#### CS11-711 Advanced NLP

# Introduction to Natural Language Processing

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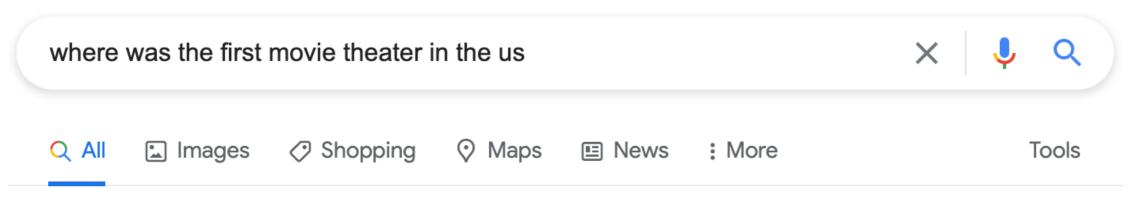
Language Technologies Institute

Site <a href="https://phontron.com/class/anlp2022/">https://phontron.com/class/anlp2022/</a>

### What is NLP Anyway?

- Technology to handle human language (usually text) using computers
- Aid human-human communication (e.g. machine translation)
- Aid human-machine communication (e.g. question answering, dialog)
- Analyze/understand language (syntactic analysis, text classification, entity/relation recognition/linking)
- We now use NLP several times a day, sometimes without knowing it!

#### NLP can Answer our Questions



About 659,000,000 results (0.87 seconds)

#### Pittsburgh

On June 19, 1905, the Nickelodeon opened in **Pittsburgh, Penn**. ALEX CHADWICK, host: A hundred years ago Sunday, America's first motion picture theater opened to the public.

Jun 17, 2005

https://www.npr.org > templates > story > story

100th Anniversary of First-Ever US Movie Theater - NPR



Retrieved Aug. 29, 2021

#### NLP can Translate Text

緊急事態宣言から「まん延防止等重点措 置」に移行した大阪府では、飲食店での酒 類提供が一部解禁された。ただ、提供には 府が認証する「ゴールドステッカー」の申 請が必須。申請には43項目にのぼる感染 対策をクリアする必要があり、飲食店から は「ハードルが高すぎる」との悲鳴が上 がっている。「項目が40個以上もあって多 すぎるし、ネットでの手続きも難しい。本 当に、何から何までややこしい」

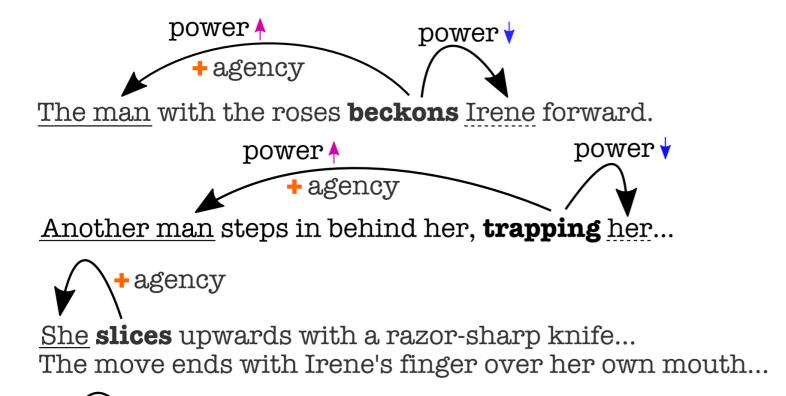
In Osaka Prefecture, which has shifted from a state of emergency to "priority measures such as prevention of spread," the provision of alcoholic beverages at restaurants has been partially lifted.

However, it is essential to apply for a "gold sticker" certified by the prefecture to provide it. It is necessary to clear 43 items of infection control in the application, and restaurants are screaming that the hurdle is too high. "There are more than 40 items, too many, and it is difficult to complete the procedure online. It's really complicated."

Front page news from Asahi Shimbun, translated by Google Jun 25., 2021

#### NLP can Aid Scientific Inquiry

- e.g. computational social science, answering questions about society given observational data
- example: "do movie scripts portray female or male characters with more power or agency?" [Sap+ 2017]



He obeys, eyes bulging.

Frame	eta	gender
agency(AG) = +	-0.951	M**
$power({ t AG}{>}{ t TH})$	-0.468	$\mathbf{M}^{**}$
agency(AG) = -	0.277	$\mathbf{F}^{**}$
$power({ t AG}{< t TH})$	not sig.	

Sap et al. "Connotation Frames of Power and Agency in Modern Films" EMNLP 2017.

#### NLP cannot Answer our Questions

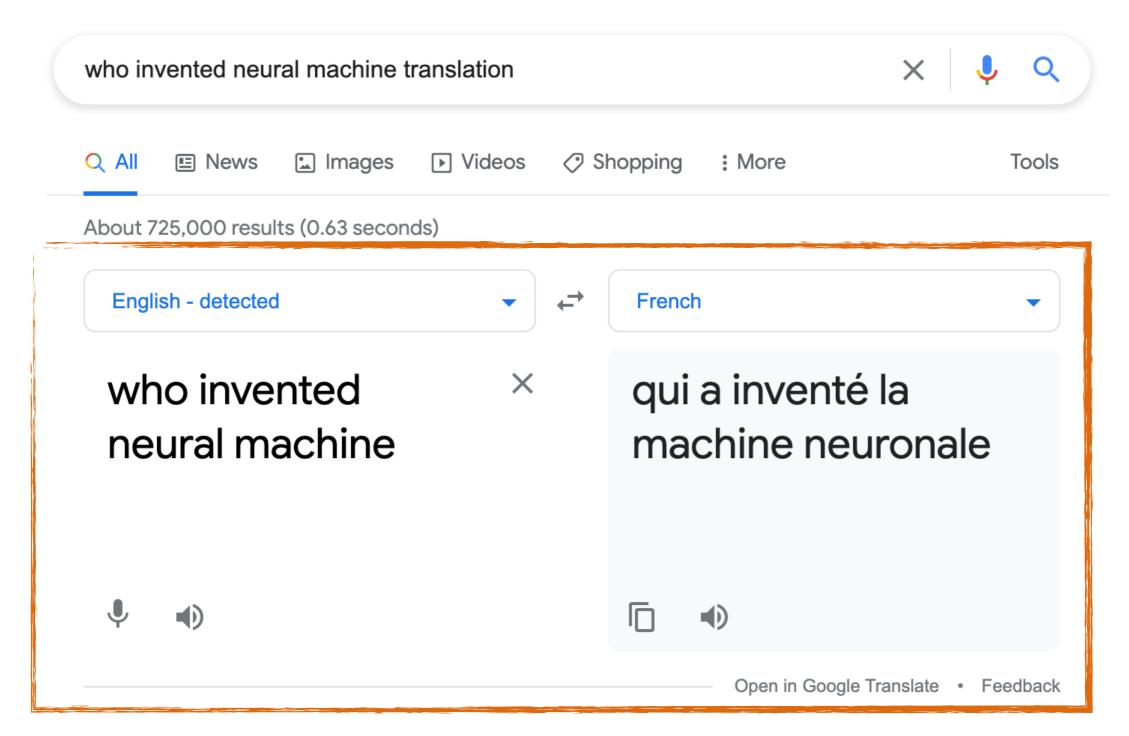


The 2021 Pittsburgh mayoral election is scheduled to take place on November 2, 2021. The primary election was held on May 18, 2021. Incumbent Democratic ...



The 2021 Pittsburgh mayoral election is scheduled to take place on November 2, 2021. The primary election was held on May 18, 2021. Incumbent Democratic Mayor Bill Peduto ran for re-election to a third term in office, but lost renomination to state representative Ed Gainey.[1] Four Democrats and no Republicans filed to appear on their respective primary

#### NLP cannot Answer our Questions



Checked Aug. 7, 2022

#### NLP cannot Translate Text

بەلام 3 توپزەر بە سەرۆكايەتى زاناي سەربەخۆي بەبەردبوو گریگۆری پاول له شاری بالتیمۆر له ویلایهتی میریلاند له مانگی 3ی وهک سی T. rex ساڵی ۲۰۲۲دا ئاماژهیان بهوه کرد که پیّویسته جۆر بناسريت

که به واتای "پاشای مارمیلکهی درنده" دیّت، T. rex جگه له جوّری سەربارى ئەوە 2 جۆرى تريان يېشىنيار كرد

به واتای "ئیمپراتۆری مارمیلکهی درنده دیّت T. imperator

به واتای "شاژنی مارمیّلکهی درنده T. regina

In addition to the T. rex species, which means "king of ferocious lizards", they also proposed two other species.

However, three researchers, led by

independent fossil scientist Gregory Paul of

Baltimore, Maryland, argued in March 2022

that T. rex should be recognized as three

species.

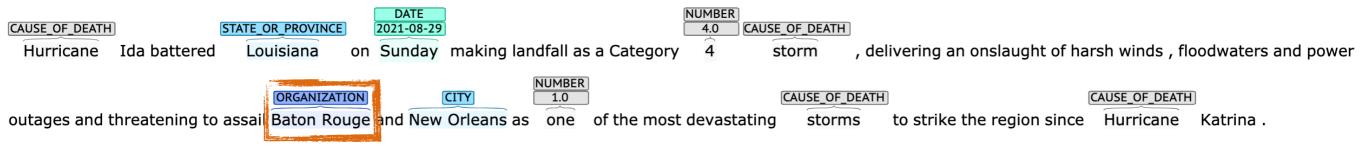
T. imperator means "emperor of the savage lizard

T. regina means "Queen of the ferocious snail."

Front page news from Voice of America Kurdish, translated by Google Aug 7., 2022

#### NLP Fails at Even Basic Tasks

First sentence of first article in NY Times Aug 29., 2021, recognized by Stanford CoreNLP



#### recognized by spaCy



#### In this Class, we Ask:

- Why do current state-of-the-art NLP systems work uncannily well sometimes?
- Why do current state-of-the-art NLP systems still fail?
- How can we
  - create systems for various tasks,
  - identify their strengths and weaknesses,
  - make appropriate improvements,
  - and achieve whatever we want to do with NLP?

# NLP System Building Overview

# A General Framework for NLP Systems

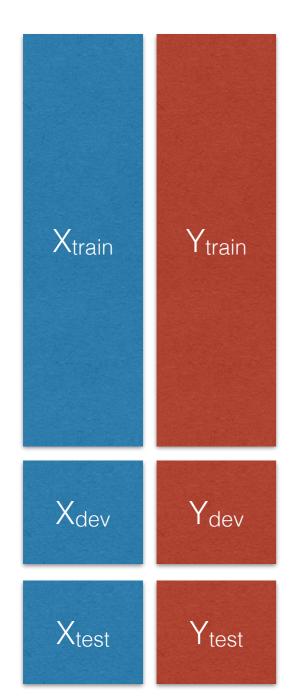
 Formally, create a function to map an input X (language) into an output Y. Examples:

Input X	Output Y	Task
Text	Text in Other Language	Translation
Text	Response	Dialog
Text	Label	Text Classification
Text	Linguistic Structure	Language Analysis

- To create such a system, we can use
  - Manual creation of rules
  - Machine learning from paired data  $\langle X, Y \rangle$

### Train, Development, Test

When creating a system, use three sets of data



**Training Set:** Generally larger dataset, used during system design, creation, and learning of parameters.

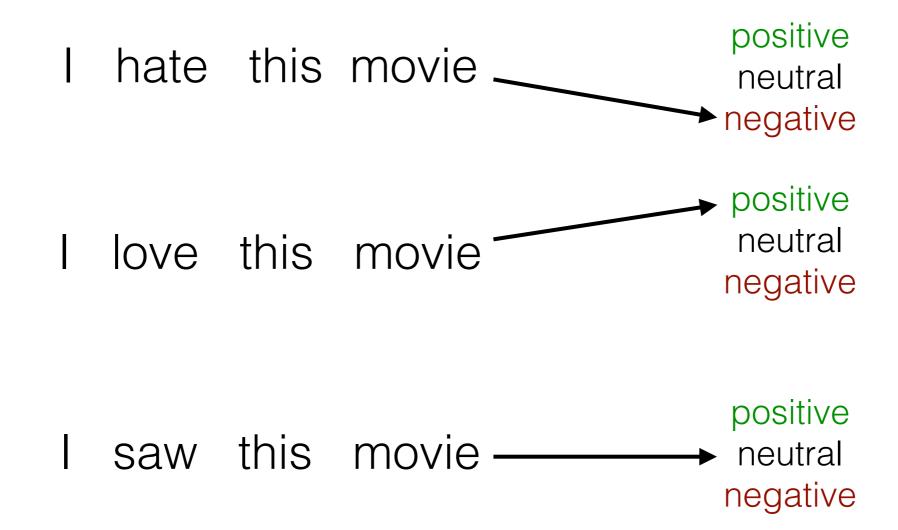
Development ("dev", "validation") Set: Smaller dataset for testing different design decisions ("hyper-parameters").

**Test Set:** Dataset reflecting the final test scenario, do not use for making design decisions.

# Let's Make a Rule-based NLP System!

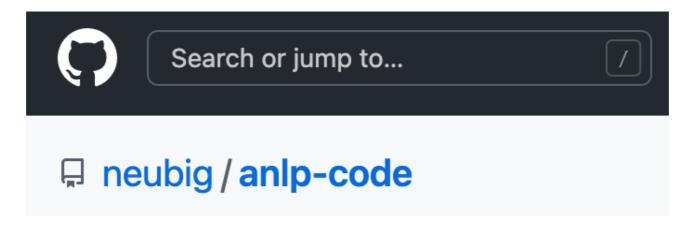
#### Example Task: Review Sentiment Analysis

Given a review on a reviewing web site (X), decide whether its label (Y) is positive (1), negative (-1) or neutral (0)



#### Let's Look at Data

https://github.com/neubig/anlp-code



data/sst-sentiment-text-threeclass

Remember: look at "train", not "dev" or "test"

# A Three-step Process for Making Predictions

- Feature extraction: Extract the salient features for making the decision from text
- Score calculation: Calculate a score for one or more possibilities
- Decision function: Choose one of the several possibilities

### Formally

- Feature Extraction:  $\mathbf{h} = f(\mathbf{x})$
- · Score Calculation: binary, multi-class

$$s = \mathbf{w} \cdot \mathbf{h} \quad \mathbf{s} = W\mathbf{h}$$

• Decision:  $\hat{y} = \text{decide}(\mathbf{s})$ 

# Sentiment Classification Code Walk!

https://github.com/neubig/anlp-code/tree/main/01-rulebasedclassifier

- See code for all major steps:
  - 1. Featurization
  - 2. Scoring
  - 3. Decision rule
  - 4. Accuracy calculation
  - 5. Error analysis

### Now Let's Improve!

- 1. What's going wrong with my system?
  - → Look at error analysis
- 2. Modify the model (featurization or scoring function)
- 3. Measure accuracy improvements, accept/reject change
- 4. Repeat from 1
- 5. Finally, when satisfied with train/dev accuracy, evaluate on test!

#### Some Difficult Cases

## Low-frequency Words

The action switches between past and present, but the material link is too **tenuous** to anchor the emotional connections that **purport** to span a 125-year divide.

negative

Here 's yet another studio horror franchise **mucking** up its storyline with **glitches** casual fans could correct in their sleep.

negative

**Solution?:** Keep working till we get all of them? Incorporate external resources such as sentiment dictionaries?

# Conjugation

An operatic, sprawling picture that 's **entertainingly** acted, **magnificently** shot and gripping enough to sustain most of its 170-minute length.

positive

It 's basically an **overlong** episode of Tales from the Crypt.

negative

**Solution?:** Use the root form and POS of word?

Note: Would require morphological analysis.

### Negation

This one is not nearly as dreadful as expected.

positive

Serving Sara does n't serve up a whole lot of laughs.

negative

Solution?: If a negation modifies a word, disregard it.

Note: Would probably need to do syntactic analysis.

### Metaphor, Analogy

Puts a human face on a land most Westerners are unfamiliar with.

positive

Green might want to hang onto that ski mask, as robbery may be the only way to pay for his next project.

negative

Has all the depth of a wading pool.

negative

Solution?: ???

### Other Languages

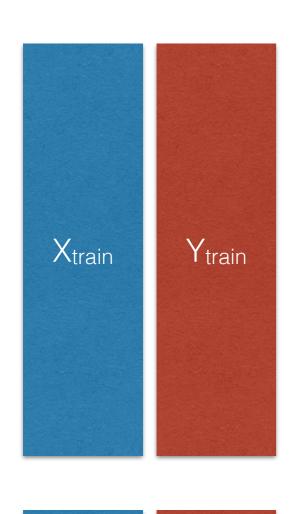
見事に視聴者の心を掴む作品でした。 positive

モンハンの名前がついてるからとりあえずモンハン要素を ちょこちょこ入れればいいだろ感が凄い。 negative

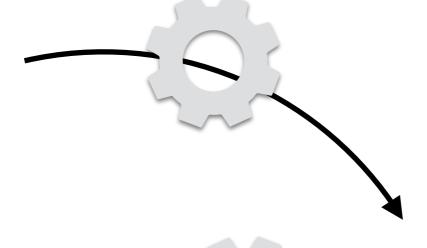
Solution?: Learn Japanese?

# Machine Learning Based NLP

### Machine Learning



#### **Learning Algorithm**



Learned
Feature Extractor *f*Scoring Function *w* 



$$\mathbf{h} = f(\mathbf{x})$$
$$s = \mathbf{w} \cdot \mathbf{h}$$

**Inference Algorithm** 

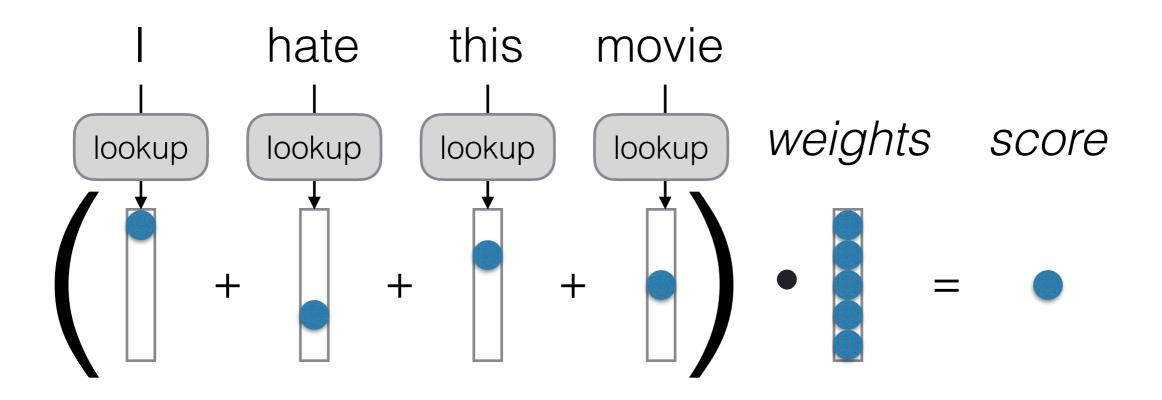


 $X_{dev}$ 



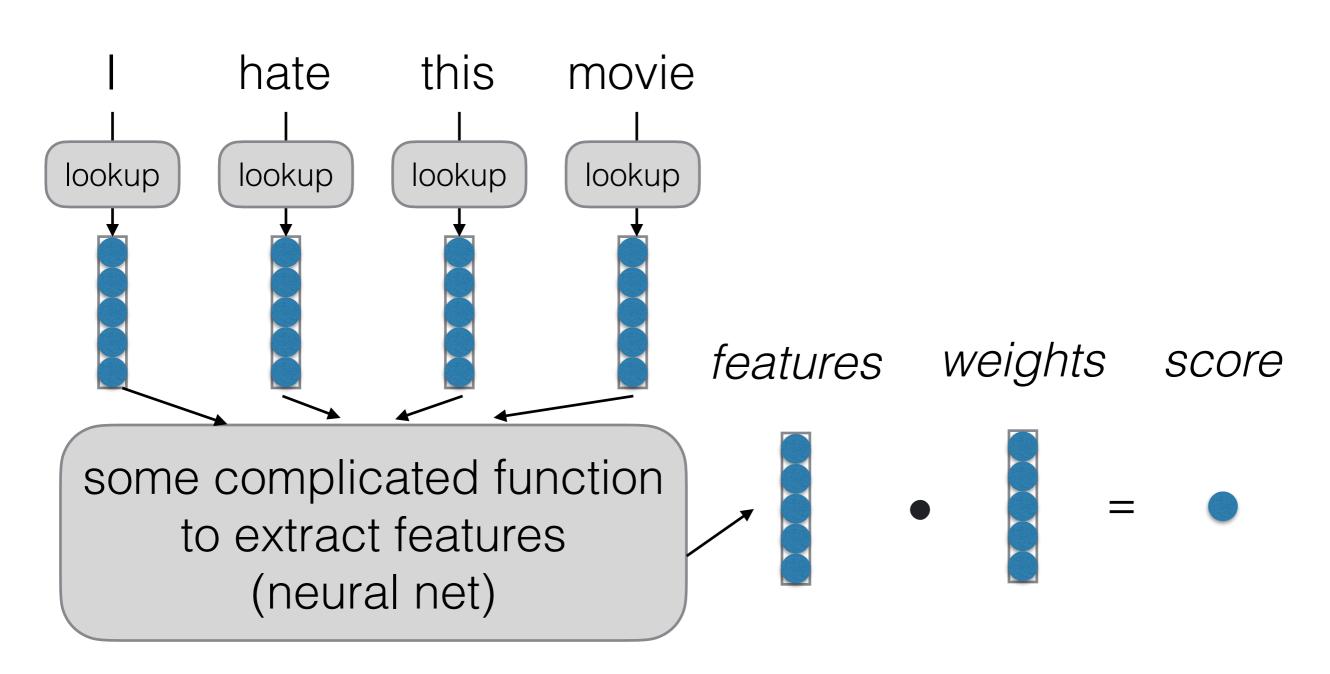
 $Y_{\text{dev}}$ 

#### A First Attempt: Bag of Words (BOW)



Features *f* are based on word identity, weights *w* learned Which problems mentioned before would this solve?

#### A Better Attempt: Neural Network Models

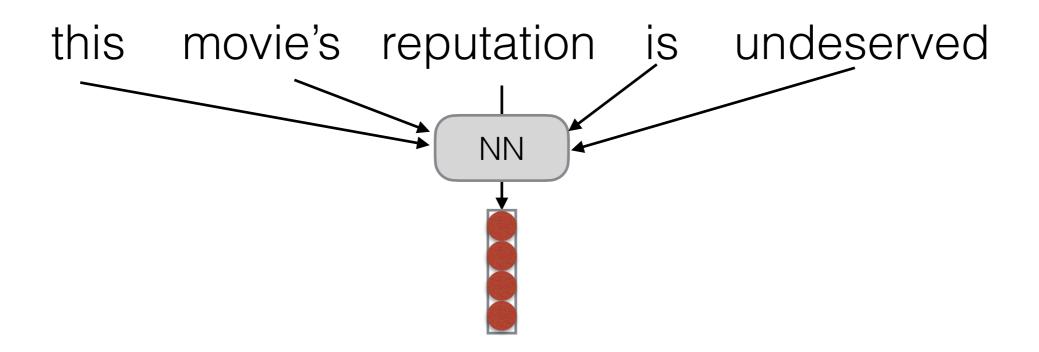


#### Class Goals

- Learn in detail about building NLP systems from a research perspective
- Learn basic and advanced topics in machine learning and neural network approaches to NLP
- Learn basic linguistic knowledge useful in NLP, and learn methods to analyze linguistic structure
- See several case studies of NLP applications and learn how to identify unique problems for each
- Learn how to debug when and where NLP systems fail, and build improvements based on this

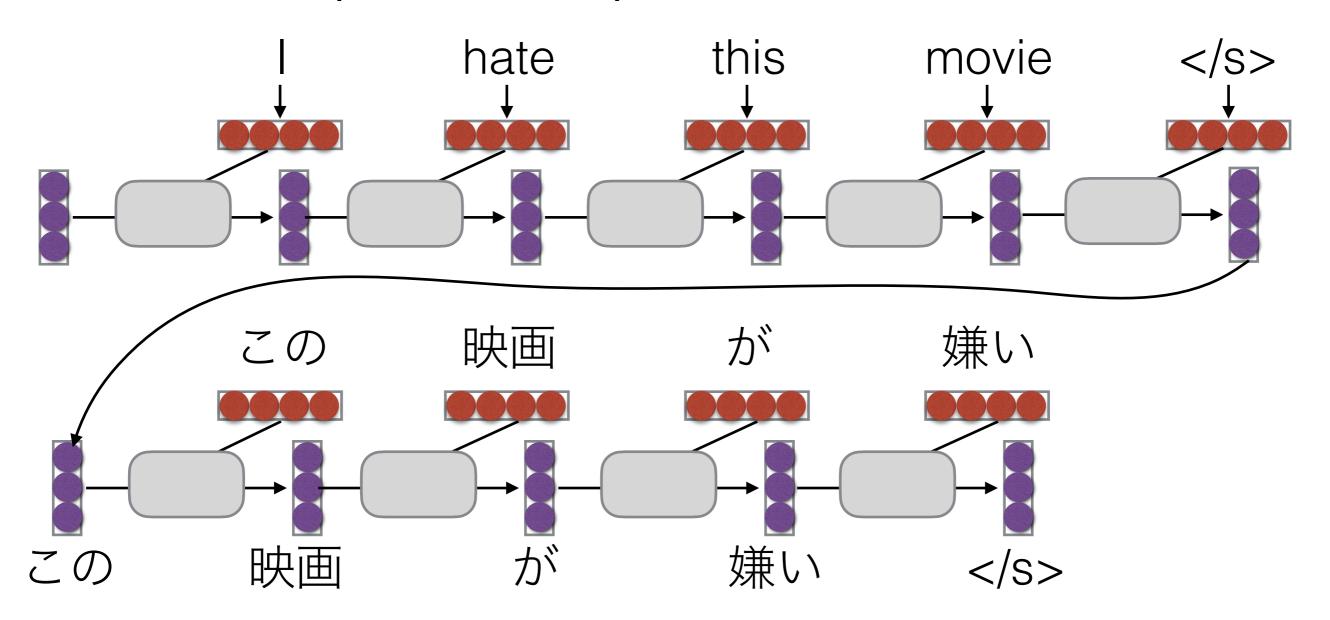
### Roadmap Going Forward

# Topic 1: Machine Learning and Neural Net Fundamentals



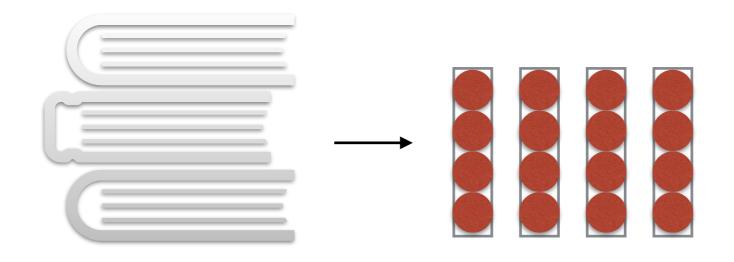
- Text Classification and ML Fundamentals
- Neural Network Basics and Toolkit Construction
- Language Modeling and NN Training Tricks

#### Topic 2: Sequence Models



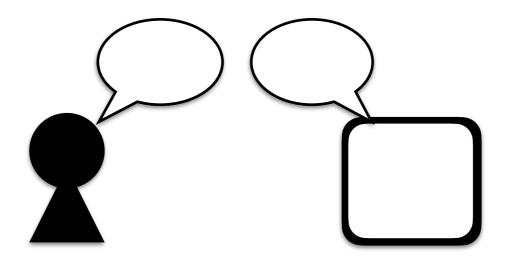
- Recurrent Networks
- Sequence Labeling
- Conditioned Generation
- Attention

# Topic 3: Representation and Pre-training



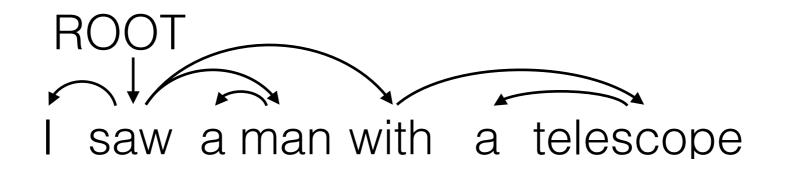
- Pre-training Methods
- Multi-task Learning
- Interpreting and Debugging NLP Models

#### Topic 4: NLP Applications



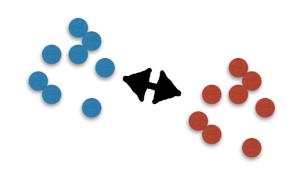
- Machine Reading QA
- Dialog
- Computational Social Science, Bias and Fairness
- Information Extraction and Knowledge-based QA

#### Topic 5: Natural Language Analysis



- Word Segmentation and Morphology
- Syntactic Parsing
- Semantic Parsing
- Discourse Structure and Analysis

#### Topic 6: Advanced Learning Techniques



- Long Sequence Models
- Structured Learning Algorithms
- Latent Variable Models
- Adversarial Methods

#### Class Format/Structure

#### Class Delivery Format: In Person Rotation

- Class split into Tuesday group and Thursday group
- On your day, you are encouraged to come in person
- On the other day, you are encouraged to join synchronously via zoom (but may also come in person if space allows)
- Class will be recorded for review

#### Class Content Format

- Before class: For some classes, do recommended reading
- During class:
  - Lecture/Discussion: Go through material and discuss
  - Code/Data Walk: The TAs (or instructor) will sometimes walk through some demonstration code, data, or model predictions
- After class: Do quiz about class or reading material

## Assignments

- Assignment 1 Build-your-own BERT: Individually implement BERT model loading and training
- Assignment 2 NLP Task from Scratch: In a team, perform data creation, modeling, and evaluation for a specified task
- Assignment 3 SOTA Survey / Re-implementation:
   Survey literature, re-implement and reproduce results from a recently published NLP paper
- Assignment 4 Final Project: Perform a unique project that either (1) improves on state-of-the-art, or (2) applies NLP models to a unique task. Present a poster and write a report.

#### Instructors

#### Instructor:

- Graham Neubig (most lectures)
- Robert Frederking (esp. natural language analysis)

#### · TAs:

- 10 wonderful TAs, see details on Piazza!
- Piazza: <a href="http://piazza.com/cmu/fall2022/cs11711/">http://piazza.com/cmu/fall2022/cs11711/</a>
   home

# Thanks, Any Questions?