### CS11-747 Neural Networks for NLP Parsing with Dynamic Programming

Graham Neubig



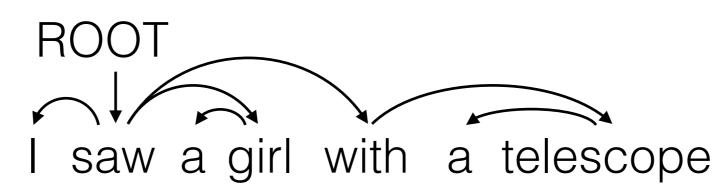
Carnegie Mellon University

Language Technologies Institute

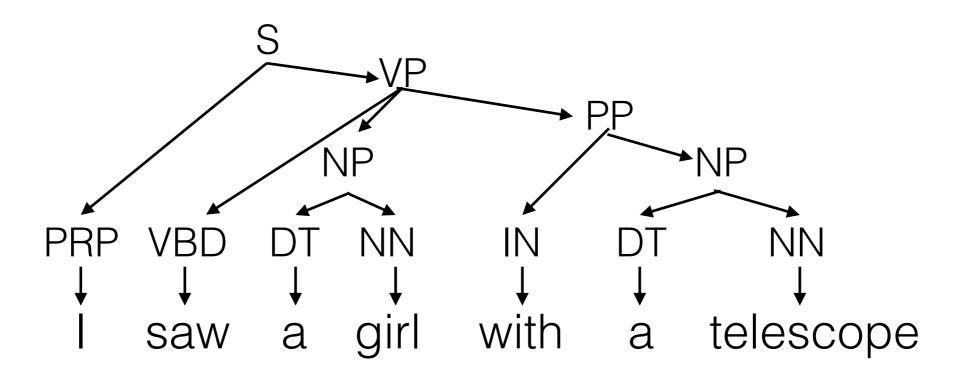
Site <u>https://phontron.com/class/nn4nlp2017/</u>

## Two Types of Linguistic Structure

• **Dependency:** focus on relations between words



• Phrase structure: focus on the structure of the sentence



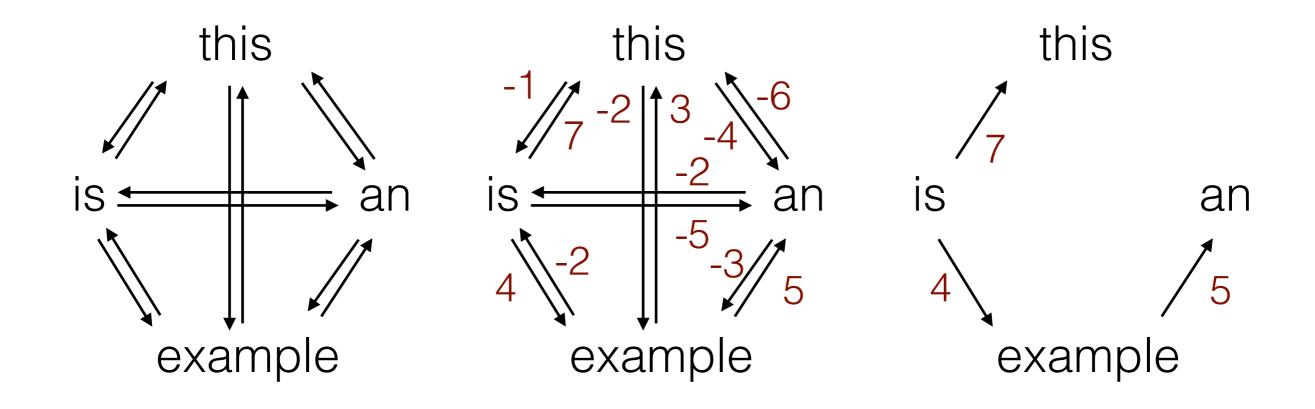
# Parsing

- Predicting linguistic structure from input sentence
- Transition-based models
  - step through actions one-by-one until we have output
  - like history-based model for POS tagging
- Dynamic programming-based models
  - calculate probability of each edge/constituent, and perform some sort of dynamic programming
  - like linear CRF model for POS

## Minimum Spanning Tree Parsing Models

## (First Order) Graph-based Dependency Parsing

- Express sentence as fully connected directed graph
- Score each edge independently
- Find maximal spanning tree



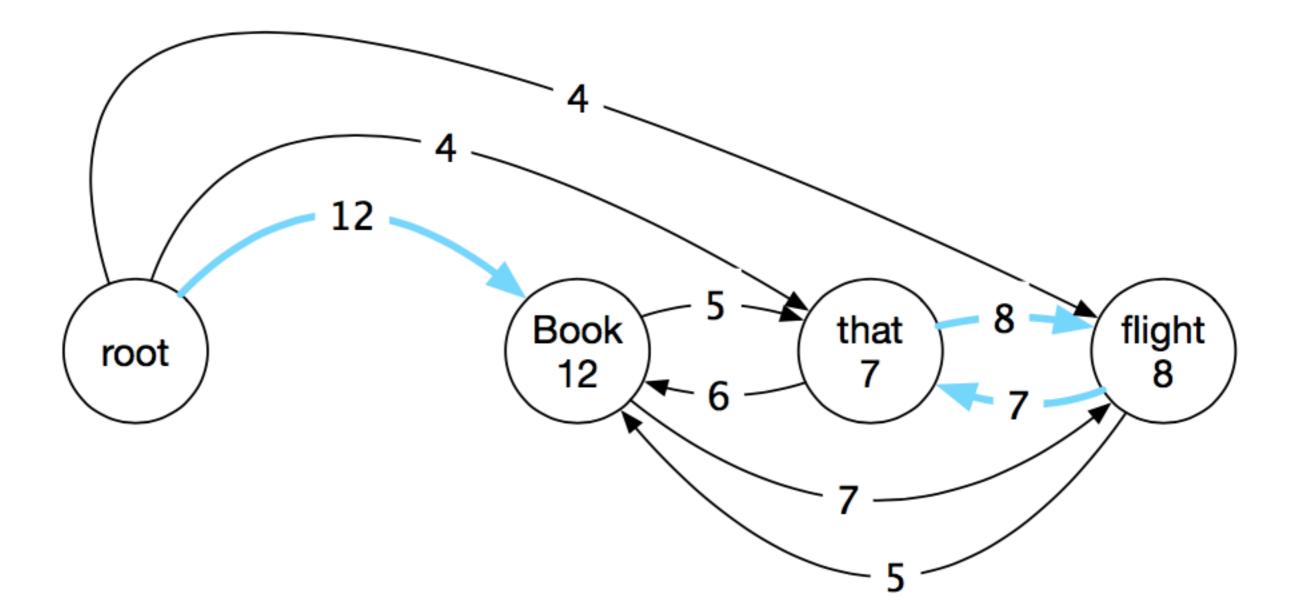
## Graph-based vs. Transition Based

- Transition-based
  - + Easily condition on infinite tree context (structured prediction)
  - - Greedy search algorithm causes short-term mistakes
- · Graph-based
  - + Can find exact best global solution via DP algorithm
  - - Have to make local independence assumptions

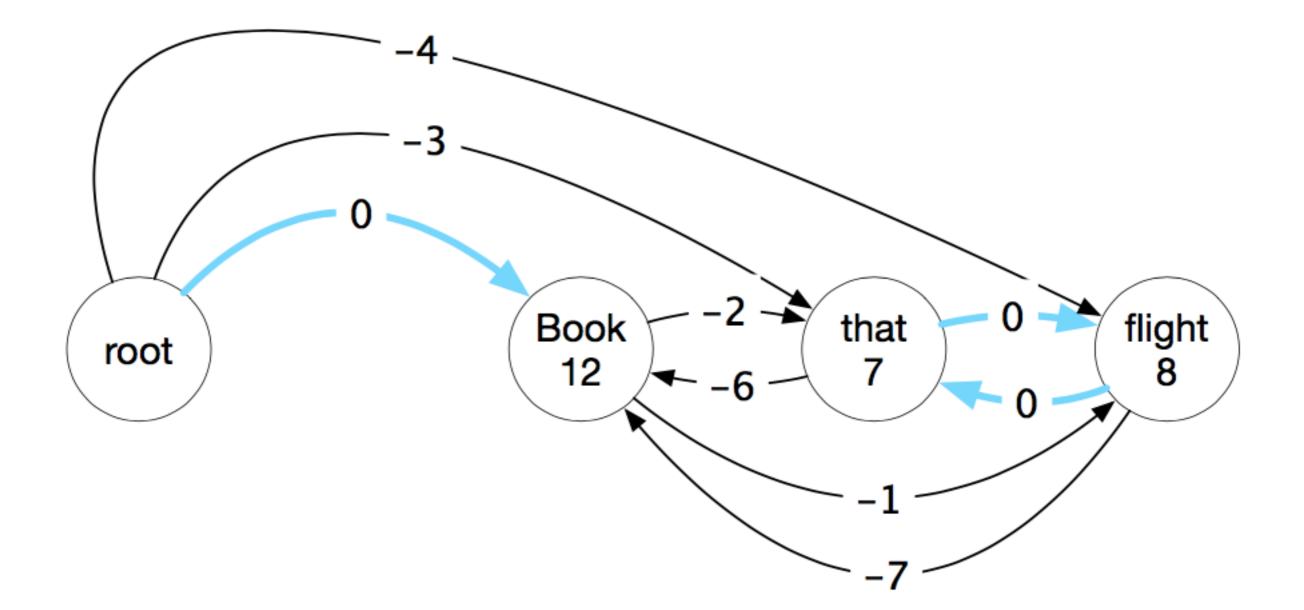
### Chu-Liu-Edmonds (Chu and Liu 1965, Edmonds 1967)

- We have a graph and want to find its spanning tree
- **Greedily select** the best incoming edge to each node (and subtract its score from all incoming edges)
- If there are cycles, select a cycle and contract it into a single node
- Recursively call the algorithm on the graph with the contracted node
- **Expand** the contracted node, deleting an edge appropriately

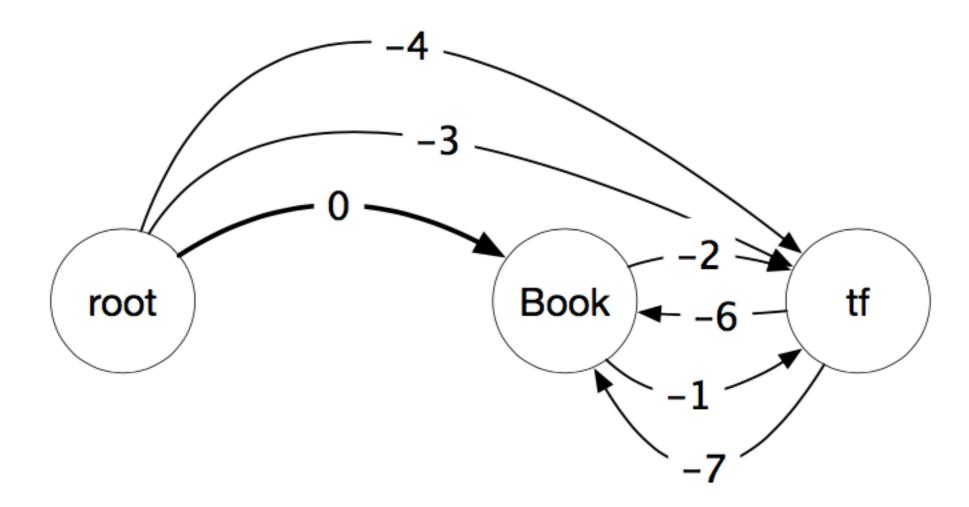
## Chu-Liu-Edmonds (1): Find the Best Incoming



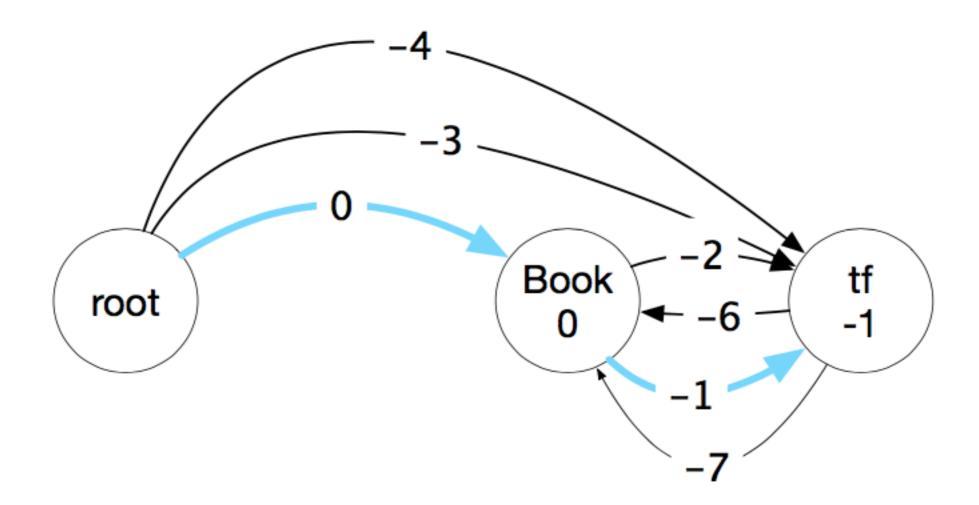
## Chu-Liu-Edmonds (2): Subtract the Max for Each



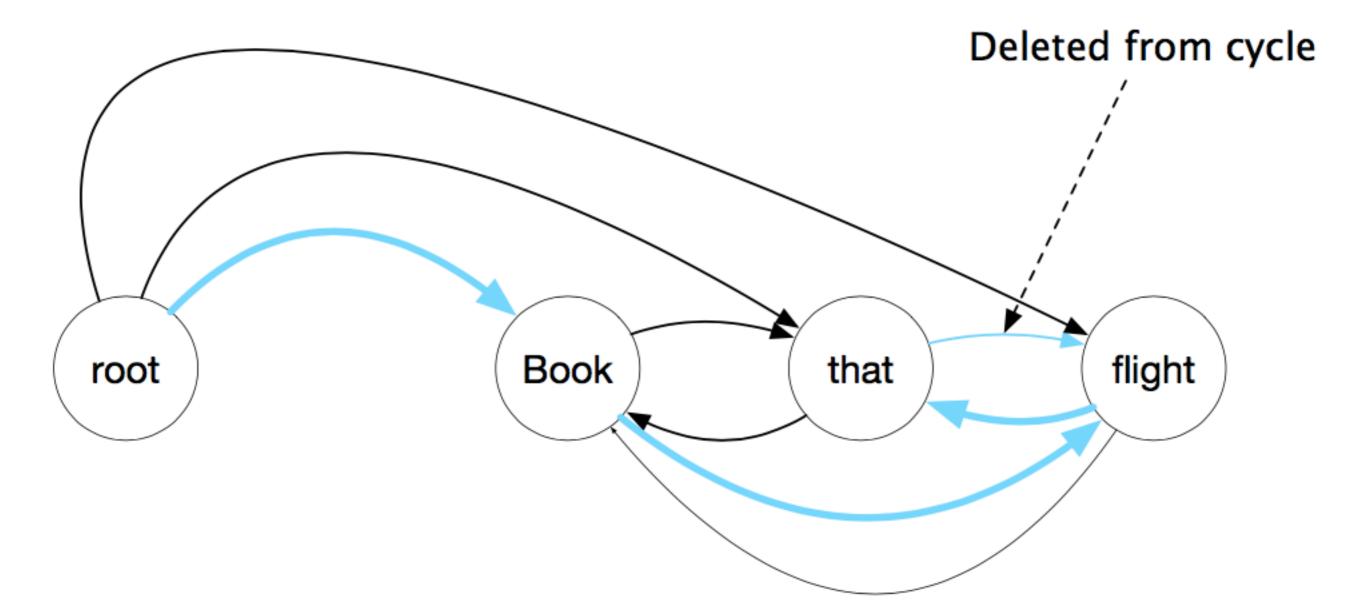
## Chu-Liu-Edmonds (3): Contract a Node



## Chu-Liu-Edmonds (4): Recursively Call Algorithm



### Chu-Liu-Edmonds (5): Expand Nodes and Delete Edge



# Other Dynamic Programs

- **Eisner's Algorithm** (Eisner 1996):
  - A dynamic programming algorithm to combine together trees in O(n<sup>3</sup>)
  - Creates *projective* dependency trees (Chu-Liu-Edmonds is *non-projective*)
- Tarjan's Algorithm (Tarjan 1979, Gabow and Tarjan 1983):
  - Like Chu-Liu-Edmonds, but better asymptotic runtime O(m + n log n)

## Training Algorithm (McDonald et al. 2005)

- Basically use structured hinge loss (covered in structured prediction class)
- Find the highest scoring tree, penalizing each correct edge by the margin
- If the found tree is not equal to the correct tree, update parameters using hinge loss

## Features for Graph-based Parsing (McDonald et al. 2005)

• What features did we use before neural nets?

<b>Basic Uni-gram Features</b>				
p-word				
p-word				
p-pos				
c-word	, c-pos			
c-word				
c-pos				

Basic Big-ram Features		
p-word, p-pos, c-word, c-pos		
p-pos, c-word, c-pos		
p-word, c-word, c-pos		
p-word, p-pos, c-pos		
p-word, p-pos, c-word		
p-word, c-word		
p-pos, c-pos		

)

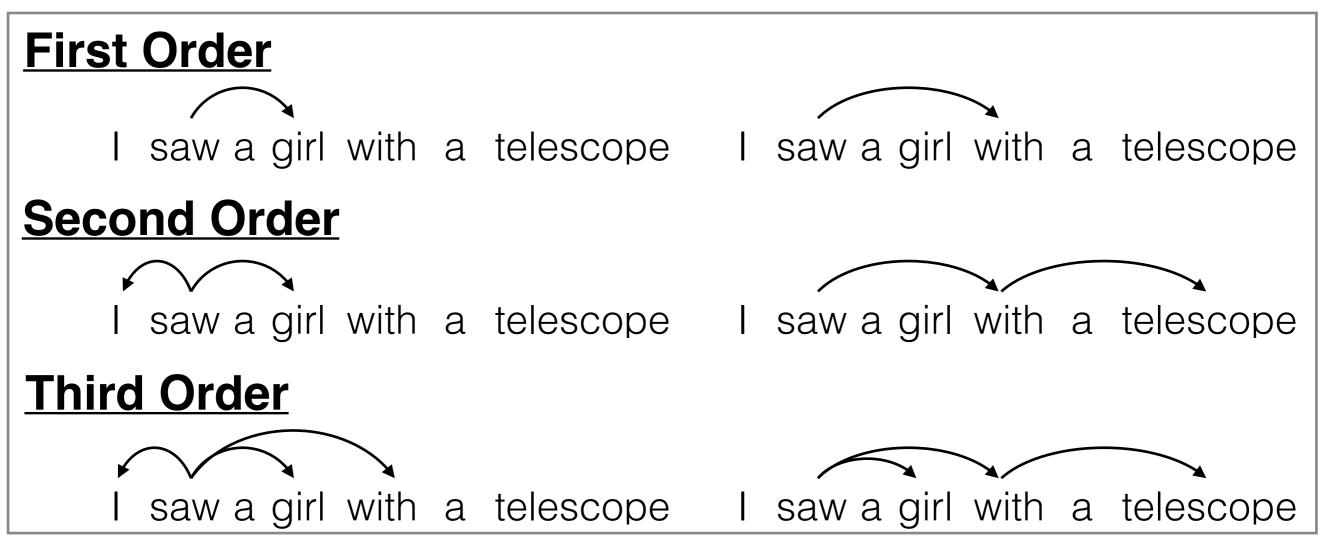
In Between POS Features
p-pos, b-pos, c-pos
Surrounding Word POS Features
p-pos, p-pos+1, c-pos-1, c-pos
p-pos-1, p-pos, c-pos-1, c-pos
p-pos, p-pos+1, c-pos, c-pos+1
p-pos-1, p-pos, c-pos, c-pos+1

Table 1: Features used by system. p-word: word of parent node in dependency tree. c-word: word of child node. p-pos: POS of parent node. c-pos: POS of child node. p-pos+1: POS to the right of parent in sentence. p-pos-1: POS to the left of parent. c-pos+1: POS to the right of child. c-pos-1: POS to the left of child. b-pos: POS of a word in between parent and child nodes.

- All conjoined with arc direction and arc distance
- Also use POS combination features
- Also represent words w/ prefix if they are long

Higher-order Dependency Parsing (e.g. Zhang and McDonald 2012)

• Consider multiple edges at a time when calculating scores



- + Can extract more expressive features
- - Higher computational complexity, approximate search necessary

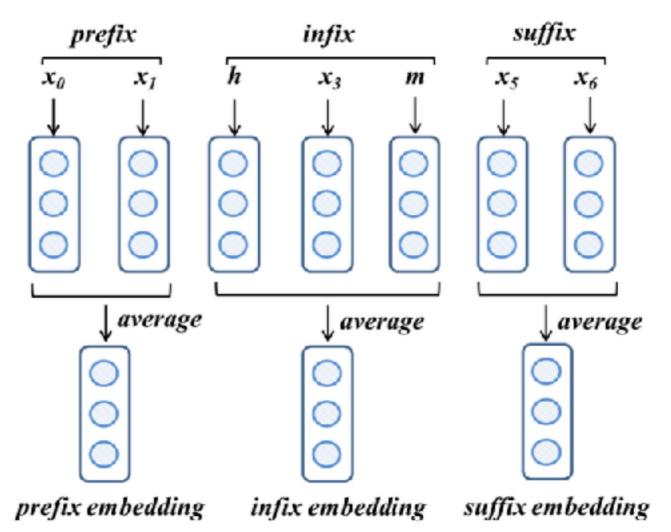
## Neural Models for Graphbased Parsing

### Neural Feature Combinators (Pei et al. 2015)

- Extract traditional features, let NN do feature combination
  - Similar to Chen and Manning (2014)'s transitionbased model
- Use cube + tanh activation function
- Use averaged embeddings of phrases
- Use second-order features

## Phrase Embeddings (Pei et al. 2015)

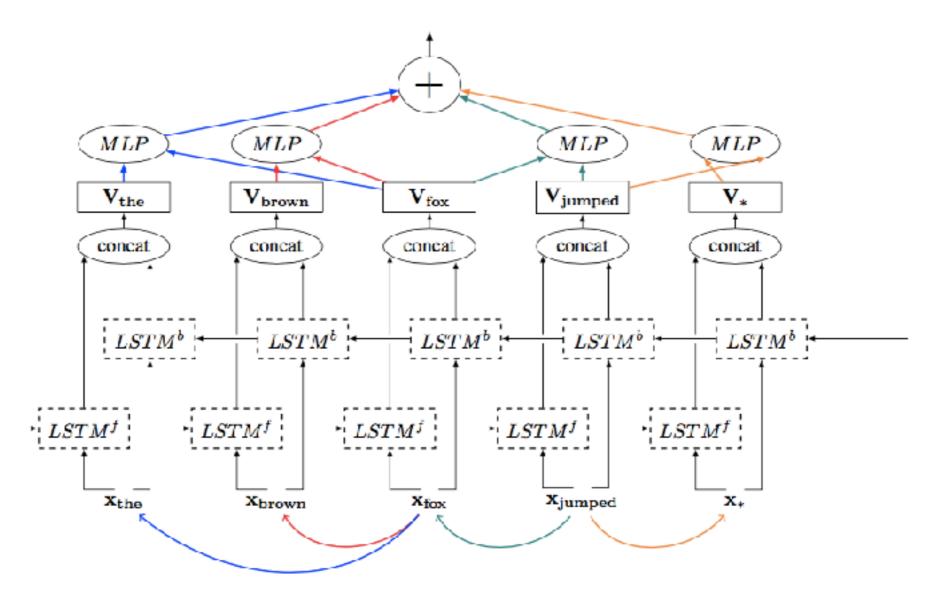
- Motivation: words surrounding or between head and dependent are important clues
- Take average of embeddings



#### Do Neural Feature Combinators Help? (Pei et al. 2015)

- Yes!
  - 1st-order: LAS 90.39->91.37, speed 26 sent/sec
  - 2nd-order: LAS 91.06->92.13, speed 10 sent/sec
- 2nd-order neural better than 3rd-order non-neural at UAS

### BiLSTM Feature Extractors (Kipperwasser and Goldberg 2016)



Simpler and better accuracy than manual extraction

## BiAffine Classifier (Dozat and Manning 2017)

$$\begin{split} \mathbf{h}_{i}^{(arc-dep)} &= \mathsf{MLP}^{(arc-dep)}(\mathbf{r}_{i}) & \text{Learn specific representations} \\ \mathbf{h}_{j}^{(arc-head)} &= \mathsf{MLP}^{(arc-head)}(\mathbf{r}_{j}) & \text{for head/dependent for each word} \\ \mathbf{s}_{i}^{(arc)} &= H^{(arc-head)}U^{(1)}\mathbf{h}_{i}^{(arc-dep)} \\ &+ H^{(arc-head)}\mathbf{u}^{(2)} & \text{Calculate score of each arc} \end{split}$$

- Just optimize the likelihood of the parent, no structured training
  - This is a local model, with global decoding using MST at the end
- Best results (with careful parameter tuning) on universal dependencies parsing task

# Global Training

- Previously: margin-based global training, local probabilistic training
- What about global probabilistic models?

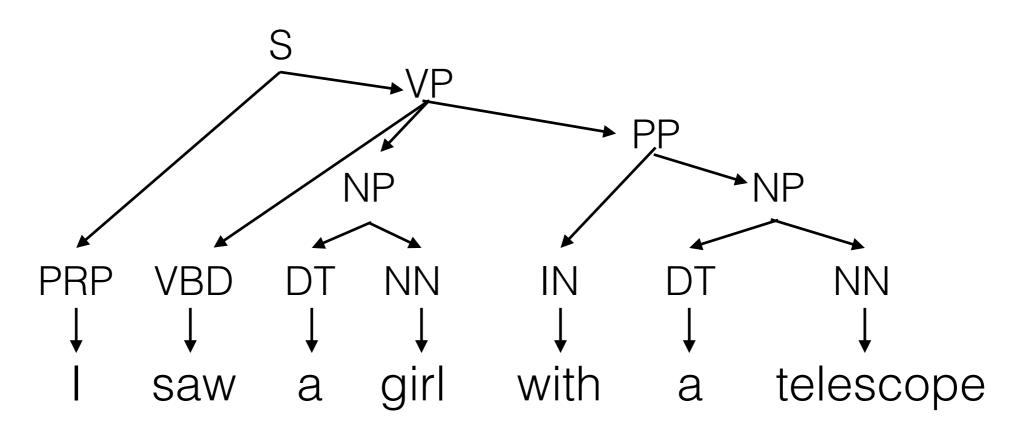
$$P(Y \mid X) = \frac{e^{\sum_{j=1}^{|Y|} S(y_j \mid X, y_1, \dots, y_{j-1})}}{\sum_{\tilde{Y} \in V^*} e^{\sum_{j=1}^{|\tilde{Y}|} S(\tilde{y}_j \mid X, \tilde{y}_1, \dots, \tilde{y}_{j-1})}}$$

- Algorithms for calculating partition functions:
  - **Projective parsing:** Eisner algorithm is a bottom-up CKY-style algorithm for dependencies (Eisner et al. 1996)
  - **Non-projective parsing:** Matrix-tree theorem can compute marginals over directed graphs (Koo et al. 2007)
- Applied to neural models in Ma et al. (2017)

## Dynamic Programming for Phrase Structure Parsing

# Phrase Structure Parsing

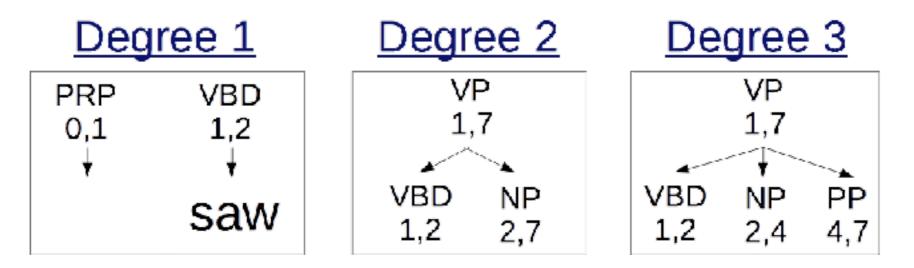
Models to calculate phrase structure



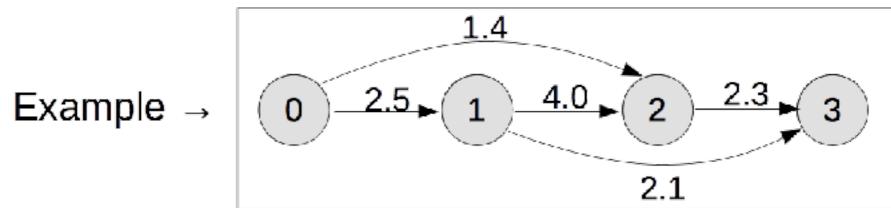
- Important insight: parsing is similar to tagging
  - Tagging is search in a graph for the best path
  - Parsing is search in a hyper-graph for the best tree

# What is a Hyper-Graph?

• The "degree" of an edge is the number of children

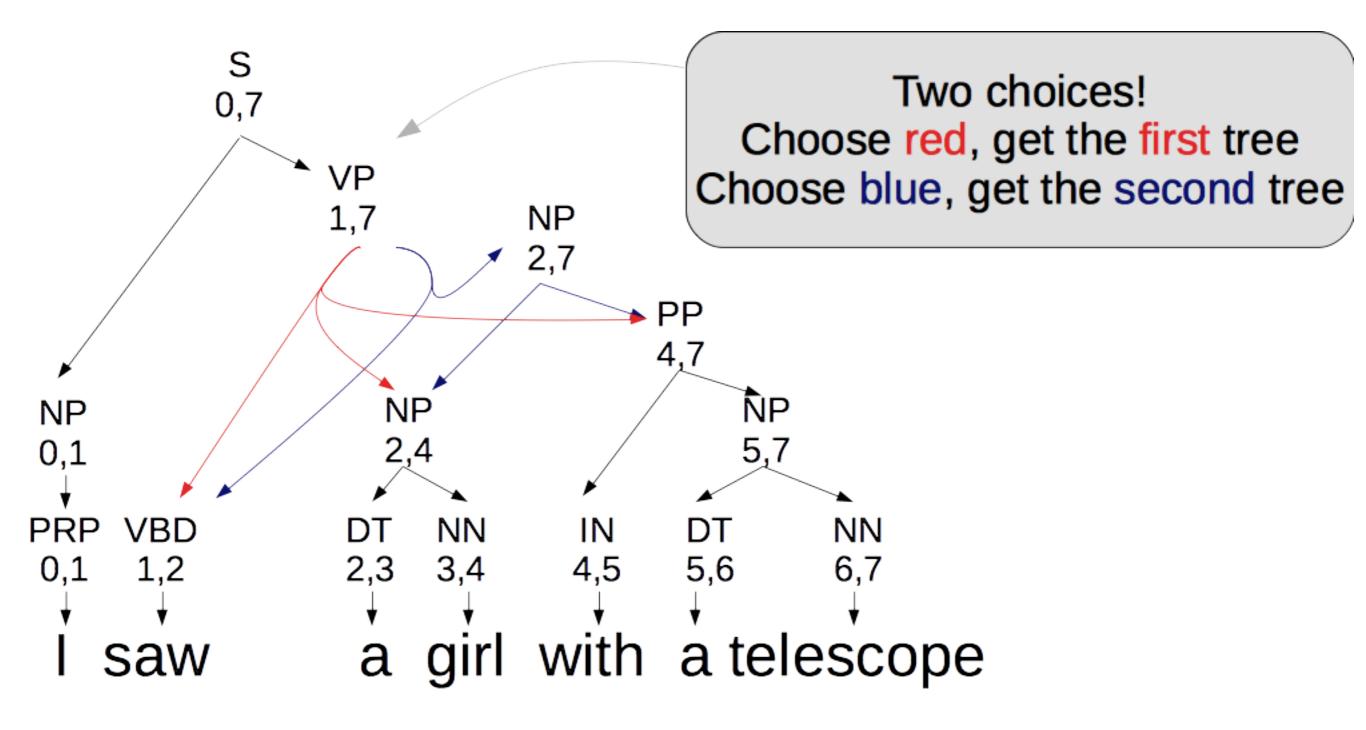


- The degree of a hypergraph is the maximum degree of its edges
- A graph is a hypergraph of degree 1!



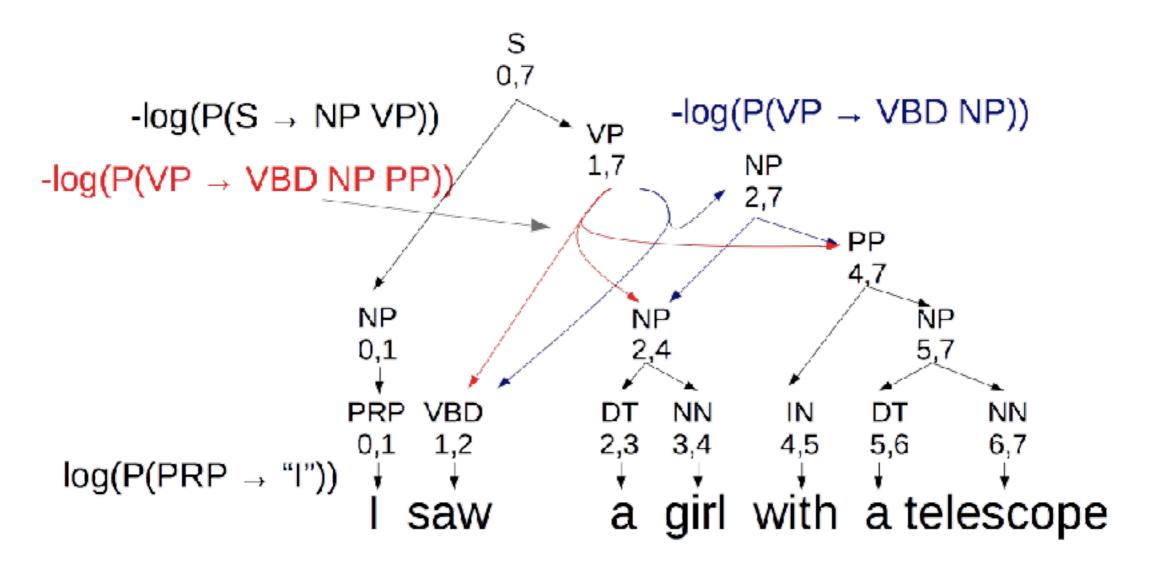
### Tree Candidates as Hypergraphs

• With edges in one tree or another



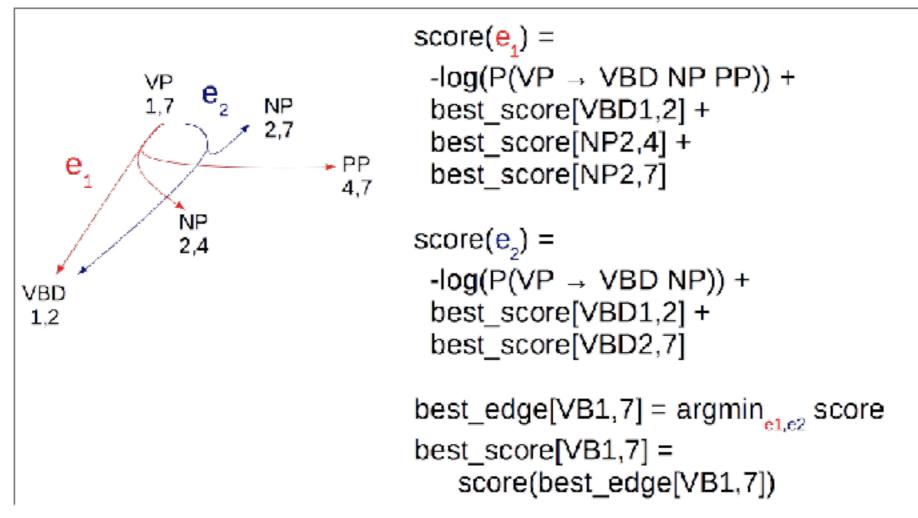
# Weighted Hypergraphs

- Like graphs, can add weights to hypergraph edges
- Generally negative log probability of production



### Hypergraph Search: CKY Algorithm

- Find the highest-scoring tree given a CFG grammar
- Create a hypergraph containing all candidates for a binarized grammar, do hypergraph search



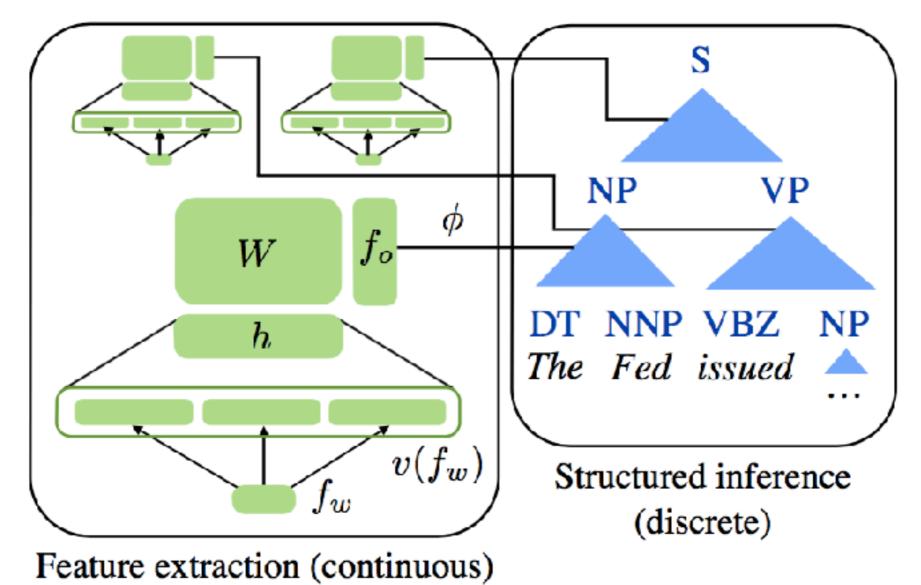
 Analogous to Viterbi algorithm, but Viterbi is over graphs, CKY is over hyper-graphs

## Hypergraph Partition Function: Inside-outside Algorithm

- Find the marginal probability of each span given a CFG grammar
- Partition function us probability of the top span
- Same as CKY, except we logsumexp instead of max
- Analogous to forward-backward algorithm, but forward-backward is over graphs, inside-outside is over hyper-graphs

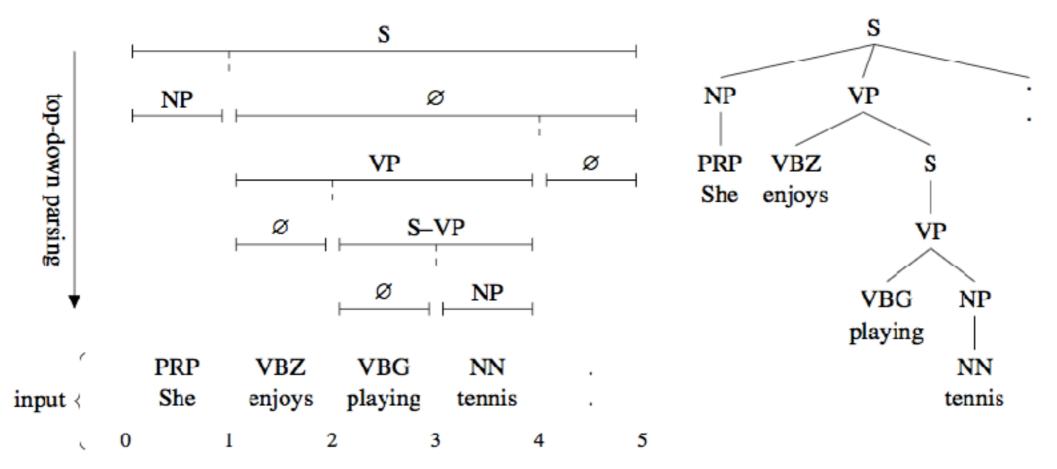
## Neural CRF Parsing (Durrett and Klein 2015)

- Predict score of each span using FFNN
- Do discrete structured inference using CKY, inside-outside



## Span Labeling (Stern et al. 2017)

• Simple idea: try to decide whether span is constituent in tree or not



 Allows for various loss functions (local vs. structured), inference algorithms (CKY, top down)

(b) Output parse tree.

(a) Execution of the top-down parsing algorithm.

An Alternative: Parse Reranking

## An Alternative: Parse Reranking

- You have a nice model, but it's hard to implement a dynamic programming decoding algorithm
- Try reranking!
  - Generate with an easy-to-decode model
  - Rescore with your proposed model

# Examples of Reranking

- Inside-outside recursive neural networks (Le and Zuidema 2014)
- Parsing as language modeling (Choe and Charniak 2016)
- Recurrent neural network grammars (Dyer et al. 2016)

## A Word of Caution about Reranking! (Fried et al. 2017)

- Your reranking model got SOTA results, great!
- But, it might be an effect of model combination (which we know works very well)
  - The model generating the parses prunes down the search space
  - The reranking model chooses the best parse only in that space!

	Scoring models			
Candidates	RD	RG	RD + RG	
RD	92.22	93.45	93.87	
RG	90.24	89.55	90.53	
$\begin{array}{c} \text{RD} \\ \text{RG} \\ \text{RD} \cup \text{RG} \end{array}$	92.22	92.78	93.92	

Questions?