#### CS11-747 Neural Networks for NLP Convolutional Networks for Text

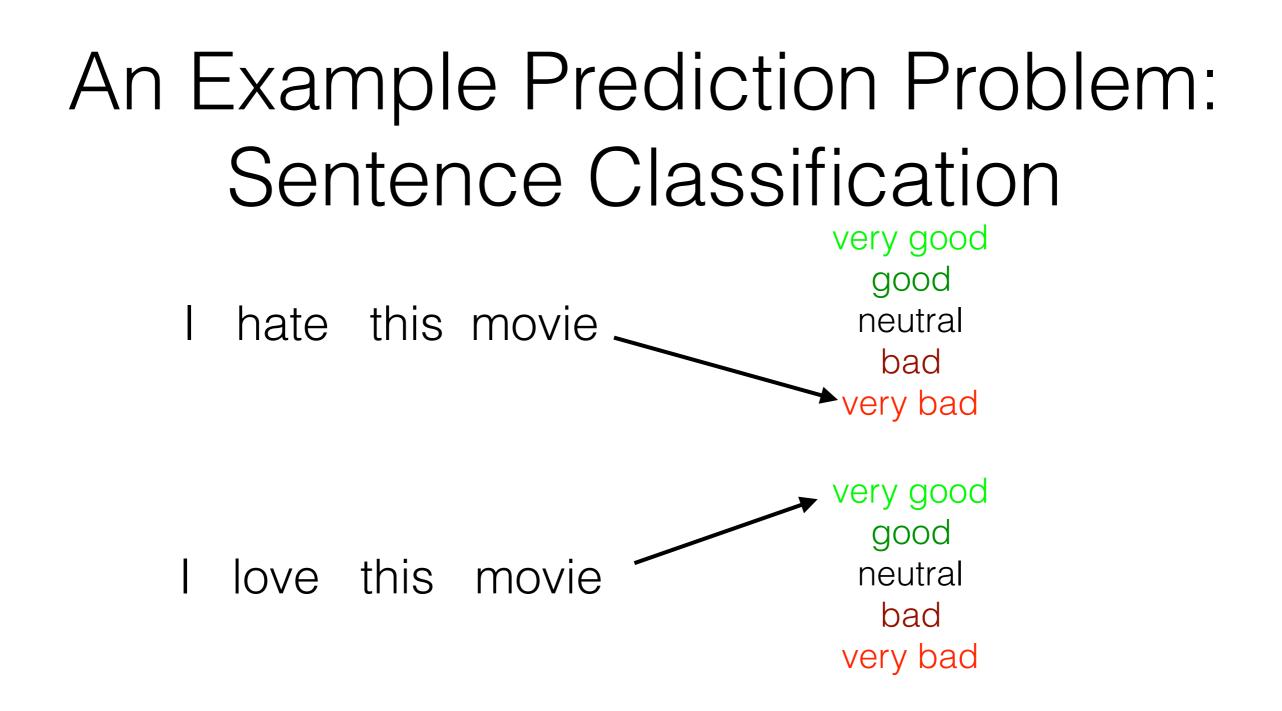
Graham Neubig



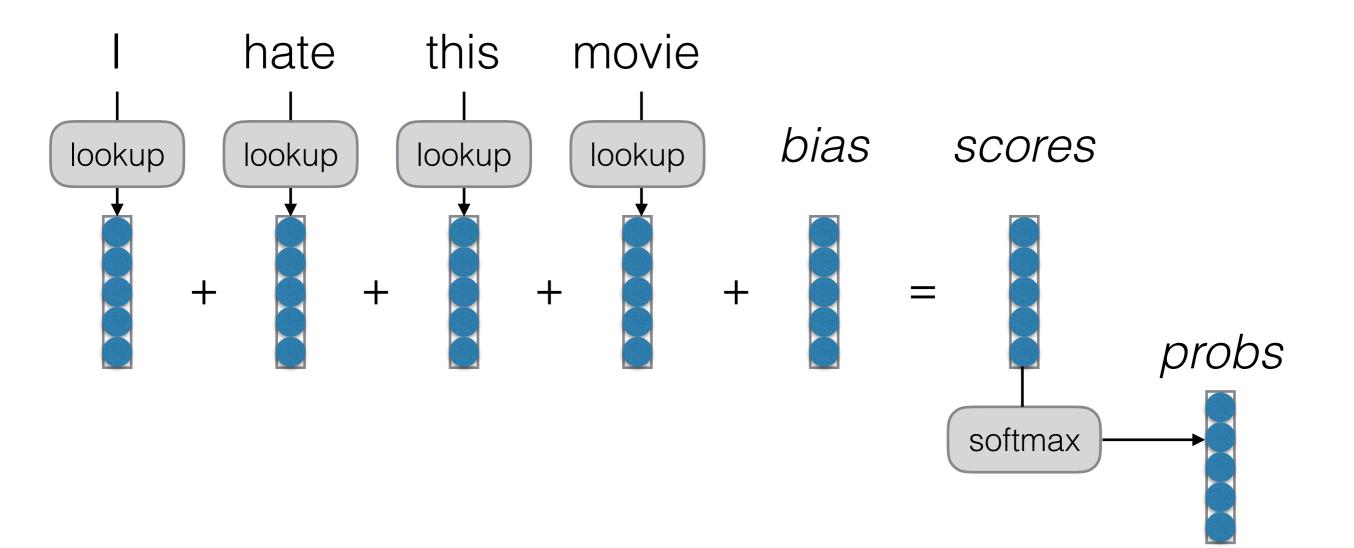
**Carnegie Mellon University** 

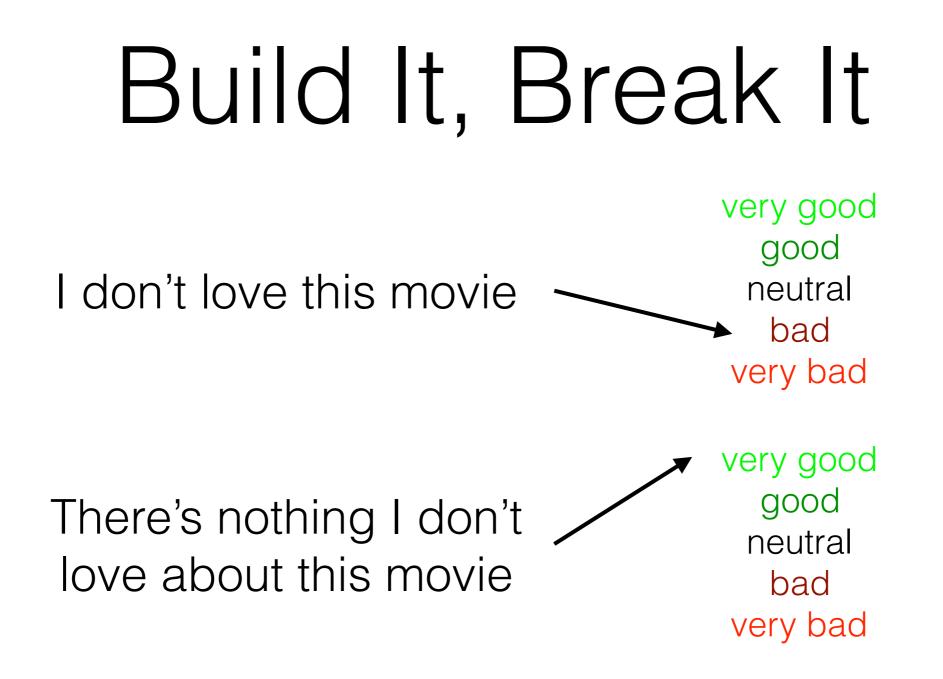
Language Technologies Institute

Site <u>https://phontron.com/class/nn4nlp2019/</u>

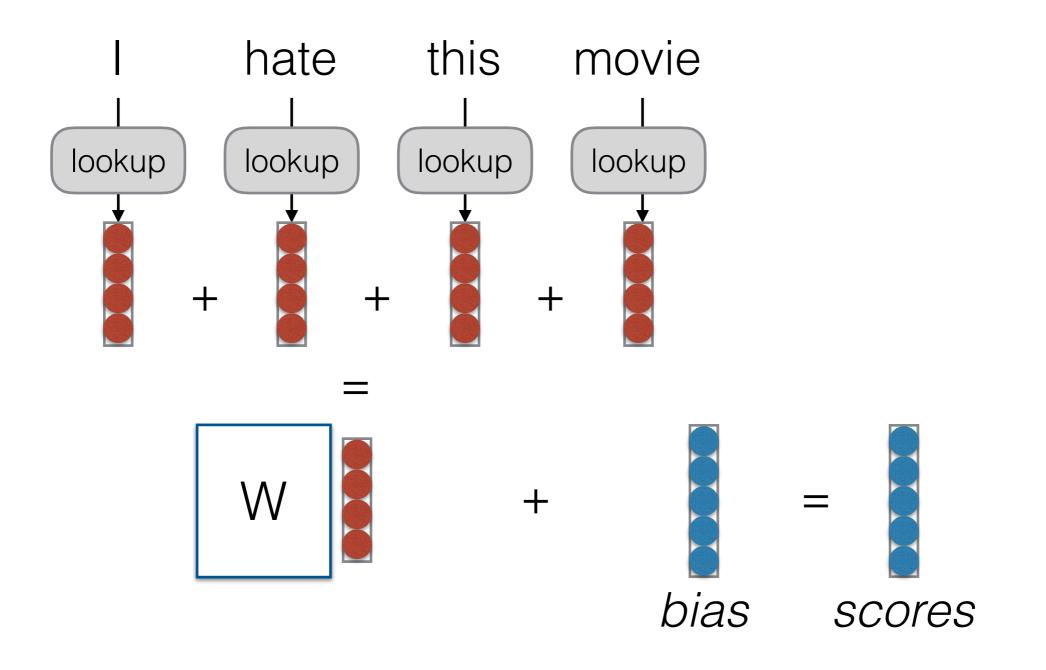


### A First Try: Bag of Words (BOW)

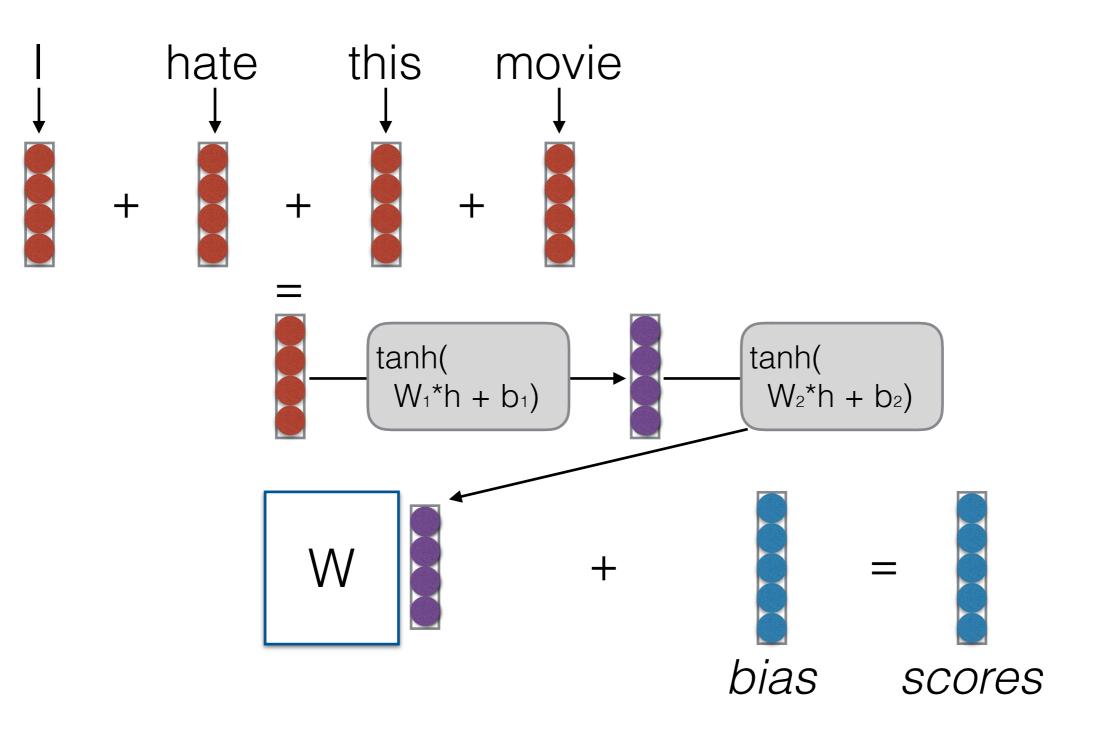




## Continuous Bag of Words (CBOW)



## Deep CBOW

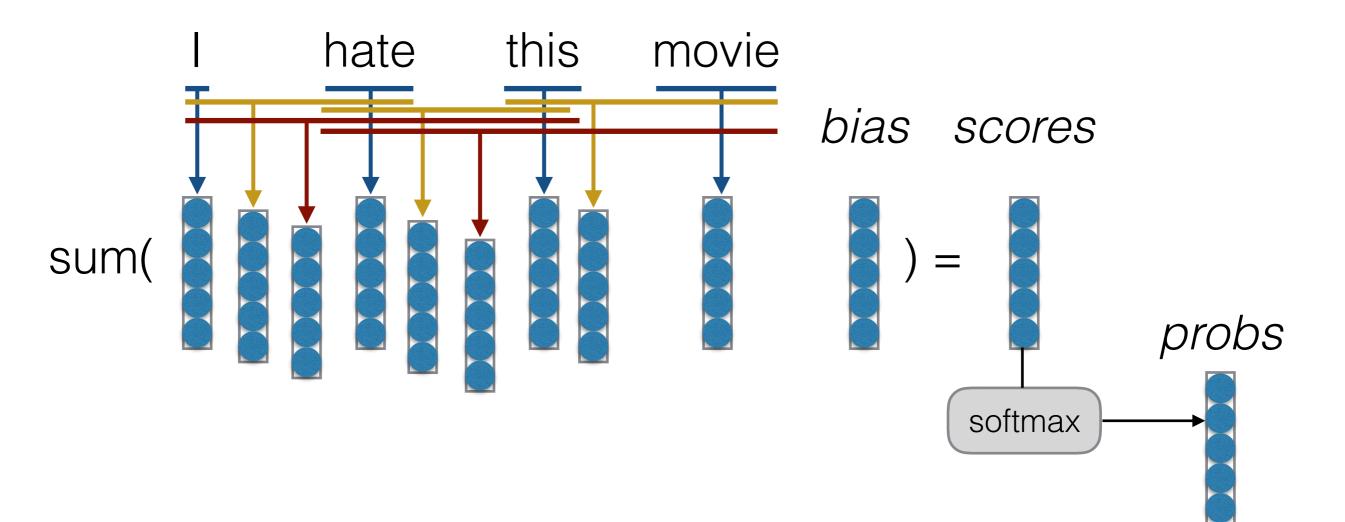


## What do Our Vectors Represent?

- We can learn feature combinations (a node in the second layer might be "feature 1 AND feature 5 are active")
- e.g. capture things such as "not" AND "hate"
- BUT! Cannot handle "not hate"

## Handling Combinations

## Bag of n-grams



## Why Bag of n-grams?

- Allow us to capture combination features in a simple way "don't love", "not the best"
- Works pretty well

**François Chollet** <a> @fchollet < 2 Nov 2016</a> We are releasing an open dataset for theorem proving, HolStep: openreview.net/forum?id=ryuxY... - can you beat our 83% accuracy baseline?

♀ 1 1, 51 ♡ 123 ♡

#### Hal Daumé III @haldaume3 · 2 Nov 2016

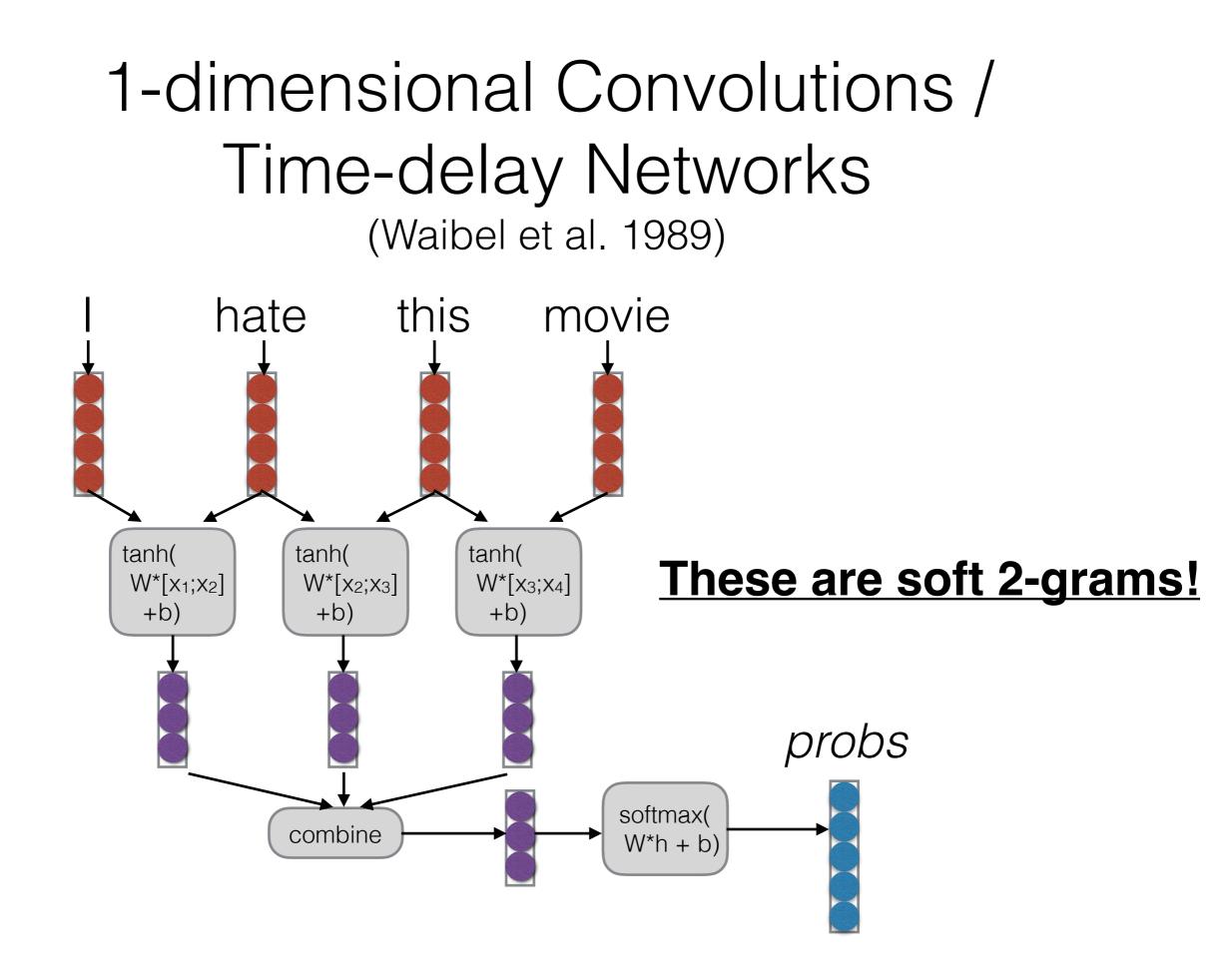
.@fchollet sure, I'll play. 85%, took me about an hour. (totally possible I did something wrong in preprocessing though!)

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0.184792 0.158798 0.166405 0.148018	131072 262144	131072.0 262144.0	1.0000		4023 9369			
0,152111 0,137817	524288	524288.0	1,0000	1,0000	1881			
0,138991 0,125872	1048576 2097152	1048576.0	1.0000		3393 1929			
0.127713 0.116435 0.104631 0.081549	4194304	2097152.0 4194304.0		1.0000	1797			
0,086621 0,068610	8388608	8388608.0	1,0000	-1,0000	1323			
finished run number of examples pe	er pass = 2013	046						
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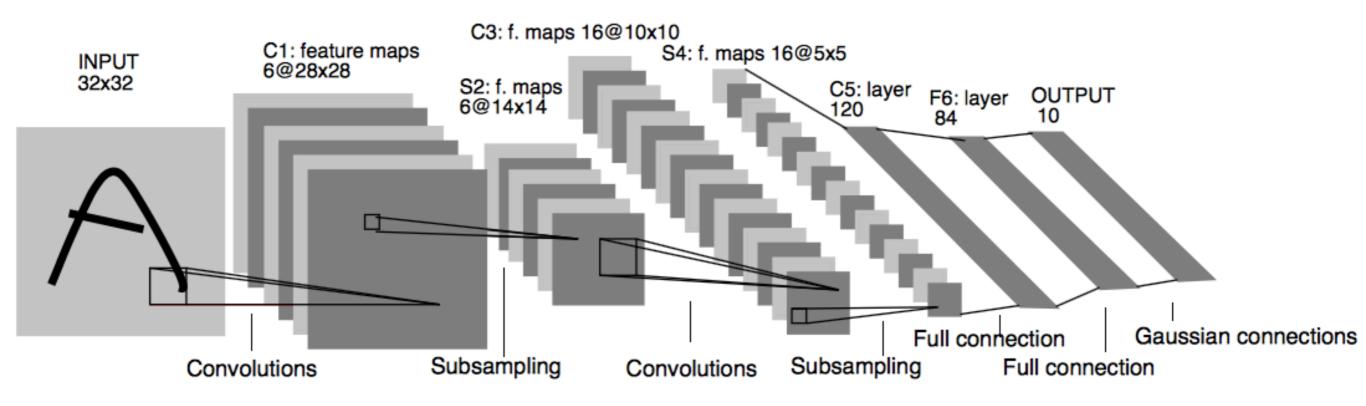
#### What Problems w/ Bag of n-grams?

- Same as before: parameter explosion
- No sharing between similar words/n-grams

#### Convolutional Neural Networks (Time-delay Neural Networks)



#### 2-dimensional Convolutional Networks (LeCun et al. 1997)

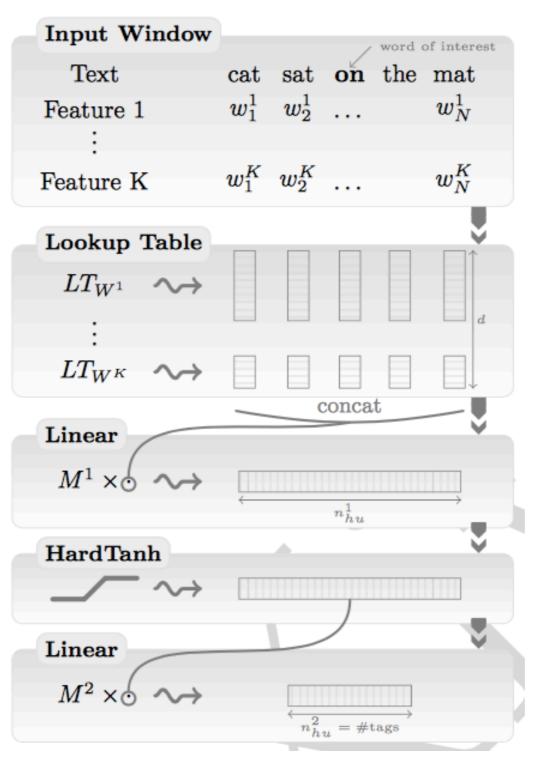


Parameter extraction performs a 2D sweep, not 1D

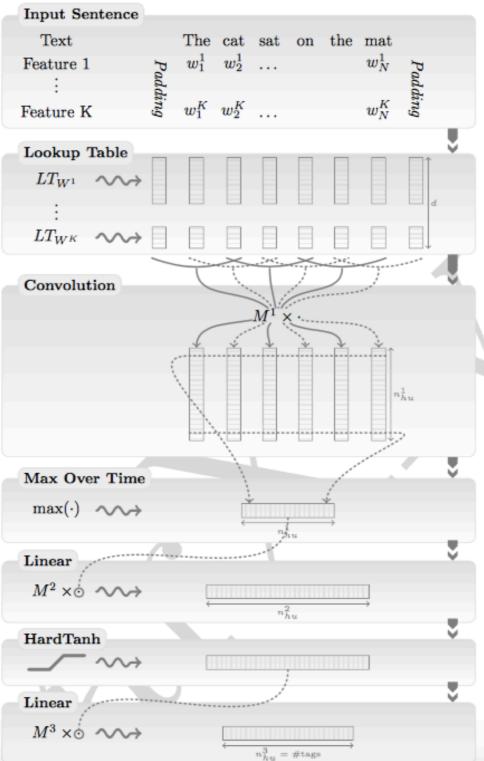
#### CNNs for Text (Collobert and Weston 2011)

- Generally based on 1D convolutions
  - But often uses terminology/functions borrowed from image processing for historical reasons
- Two main paradigms:
  - **Context window modeling:** For tagging, etc. get the surrounding context before tagging
  - Sentence modeling: Do convolution to extract ngrams, pooling to combine over whole sentence

#### CNNs for Tagging (Collobert and Weston 2011)



#### CNNs for Sentence Modeling (Collobert and Weston 2011)



### Standard conv2d Function

- 2D convolution function takes input + parameters
- Input: 3D tensor
  - rows (e.g. words), columns, features ("channels")
- Parameters/Filters: 4D tensor
  - rows, columns, input features, output features

## Padding

- After convolution, the rows and columns of the output tensor are either
  - = to rows/columns of input tensor (*"same"* convolution)
  - to rows/columns of input tensor minus the size of the filter plus one ("valid" or "narrow")
  - = to rows/columns of input tensor plus filter minus one ("wide")

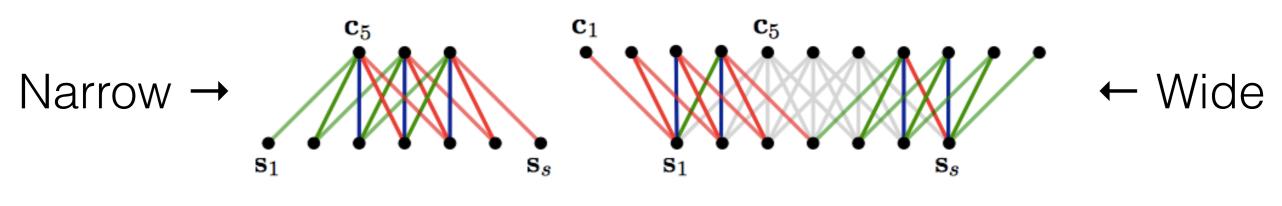
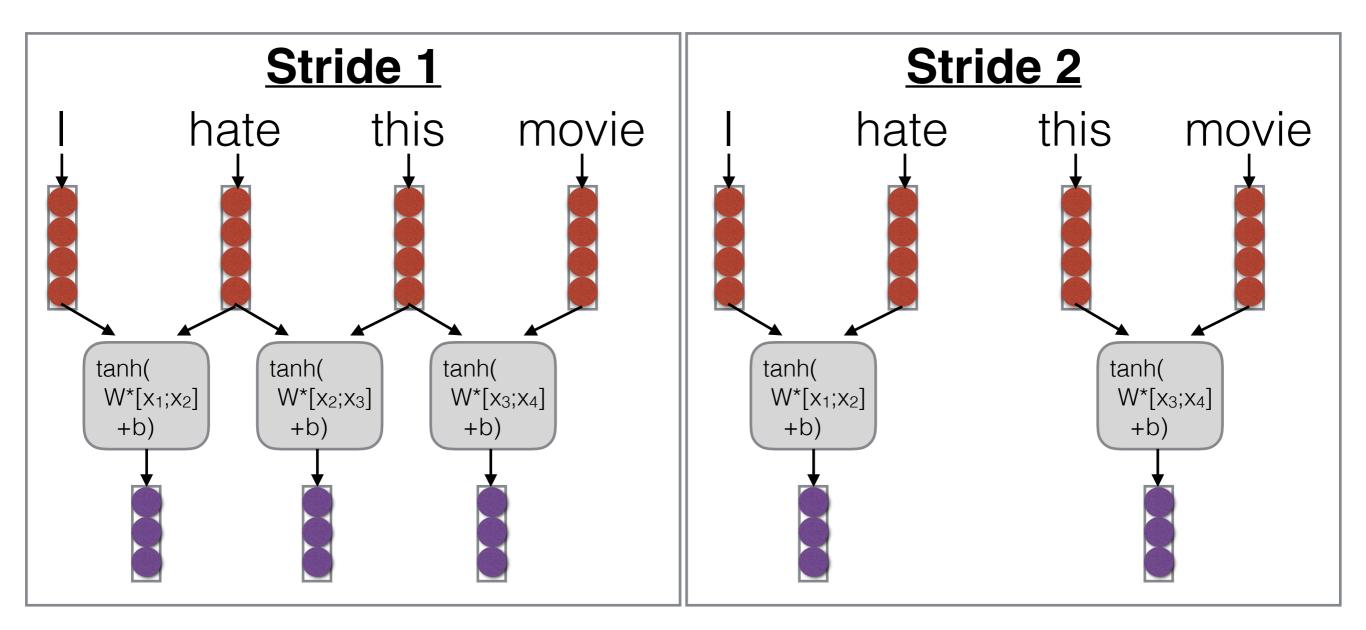


Image: Kalchbrenner et al. 2014

## Striding

• Skip some of the outputs to reduce length of extracted feature vector



## Pooling

- Pooling is like convolution, but calculates some reduction function feature-wise
- **Max pooling:** "Did you see this feature anywhere in the range?" (most common)
- Average pooling: "How prevalent is this feature over the entire range"
- **k-Max pooling:** "Did you see this feature up to k times?"
- **Dynamic pooling:** "Did you see this feature in the beginning? In the middle? In the end?"

### Let's Try It! cnn-class.py

### Stacked Convolution

## Stacked Convolution

 Feeding in convolution from previous layer results in larger area of focus for each feature

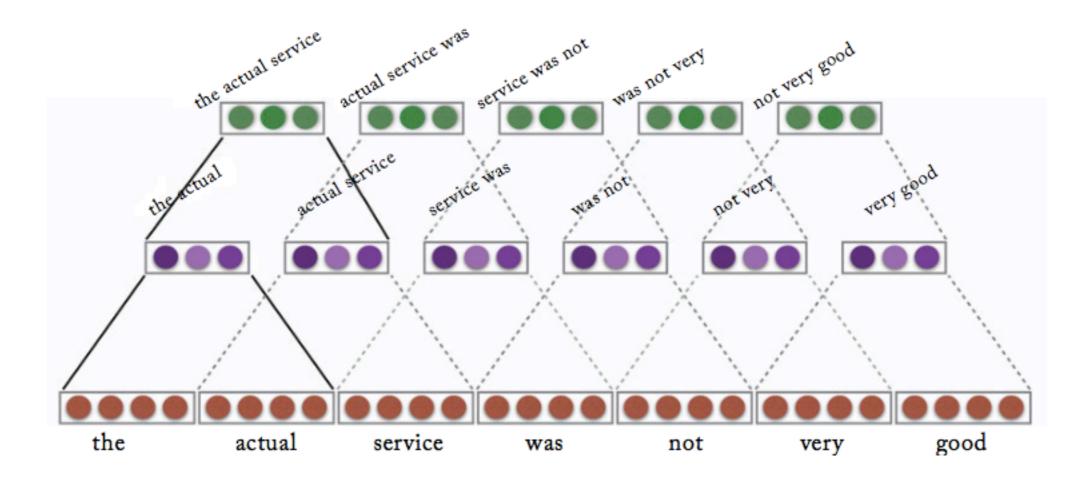
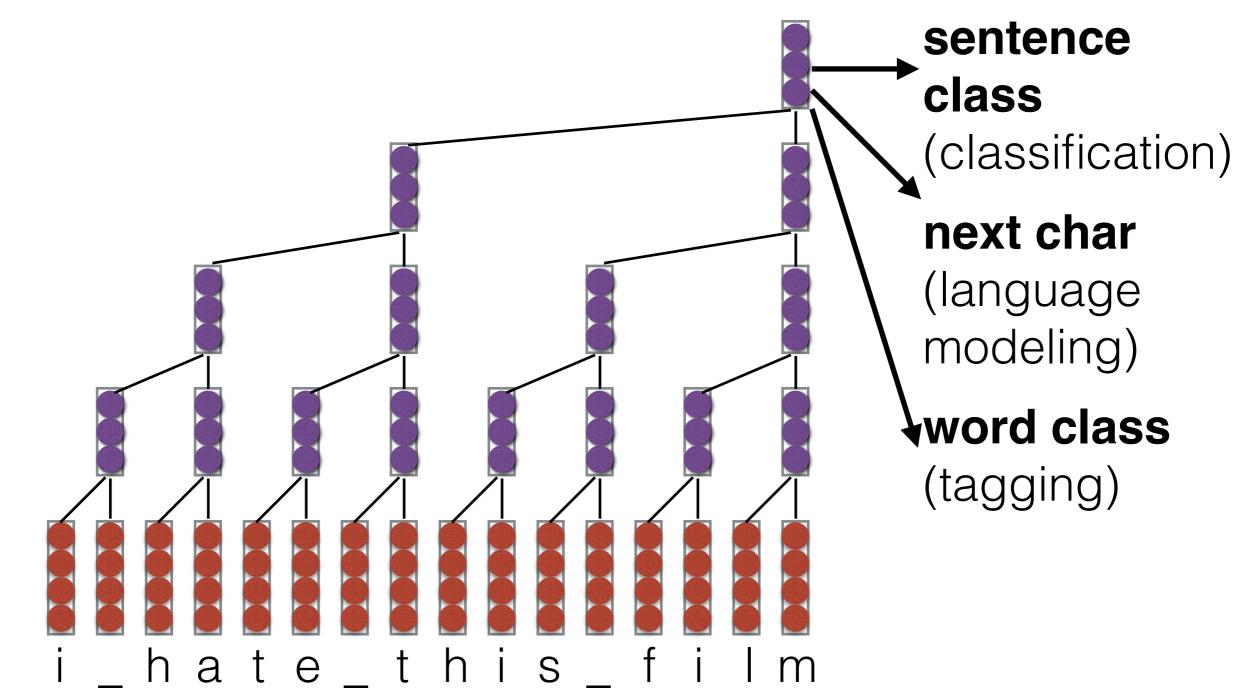


Image Credit: Goldberg Book

#### Dilated Convolution (e.g. Kalchbrenner et al. 2016)

• Gradually increase stride, every time step (no reduction in length)

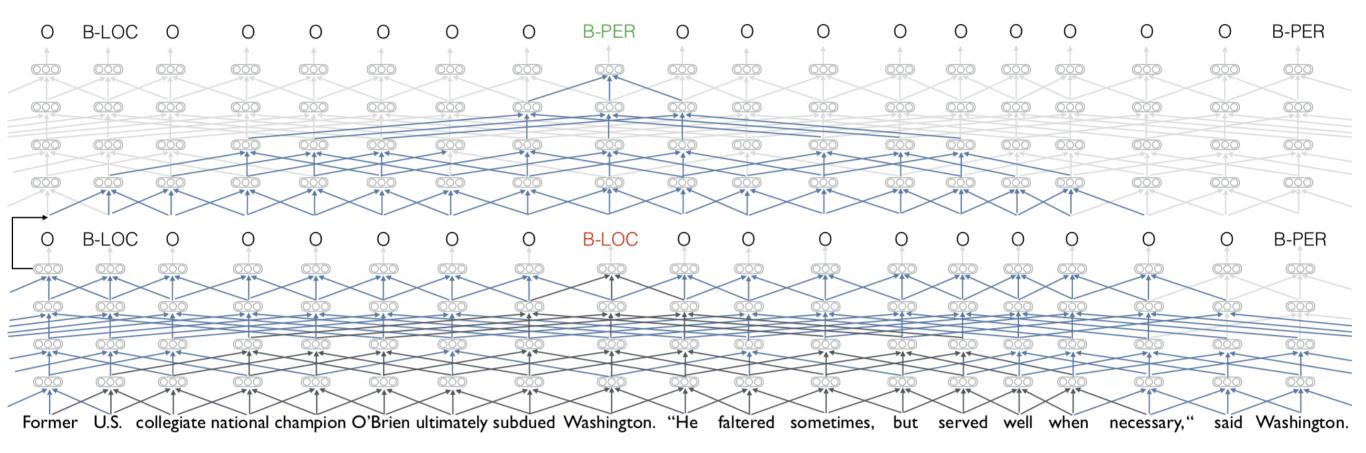


# Why (Dilated) Convolution for Modeling Sentences?

- In contrast to recurrent neural networks (next class)
- Fewer steps from each word to the final representation: RNN O(N), Dilated CNN O(log N)
- + Easier to parallelize on GPU
- Slightly less natural for arbitrary-length dependencies
- - A bit slower on CPU?

#### Iterated Dilated Convolution (Strubell+ 2017)

• Multiple iterations of the same stack of dilated convolutions

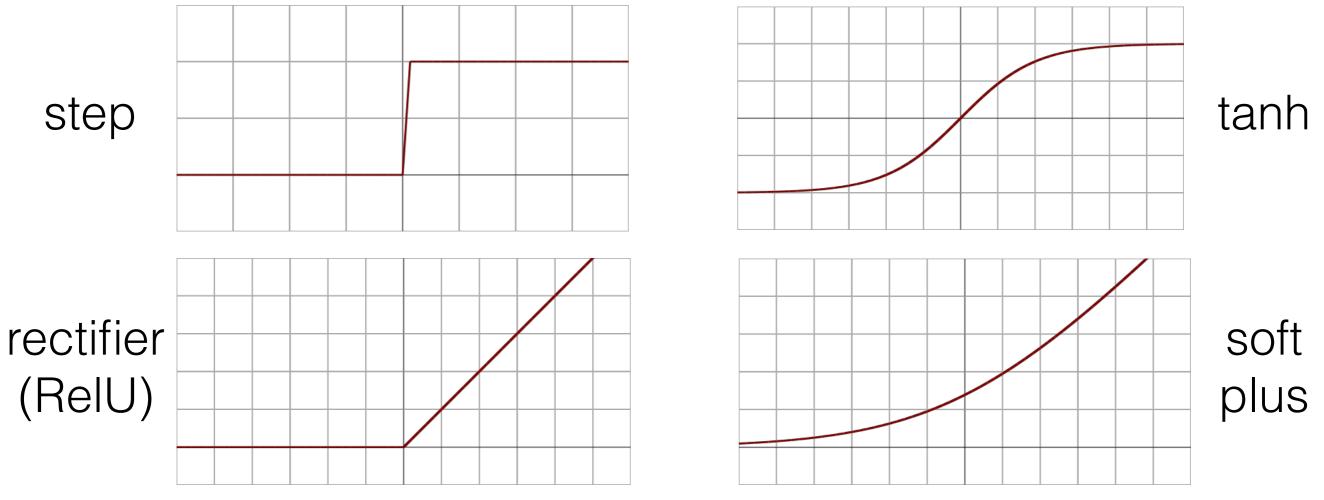


• Wider context, more parameter efficient

#### An Aside: Non-linear Functions

## Non-linear Functions

 Proper choice of a non-linear function is essential in stacked networks



 Functions such as ReIU or softplus allegedly better at preserving gradients

#### Which Non-linearity Should I Use?

- Ultimately an empirical question
- Many new functions proposed, but search by Eger et al. (2018) over NLP tasks found that standard functions such as tanh and relu quite robust

sigmoid	$f(x) = \sigma(x) = 1/(1 + \exp(-x))$
swish	$f(x) = x \cdot \sigma(x)$
maxsig	$f(x) = \max\{x, \sigma(x)\}$
cosid	$f(x) = \cos(x) - x$
minsin	$f(x) = \min\{x, \sin(x)\}$
arctid	$f(x) = \arctan(x)^2 - x$
maxtanh	$f(x) = \max\{x, \tanh(x)\}$
Irelu-0.01	$f(x) = \max\{x, 0.01x\}$
lrelu-0.30	$f(x) = \max\{x, 0.3x\}$
popalized tank	$f(x) = \int \tanh(x) \qquad x > 0,$
penalized tanh	$f(x) = \begin{cases} \tanh(x) & x > 0, \\ 0.25 \tanh(x) & x \le 0 \end{cases}$

best	penalized tanh (6), swish (6),
	elu (4), relu (4), lrelu-0.01 (4)
mean	penalized tanh (16), tanh (13)
	sin (10)

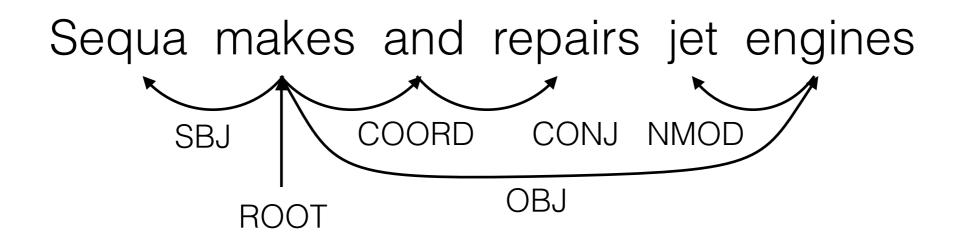
Table 5: Top-3 winner statistics. In brackets: number of times within top-3, keeping only functions with four or more top-3 rankings.

## Structured Convolution

## Why Structured Convolution?

- Language has structure, would like it to localize features
- e.g. noun-verb pairs very informative, but not captured by normal CNNs

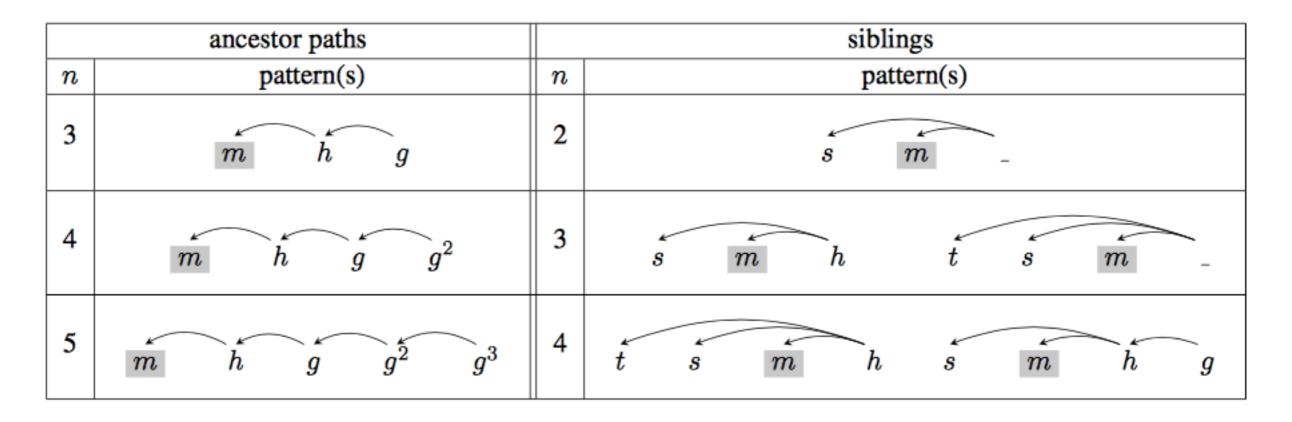
#### Example: Dependency Structure



Example From: Marcheggiani and Titov 2017

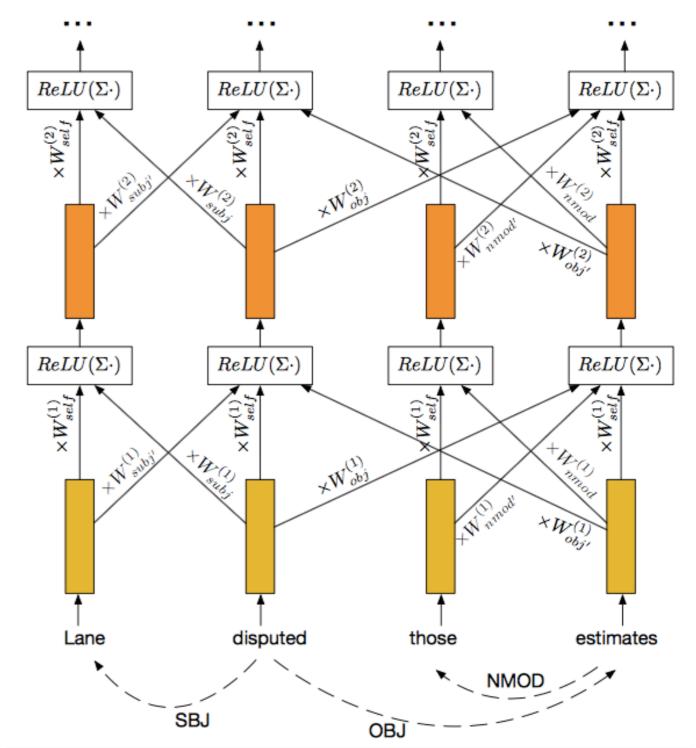
## Tree-structured Convolution (Ma et al. 2015)

• Convolve over parents, grandparents, siblings



#### Graph Convolution (e.g. Marcheggiani et al. 2017)

- Convolution is shaped by graph structure
- For example, dependency tree is a graph with
  - Self-loop connections
  - Dependency connections
  - Reverse connections



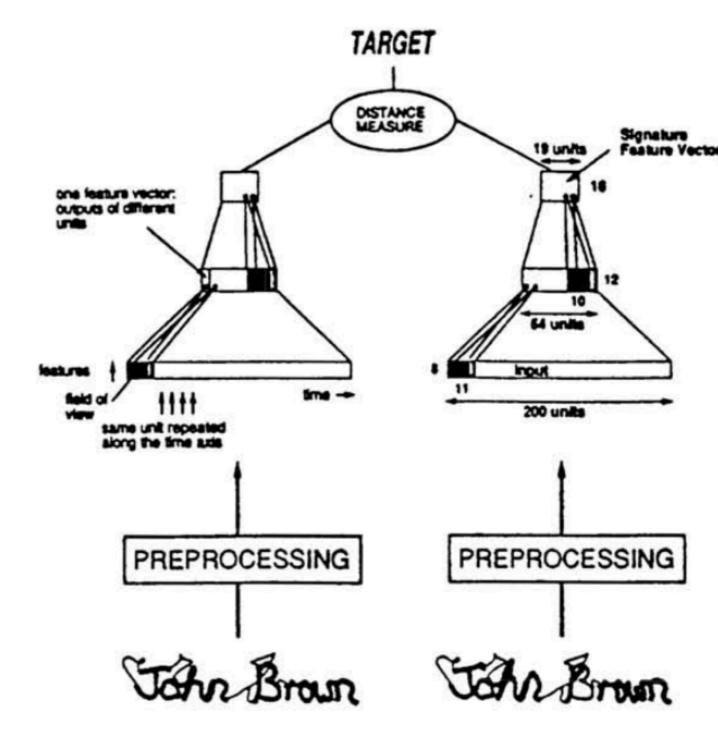
#### Convolutional Models of Sentence Pairs

## Why Model Sentence Pairs?

- Paraphrase identification / sentence similarity
- Textual entailment
- Retrieval
- (More about these specific applications in two classes)

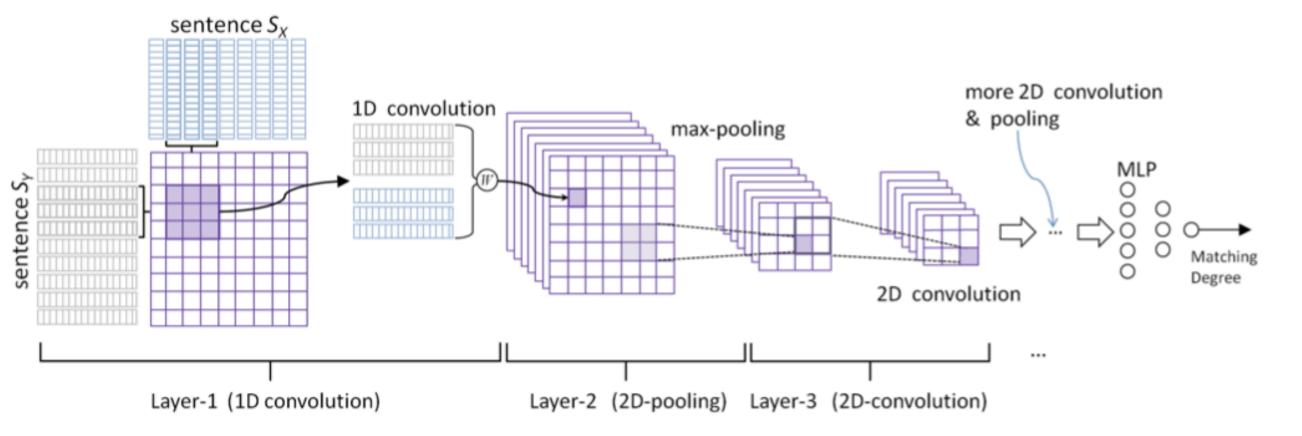
#### Siamese Network (Bromley et al. 1993)

- Use the same network, compare the extracted representations
- (e.g. Time-delay networks for signature recognition)



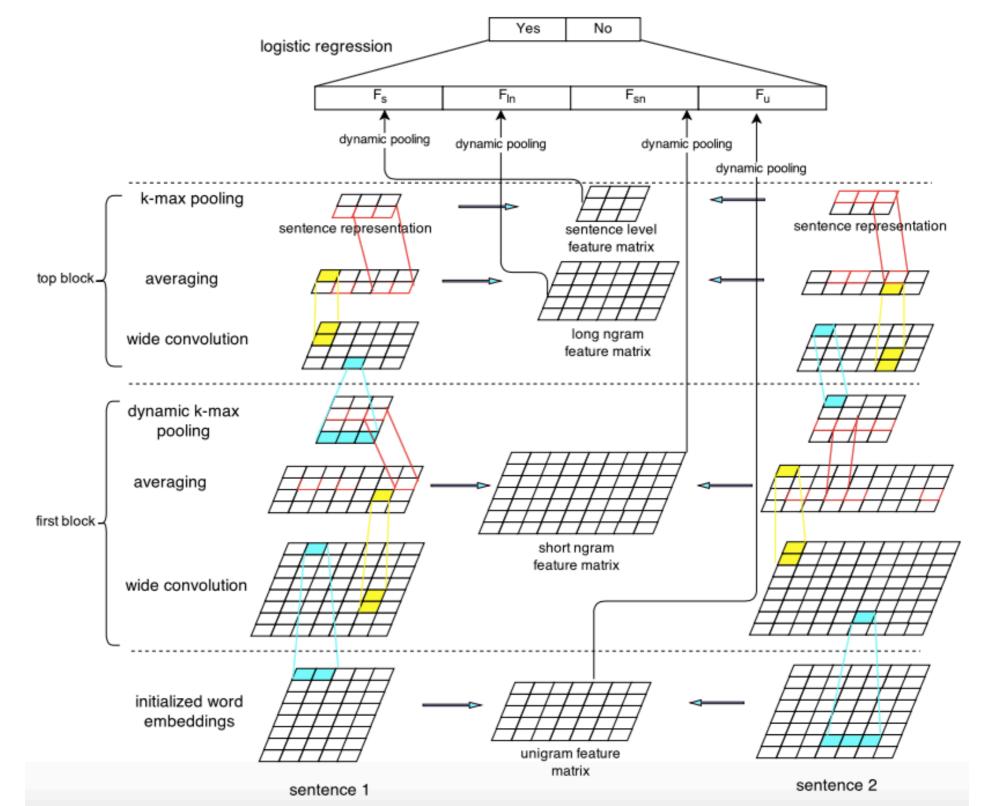
#### Convolutional Matching Model (Hu et al. 2014)

• Concatenate sentences into a 3D tensor and perform convolution



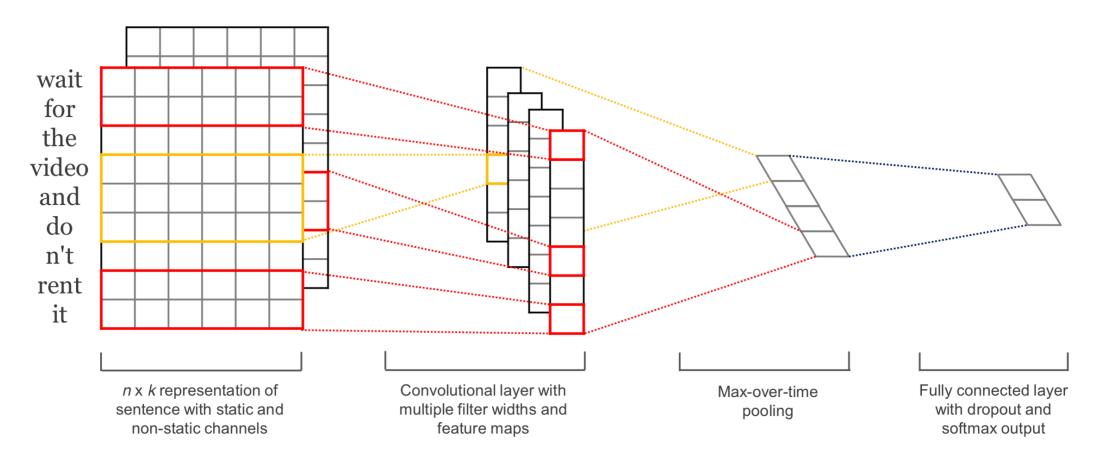
Shown more effective than simple Siamese network

#### Convolutional Features + Matrix-based Pooling (Yin and Schutze 2015)



#### Case Study: Convolutional Networks for Text Classification (Kim 2015)

## Convolution for Sentence Classification (Kim 2014)



- Different widths of filters for the input
- Dropout on the penultimate layer
- Pre-trained or fine-tuned word vectors
- State-of-the-art or competitive results on sentence classification (at the time)

Questions?