray female or male characters with more power or agency?" [Sap+ 2017]



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The move ends with Irene's finger over her own mouth...

<u>He</u> **obeys**, eyes bulging.

Sap et al. "Connotation Frames of Power and Agency in Modern Films" EMNLP 2017.

#### NLP cannot Answer our Questions

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https://en.wikipedia.org > wiki > 2021\_Pittsburgh\_may...

#### 2021 Pittsburgh mayoral election - Wikipedia

The **2021 Pittsburgh mayoral** election is scheduled to take place on November 2, **2021**. The **primary** election was held on May 18, **2021**. Incumbent **Democratic** ...

The **2021 Pittsburgh mayoral election** is scheduled to take place on November 2, 2021. The primary election was held on May 18, 2021. Incumbent Democratic Mayor Bill Peduto ran for re-election to a third term in office, but lost renomination to state representative Ed Gainey.<sup>[1]</sup> Four Democrats and no Republicans filed to appear on their respective primary

Retrieved Aug. 29, 2021

#### NLP cannot Answer our Questions

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#### NLP cannot Translate Text

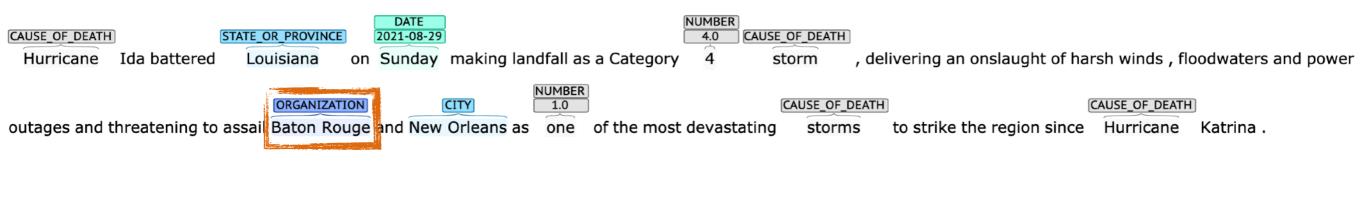
"၃၇၊ ၃၈ မႏၲေလးၿမိဳ႕ရဲ႕ ၈၄ မိန္းလမ္းမႀကီးေပါ့၊ စုတုန္းပဲ ရွိေသးတယ္ေပ့ါေနာ္ ထြက္ဖို႔အတြက္၊ အဲ့ဒါကို သူတို႔ေတြ ဘယ္ ကေန ႀကိဳတင္ သတင္းရလာတယ္ မသိဘူး၊ ခ်က္ခ်င္း ေရာက္ခ်လာၿ ပီးေတာ့ အၾကမ္းဖက္ ဝင္ေရာက္ၿဖိဳခြင္းတာေပါ့။ အတိအက်ေ တာ့ ကြၽန္ေတာ္တို႔လဲ မသိရေသးဘူး။ ၄ ေယာက္ပါသြားတယ္ လို႔လဲ ေျပာတယ္။ ၆ ေယာက္ပါသြားတယ္လို႔လဲေျပာတယ္။ ဘ ယ္ေလာက္ပါသြားလဲဆိုတာ ခုအခ်ိန္ထိ အတိအက် မသိရေသးဘူး။ တ ခ်ဳိ႕ေတြဆို ေရာက္ေတာင္ မေရာက္ၾကေသးဘူး။ စစ္ေကာင္စီဖက္က အၾကမ္းဖက္ ၿဖဳခြင္းလိုက္ေတာ့ လက္ရွိေတာ့ အမွတ္ ၆ ထဲမွာ ဖ မ္းခံထားရတယ္လို႔ အဲ့ေလာက္ပဲ သိရေသးတယ္ဗ်။"

"37," he said. 38. 84 Main Road of Mandalay. I'm still collecting. I don't know where they got the information in advance. It arrived immediately and was violently suppressed. We do not know exactly. He said four people were involved. He also said that six people were involved. It is unknown at this time what he will do after leaving the post. Some have not even arrived. He is currently being held in No. 6 after a violent crackdown by the military junta.

Front page news from Voice of America Burmese, translated by Google Jun 25., 2021

#### NLP Fails at Even Basic Tasks

#### First sentence of first article in NY Times Aug 29., 2021, recognized by Stanford CoreNLP



recognized by spaCy



## In this Class, we Ask:

- Why do current state-of-the-art NLP systems work uncannily well sometimes?
- Why do current state-of-the-art NLP systems still **fail**?
- How can we
  - create systems for various tasks,
  - identify their strengths and weaknesses,
  - make appropriate improvements,
  - and achieve whatever we want to do with NLP?

#### NLP System Building Overview

# A General Framework for NLP Systems

 Formally, create a function to map an input X (language) into an output Y. Examples:

Input X	<u>Output Y</u>	Task
Text	Text in Other Language	Translation
Text	Response	Dialog
Text	Label	Text Classification
Text	Linguistic Structure	Language Analysis

- To create such a system, we can use
  - Manual creation of rules
  - Machine learning from paired data  $\langle X, Y \rangle$

## Train, Development, Test

• When creating a system, use three sets of data



**Training Set:** Generally larger dataset, used during system design, creation, and learning of parameters.

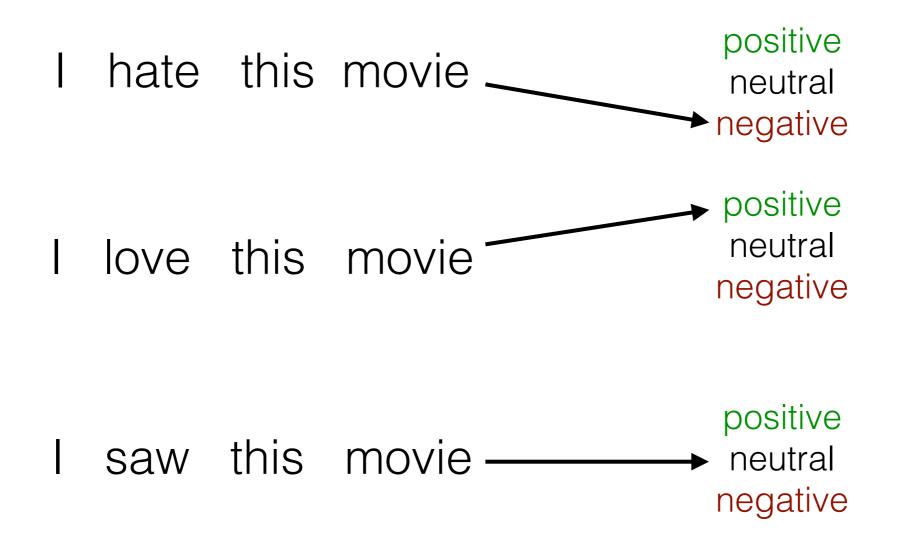
**Development ("dev", "validation") Set:** Smaller dataset for testing different design decisions ("hyper-parameters").

**Test Set:** Dataset reflecting the final test scenario, do not use for making design decisions.

## Let's Make a Rule-based NLP System!

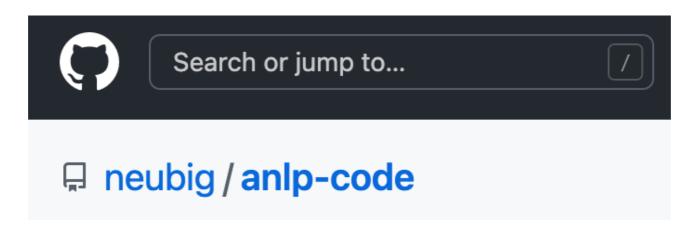
#### Example Task: Review Sentiment Analysis

Given a review on a reviewing web site (X), decide whether its label (Y) is positive (1), negative (-1) or neutral (0)



#### Let's Look at Data

#### https://github.com/neubig/anlp-code



data/sst-sentiment-text-threeclass

• Remember: look at "train", not "dev" or "test"

#### A Three-step Process for Making Predictions

- Feature extraction: Extract the salient features for making the decision from text
- Score calculation: Calculate a score for one or more possibilities
- Decision function: Choose one of the several possibilities

## Formally

- Feature Extraction:  $\mathbf{h} = f(\mathbf{x})$
- Score Calculation: binary, multi-class

$$s = \mathbf{w} \cdot \mathbf{h} \quad \mathbf{s} = W\mathbf{h}$$

• **Decision:**  $\hat{y} = \text{decide}(\mathbf{s})$ 

#### Sentiment Classification Code Walk!

https://github.com/neubig/anlp-code/tree/main/01-rulebasedclassifier

- See code for all major steps:
  - 1. Featurization
  - 2. Scoring
  - 3. Decision rule
  - 4. Accuracy calculation
  - 5. Error analysis

## Now Let's Improve!

- What's going wrong with my system?
   → Look at error analysis
- 2. Modify the model (featurization or scoring function)
- 3. Measure accuracy improvements, accept/reject change
- 4. Repeat from 1
- 5. Finally, when satisfied with train/dev accuracy, evaluate on test!

#### Some Difficult Cases

## Low-frequency Words

The action switches between past and present, but the material link is too **tenuous** to anchor the emotional connections that **purport** to span a 125-year divide. negative

Here 's yet another studio horror franchise **mucking** up its storyline with **glitches** casual fans could correct in their sleep. negative

**Solution?:** Keep working till we get all of them? Incorporate external resources such as sentiment dictionaries?

## Conjugation

An operatic, sprawling picture that 's **entertainingly** acted, magnificently shot and gripping enough to sustain most of its 170-minute length. positive

It 's basically an **overlong** episode of Tales from the Crypt . negative

**Solution?:** Use the root form and POS of word?

**Note:** Would require morphological analysis.

## Negation

This one is not nearly as dreadful as expected . positive

Serving Sara does n't serve up a whole lot of laughs. negative

**Solution?:** If a negation modifies a word, disregard it.

Note: Would probably need to do syntactic analysis.

## Metaphor, Analogy

Puts a human face on a land most Westerners are unfamiliar with. positive

Green might want to hang onto that ski mask, as robbery may be the only way to pay for his next project. negative

> Has all the depth of a wading pool. negative

> > Solution?: ???

## Other Languages

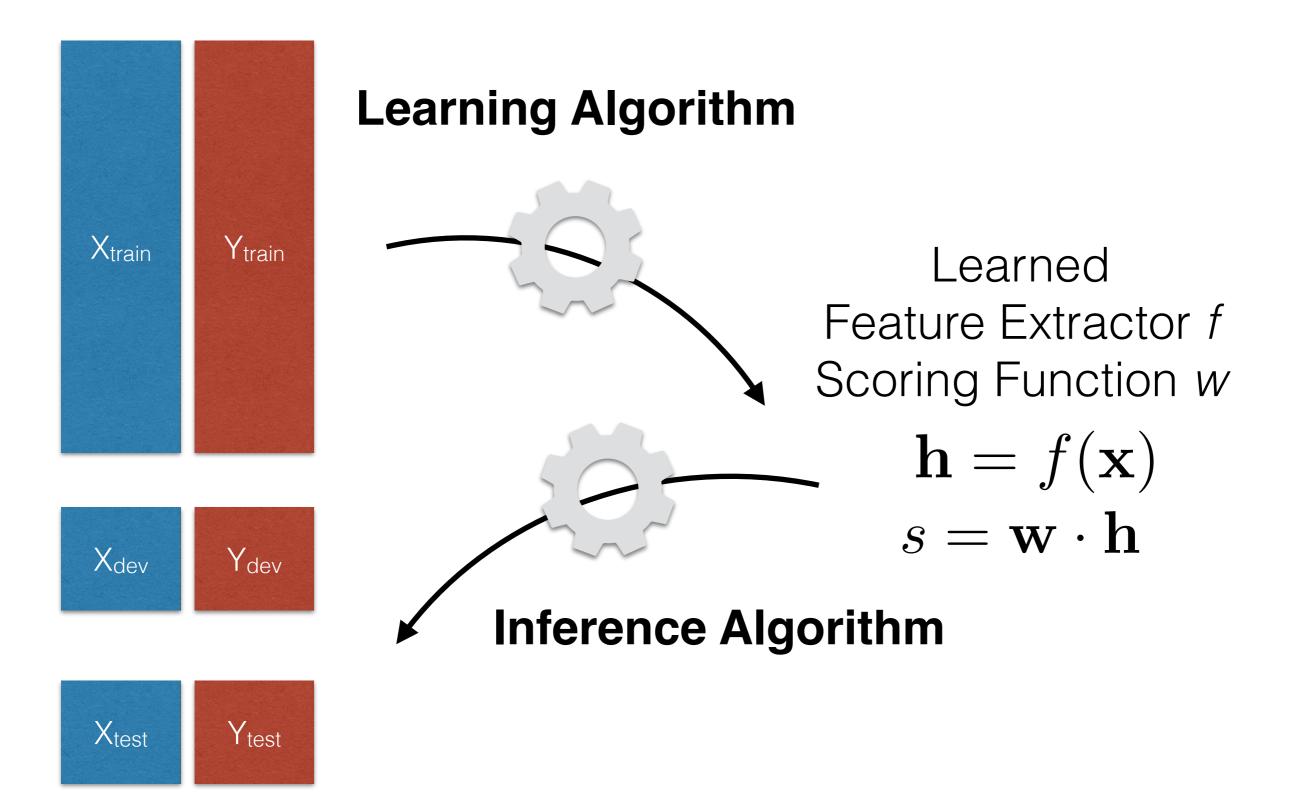
#### 見事に視聴者の心を掴む作品でした。 positive

#### モンハンの名前がついてるからとりあえずモンハン要素を ちょこちょこ入れればいいだろ感が凄い。 negative

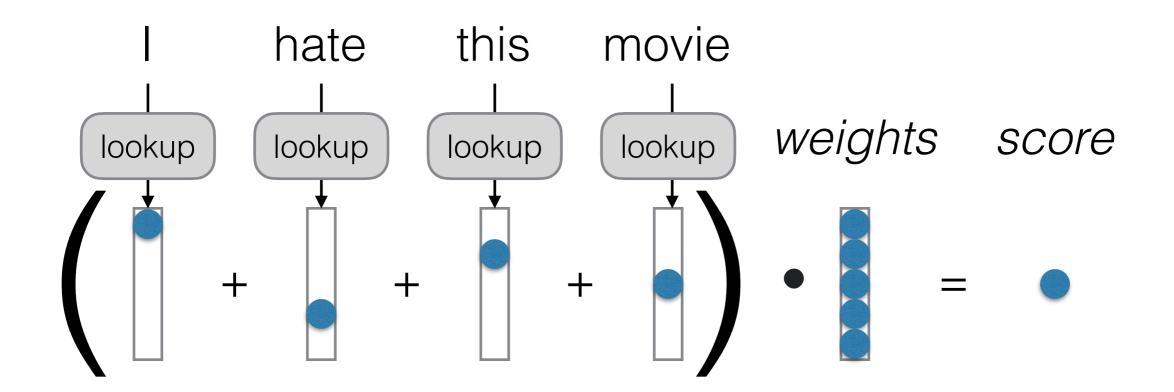
Solution?: Learn Japanese?

#### Machine Learning Based NLP

## Machine Learning

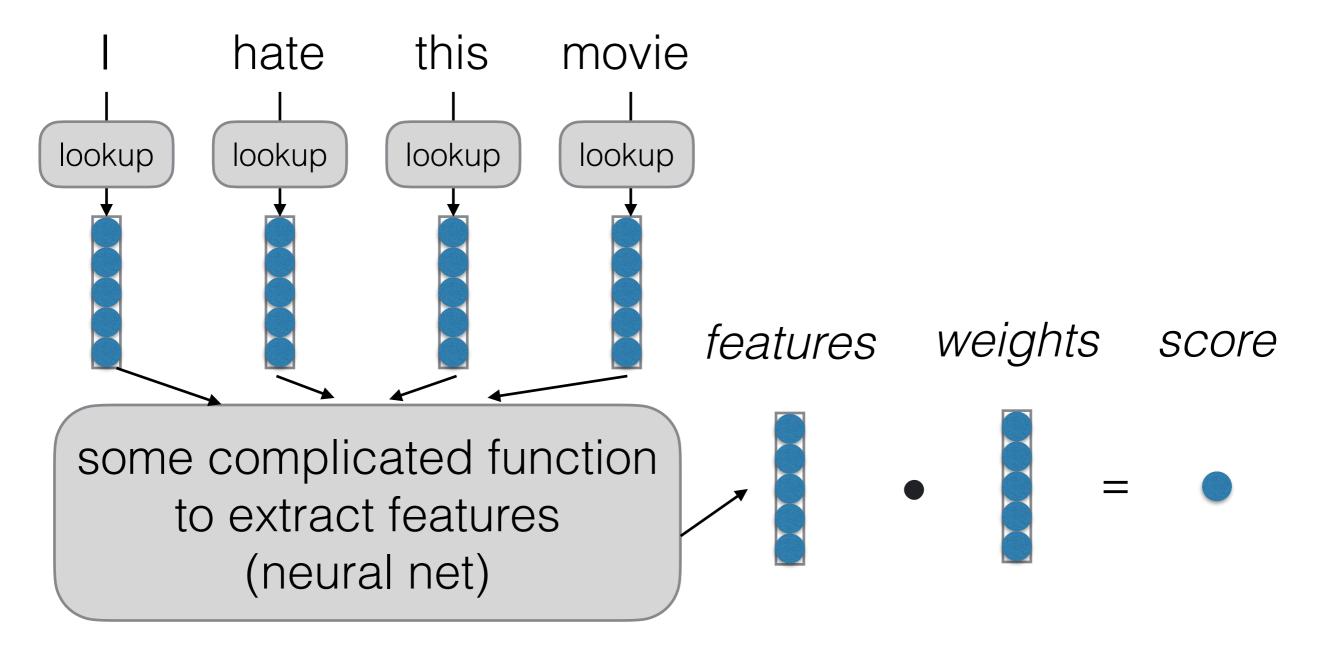


#### A First Attempt: Bag of Words (BOW)



Features *f* are based on word identity, weights *w* learned Which problems mentioned before would this solve?

#### A Better Attempt: Neural Network Models

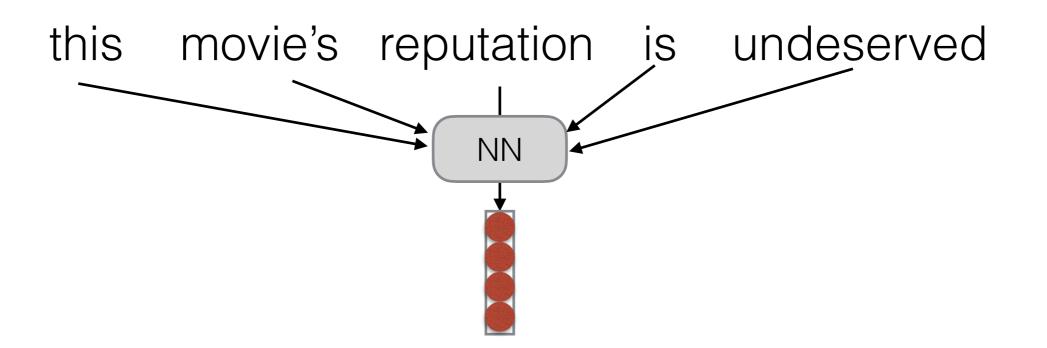


#### Class Goals

- Learn in detail about building NLP systems from a research perspective
- Learn basic and advanced topics in machine learning and neural network approaches to NLP
- Learn basic linguistic knowledge useful in NLP, and learn methods to analyze linguistic structure
- See several case studies of NLP applications and learn how to identify unique problems for each
- Learn how to debug when and where NLP systems fail, and build improvements based on this

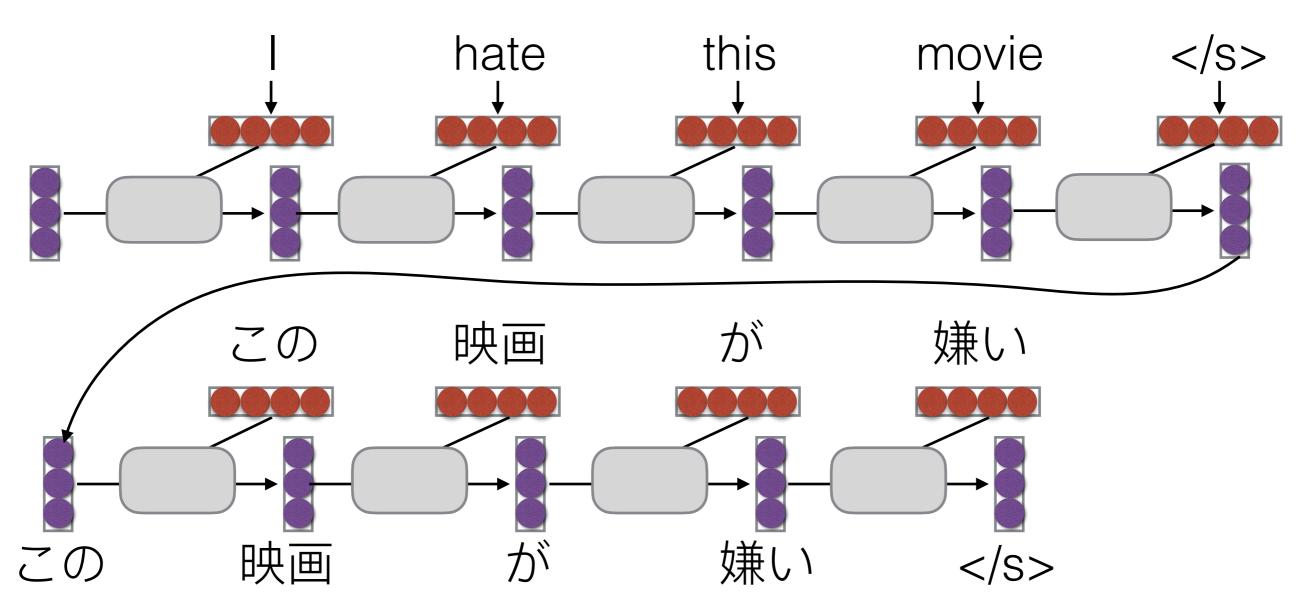
#### Roadmap Going Forward

#### Topic 1: Machine Learning and Neural Net Fundamentals



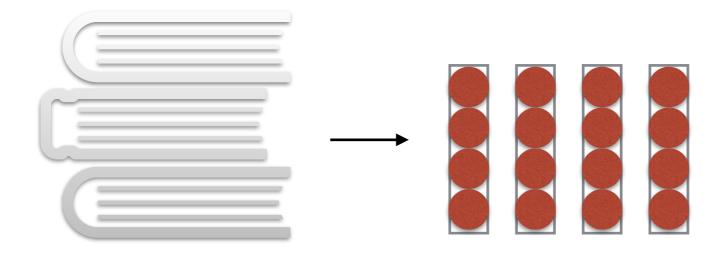
- Text Classification and ML Fundamentals
- Neural Network Basics and Toolkit Construction
- Language Modeling and NN Training Tricks

#### Topic 2: Sequence Models



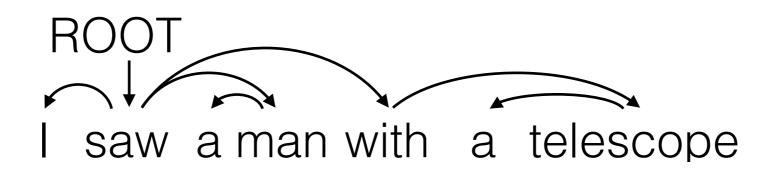
- Recurrent Networks
- Sequence Labeling
- Conditioned Generation
- Attention

#### Topic 4: Representation and Pre-training



- Transfer Learning
- Pre-training Methods
- Sequence-to-sequence Pre-training and Prompting
- Interpreting and Debugging NLP Models

#### Topic 4: Natural Language Analysis

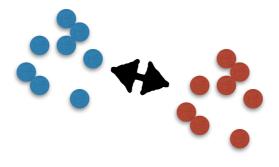


- Word Segmentation and Morphology
- Syntactic Parsing
- Semantic Parsing
- Discourse Structure and Analysis

# Topic 5: NLP Applications

- Machine Reading QA
- Dialog
- Computational Social Science, Bias and Fairness
- Information Extraction and Knowledge-based QA

#### Topic 6: Advanced Learning Techniques



- Long Sequence Models
- Structured Learning Algorithms
- Latent Variable Models
- Adversarial Methods

Class Format/Structure

#### Class Delivery Format: In Person Rotation

- Class split into Tuesday group and Thursday group
- On your day, you are encouraged to come in person
- On the other day, you are encouraged to join synchronously via zoom if possible
- Class will be recorded for review

## Class Content Format

- Before class: For some classes, do recommended reading
- During class:
  - Lecture/Discussion: Go through material and discuss
  - *Code/Data Walk:* The TAs (or instructor) will sometimes walk through some demonstration code, data, or model predictions
- After class: Do quiz about class or reading material

## Assignments

- Assignment 1 Build-your-own Neural Network Toolkit: Individually implement some parts of a neural network
- Assignment 2 Text Classifier / Questionnaire: *Individually* implement a text classifier and fill in questionnaire on topics of interest
- Assignment 3 SOTA Survey / Re-implementation: Reimplement and reproduce results from a recently published NLP paper
- Assignment 4 Final Project: Perform a unique project that either (1) improves on state-of-the-art, or (2) applies NLP models to a unique task. Present a poster and write a report.

#### Instructors

#### • Instructor:

- Graham Neubig (most lectures)
- Robert Frederking (esp. natural language analysis)

#### · TAs:

- Hao Zhu (pragmatics)
- Shuyan Zhou (natural language command and control)
- Zhisong Zhang (syntax and shallow semantic analysis)
- Frank F. Xu (natural language to code generation)
- Shikib Mehri (dialogue)
- Brendon Boldt (emergent communication)
- Piazza: <u>http://piazza.com/cmu/fall2021/cs11711/home</u>

#### Thanks, Any Questions?