CS11-747 Neural Networks for NLP

Document Level Models

Graham Neubig

Carnegie Mellon University

Language Technologies Institute

Site

https://phontron.com/class/nn4nlp2020/

(w/ thanks for many Slides from Zhengzhong Liu)

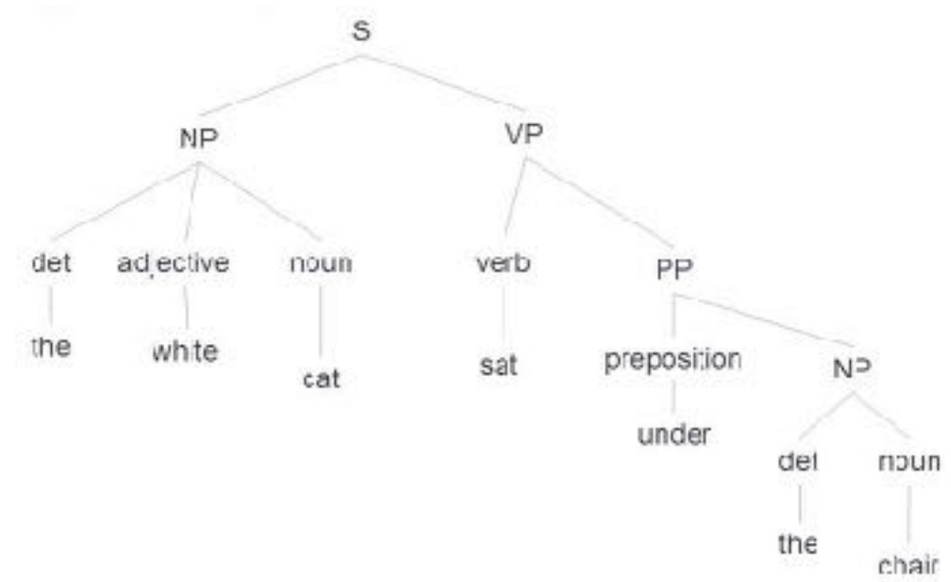
Some NLP Tasks we've Handled

Alice was beginning to get very tired of sitting by her sister on the bank, and of having nothing to do: once or twice she had peeped into the book her sister was reading, but it had no pictures or conversations in it, 'and what is the use of a book,' thought Alice 'without pictures or conversation?'

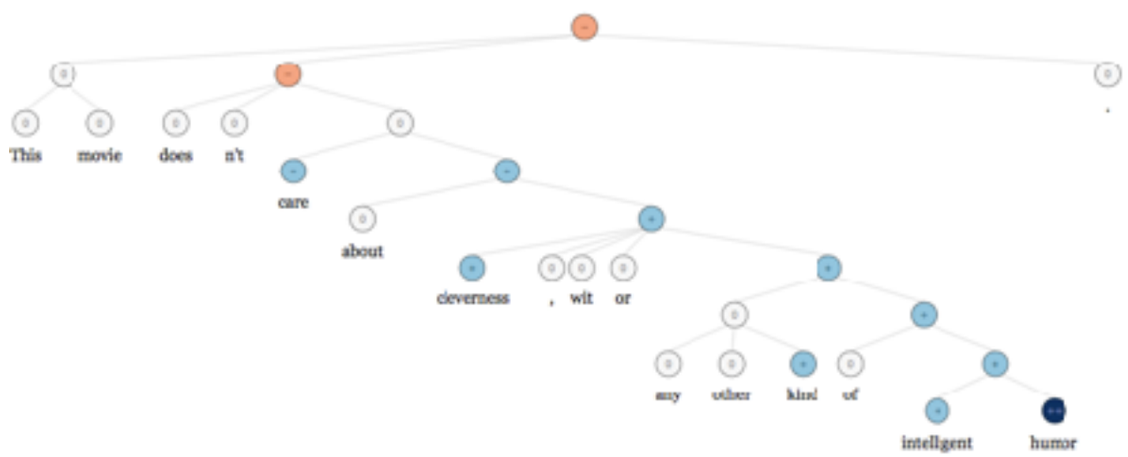
$P(w_{i+1}= \text{of} \mid w_i=\text{tired}) = 1$
$P(w_{i+1}= \text{of} \mid w_i=\text{use}) = 1$
$P(w_{i+1}= \text{sister} \mid w_i=\text{her}) = 1$
$P(w_{i+1}= \text{beginning} \mid w_i=\text{was}) = 1/2$
$P(w_{i+1}= \text{reading} \mid w_i=\text{was}) = 1/2$
$P(w_{i+1}= \text{bank} \mid w_i=\text{the}) = 1/3$
$P(w_{i+1}= \text{book} \mid w_i=\text{the}) = 1/3$
$P(w_{i+1}= \text{use} \mid w_i=\text{the}) = 1/3$

$$P(X|Y) \quad \frac{P(X,Y)}{P(Y)}$$

Language Models



Parsing



Classification

Germany's representative to the European Union's veterinary committee Werner Zwingman said on Wednesday consumers should …

Entity Tagging

Some Connections to Tasks over Documents

Prediction using documents

- **Document-level language modeling:** Predicting language on the multi-sentence level (c.f. single-sentence language modeling)

- **Document classification:** Predicting traits of entire documents (c.f. sentence classification)

- **Entity coreference:** Which entities correspond to each-other? (c.f. NER)

- **Discourse parsing:** How do segments of a document correspond to each-other? (c.f. syntactic parsing)

Prediction of document structure

```
Alice was beginning to get very tired of
sitting by her sister on the bank, and of
having nothing to do: once or twice she
had peeped into the book her sister was
reading, but it had no pictures or
conversations in it, 'and what is the use
of a book,' thought Alice 'without
pictures or conversation?'
```

Document Level Language Modeling

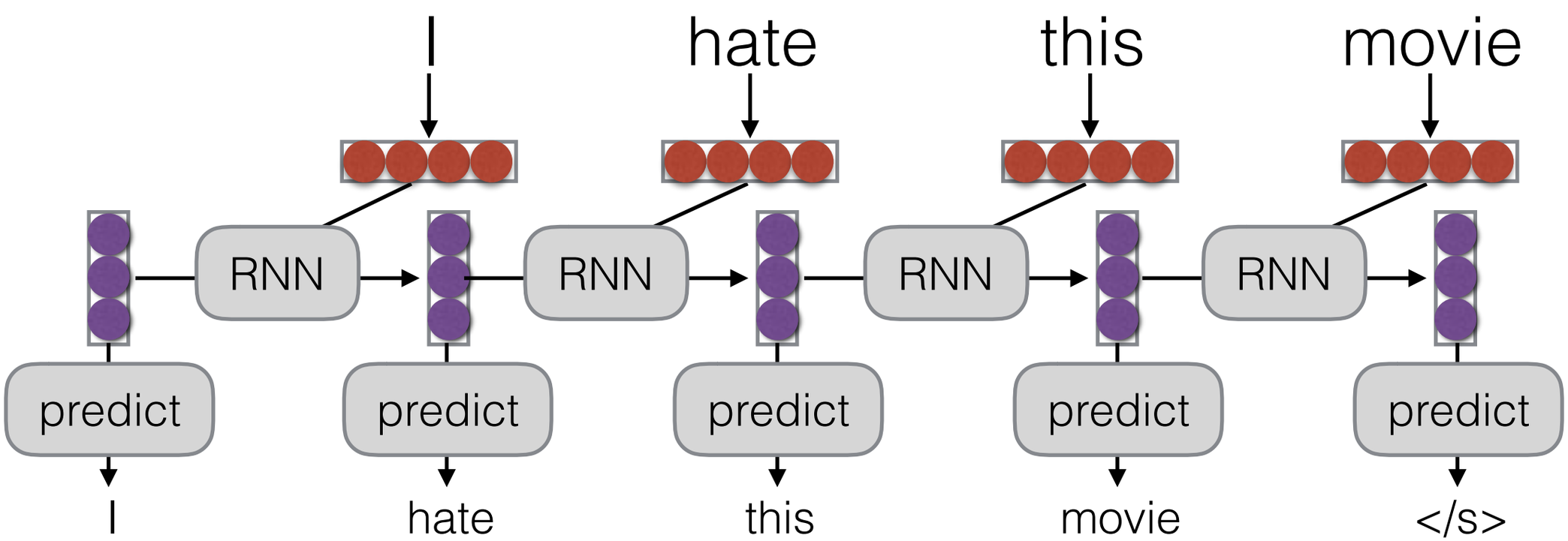
Document Level Language Modeling

- We want to predict the probability of words in an entire document

- Obviously sentences in a document don't exist in a vacuum! We want to take advantage of this fact.

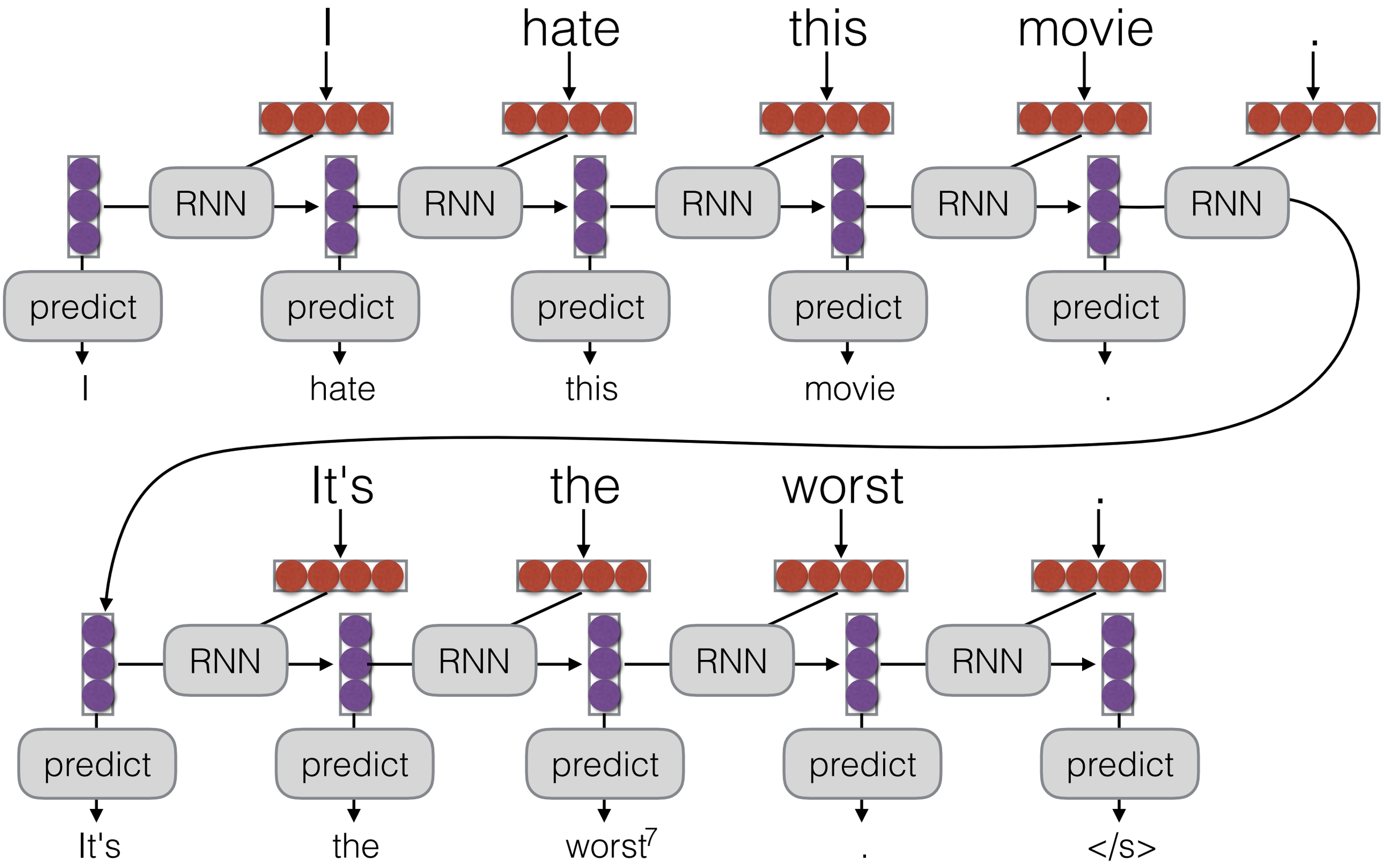
Remember: Modeling using Recurrent Networks

- Model passing previous information in hidden state



Simple: Infinitely Pass State

(Mikolov et al. 2011)

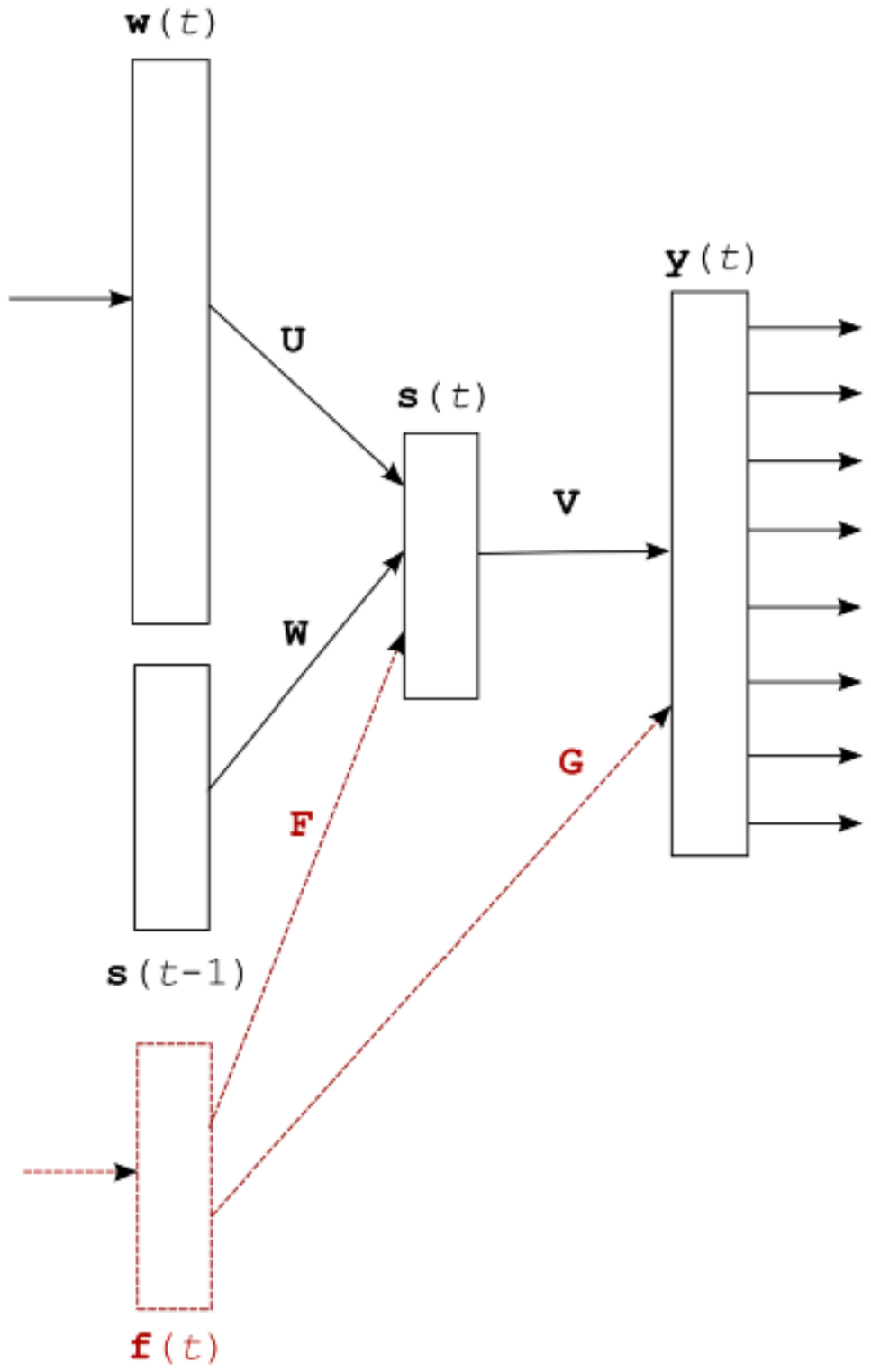


Separate Encoding for Coarse-grained Document Context

(Mikolov & Zweig 2012)

- One big RNN for local and global context tends to miss out on global context (as local context is more predictive)

- Other attempts try to incorporate document-level context explicitly



What Context to Incorporate?

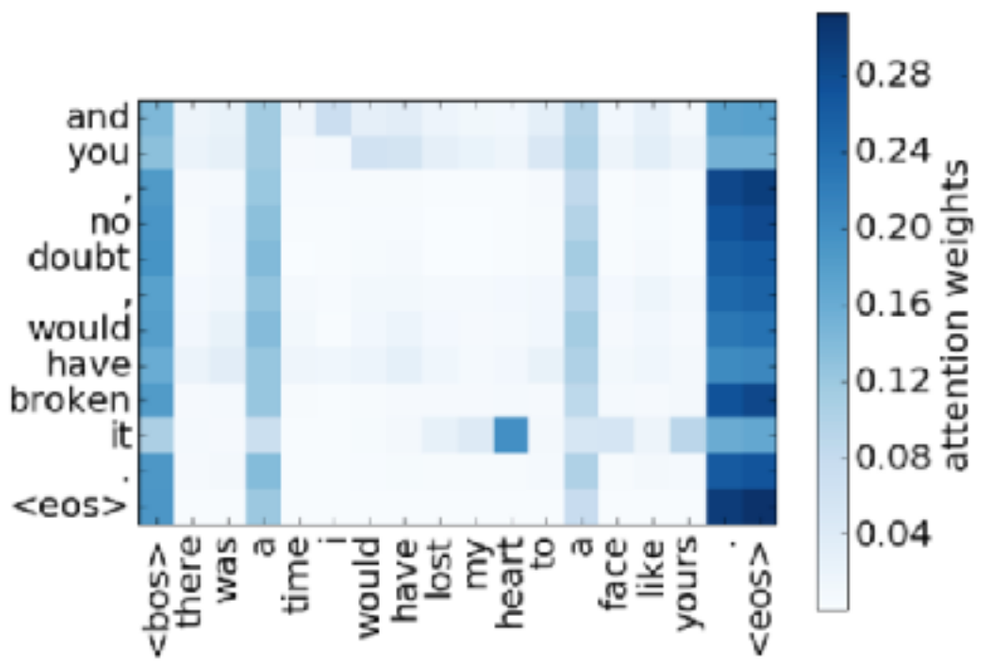
- Use topic modeling (Mikolov and Zweig 2012)

- Use bag-of-words of previous sentence(s), optionally with attention (Wang and Cho 2016)

- Use last state of previous sentence (Ji et al. 2015)

Self-attention/Transformers Across Sentences

- Simply self-attend to all previous words in the document (e.g. Voita et al. 2018)
- + Can relatively simply use document-level context
- + Can learn interesting phenomena (e.g. co-reference)

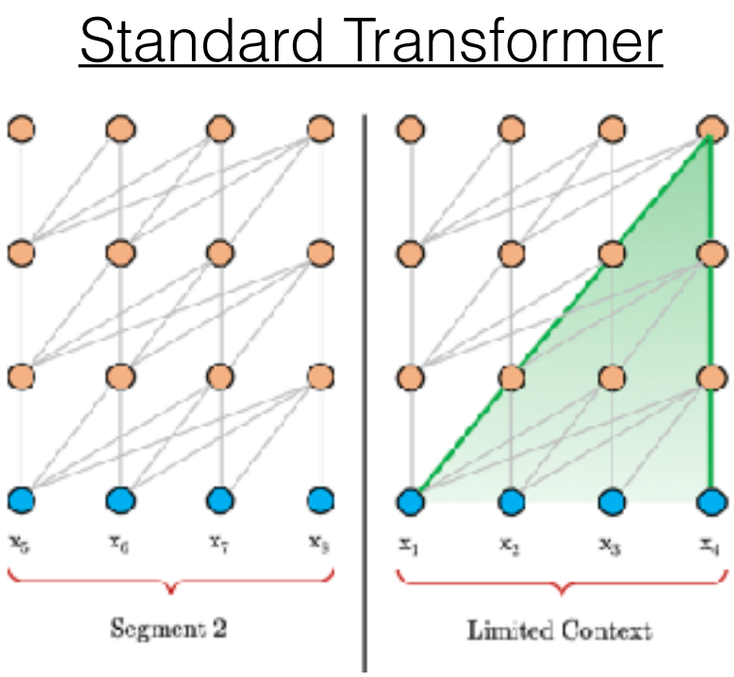


- - Computation is quadratic in sequence length!

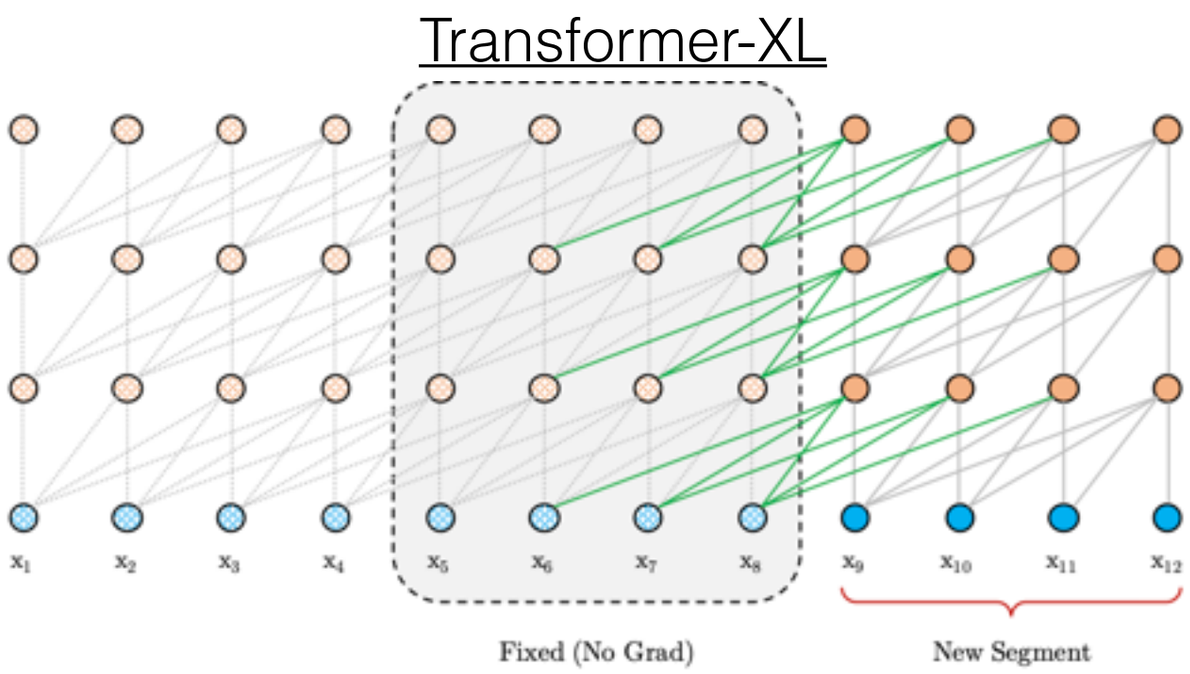
Transformer-XL: Truncated BPTT+Transformer

(Dai et al. 2019)

- Idea: attend to fixed **vectors** from the previous sentence (Dai et al. 2019)



Standard Transformer



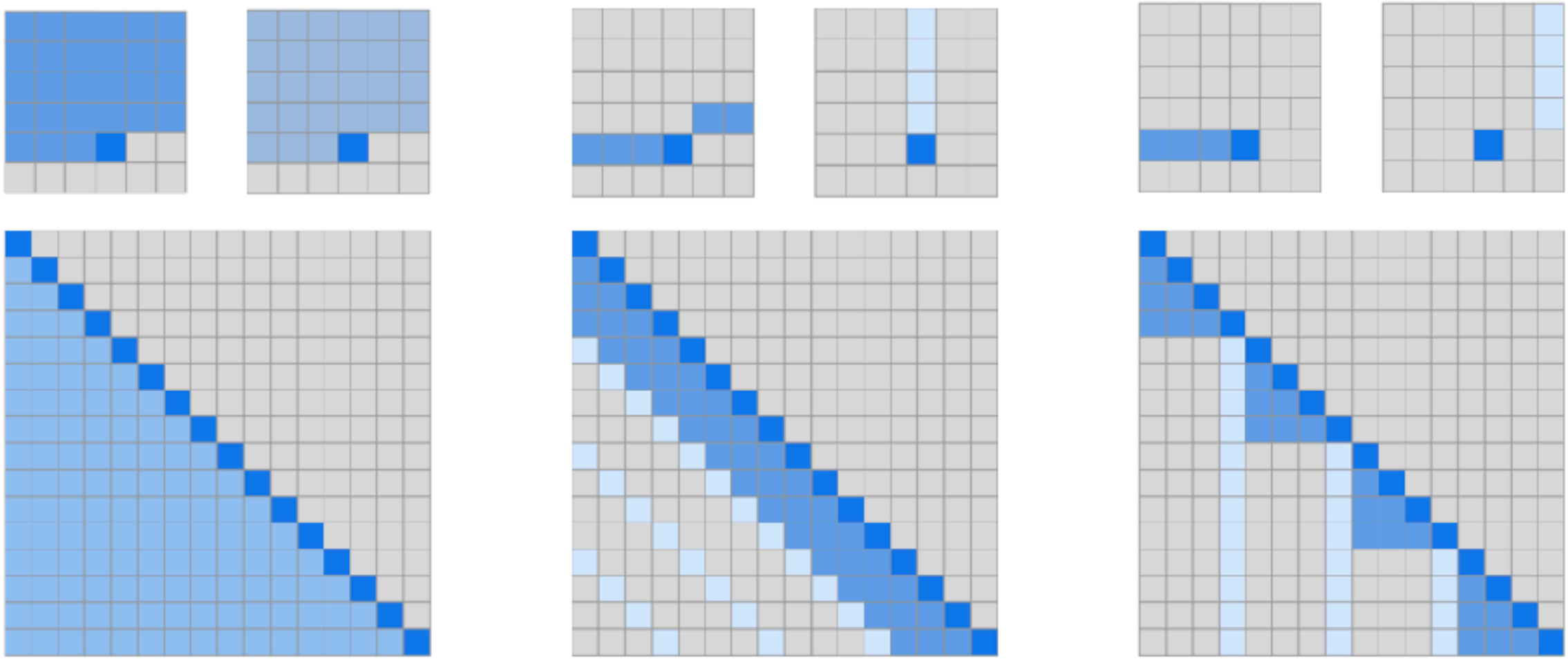
Transformer-XL

- Like truncated backprop through time for RNNs; can use previous states, but not backprop into them

Sparse Transformers

(Child et al. 2019)

- Add "stride", only attending to every *n* previous states



(a) Transformer (b) Sparse Transformer (strided) (c) Sparse Transformer (fixed)

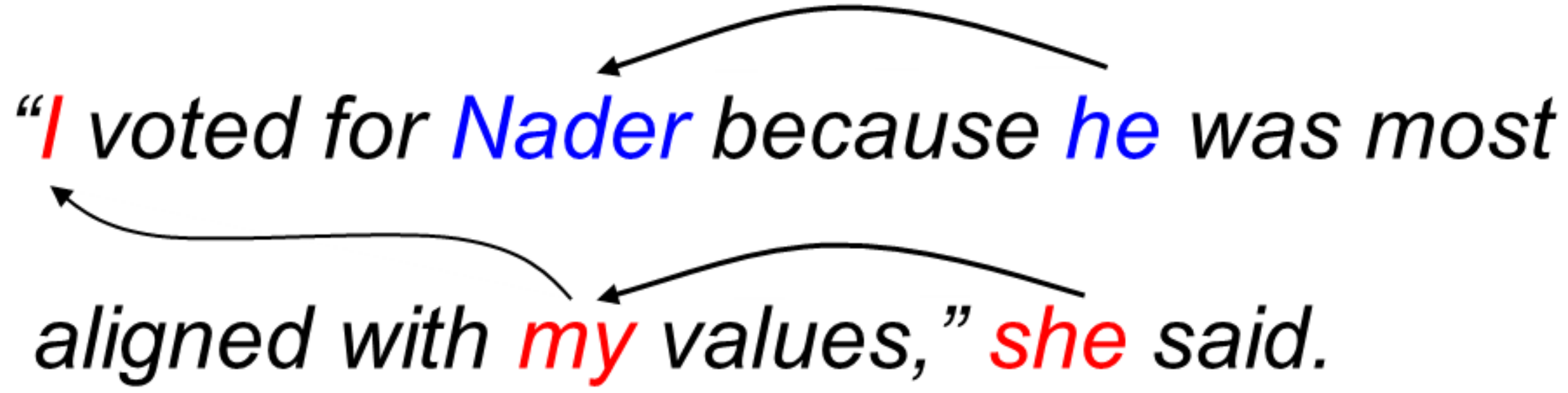
How to Evaluate Document-level Models?

- Simple: Perplexity
- More focused:
  - Sentence scrambling (Barzilay and Lapata 2008)
  - Final sentence prediction (Mostafazadeh et al. 2016)

Context	Right Ending	Wrong Ending
Karen was assigned a roommate her first year of college. Her roommate asked her to go to a nearby city for a concert. Karen agreed happily. The show was absolutely exhilarating.	Karen became good friends with her roommate.	Karen hated her roommate.
Jim got his first credit card in college. He didn't have a job so he bought everything on his card. After he graduated he amounted a $10,000 debt. Jim realized that he was foolish to spend so much money.	Jim decided to devise a plan for repayment.	Jim decided to open another credit card.
Gina misplaced her phone at her grandparents. It wasn't anywhere in the living room. She realized she was in the car before. She grabbed her dad's keys and ran outside.	She found her phone in the car.	She didn't want her phone anymore.

  - Final word prediction (Paperno et al. 2016)

(3) *Context:* Preston had been the last person to wear those chains, and I knew what I'd see and feel if they were slipped onto my skin-the Reaper's unending hatred of me. I'd felt enough of that emotion already in the amphitheater. I didn't want to feel anymore. "Don't put those on me," I whispered. "Please."
Target sentence: Sergei looked at me, surprised by my low, raspy please, but he put down the _____.
Target word: chains



Entity Coreference

Image credit: Stanford NLP

Document Problems: Entity Coreference

Queen Elizabeth set about transforming her husband,King George VI, into *a viable monarch*.
A renowned speech therapist was summoned to help the King overcome his *speech impediment*...

Example from Ng, 2016

- Step 1: Identify Noun Phrases mentioning an entity (note the difference from *named* entity recognition).

- Step 2: Cluster noun phrases (**mentions**) referring to the same underlying world **entity**.

Mention(Noun Phrase) Detection

A renowned speech therapist was summoned to help the King overcome his *speech impediment*…

A renowned speech therapist was summoned to help the King overcome his *speech impediment*...

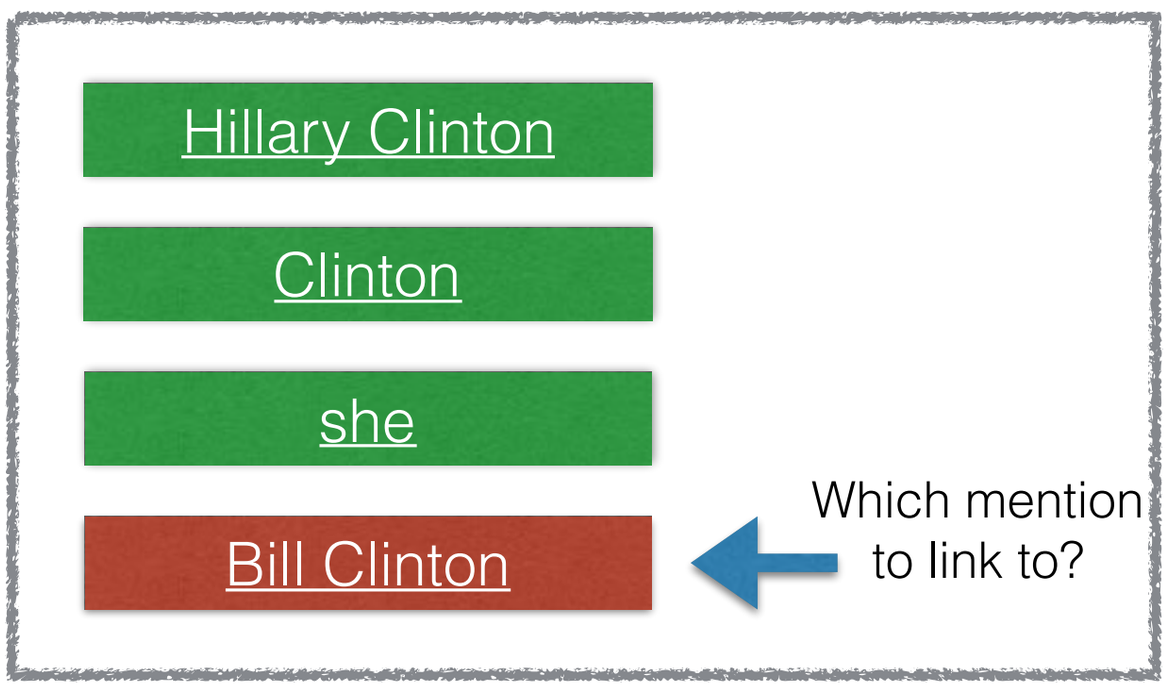
- One may think coreference is simply a clustering problem of given Noun Phrases.
  - Detecting relevant noun phrases is a difficult and important step.
  - Knowing the correct noun phrases affect the result a lot.
  - Normally done as a preprocessing step.

Components of a Coreference Model

- Like a traditional machine learning model:
    - We need to know the **instances** (e.g. shift-reduce operations in parsing).
    - We need to design the **features**.
    - We need to optimize towards the **evaluation metrics**.
    - **Search algorithm** for structure

Coreference Models:Instances

- Coreference is a structured prediction problem:
  - Possible cluster structures are in exponential number of the number of mentions. (Number of partitions)
- Models are designed to approximate/explore the space, the core difference is the way each instance is constructed:
  - Mention-based
  - Entity-based



Mention Pair Models

- The simplest one: Mention Pair Model:
  - Classify the coreference relation between every 2 mentions.
- Simple but many drawbacks:
  - May result in conflicts in transitivity.
  - Too many negative training instances.
  - Do not capture **entity/cluster level** features.
  - No ranking of instances.

Queen Elizabeth set about transforming her husband,King George VI, into *a viable monarch*. *A renowned speech therapist* was summoned to help the King overcome his *speech impediment*...

✓: Queen Elizabeth <-> her
✗: Queen Elizabeth <-> husband
✗: Queen Elizabeth <-> King George VI
✗: Queen Elizabeth <-> a viable monarch
.....

Entity Models: Entity-Mention Models

- Entity-Mention Models
  - Create an instance between a mention and a previous* cluster.

Daume & Marcu (2005); Cullotta et al. (2007)

* This process often follows the natural discourse order, so we can refer to partially built clusters.

Example Cluster Level Features:

- Are the genders all compatible?
- Is the cluster containing pronouns only?
- Most of the entities are the same gender?????
- Size of the clusters?

Problems:

- No ranking between the antecedents.
- Cluster level features are difficult to design.

Advantages of Neural Network Models for Coreference

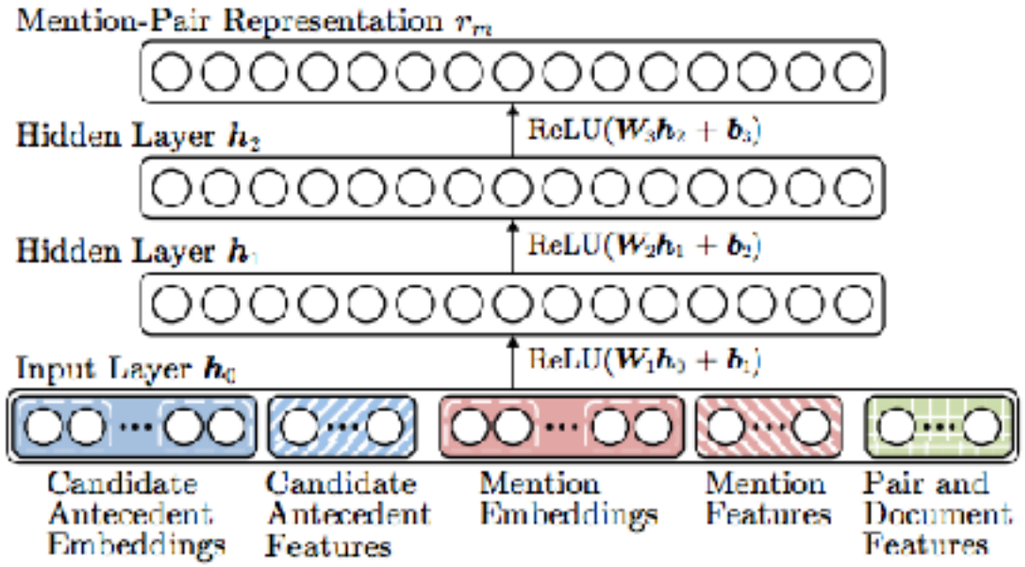
- **Learn the features** with embeddings since most of them can be captured by surface features.

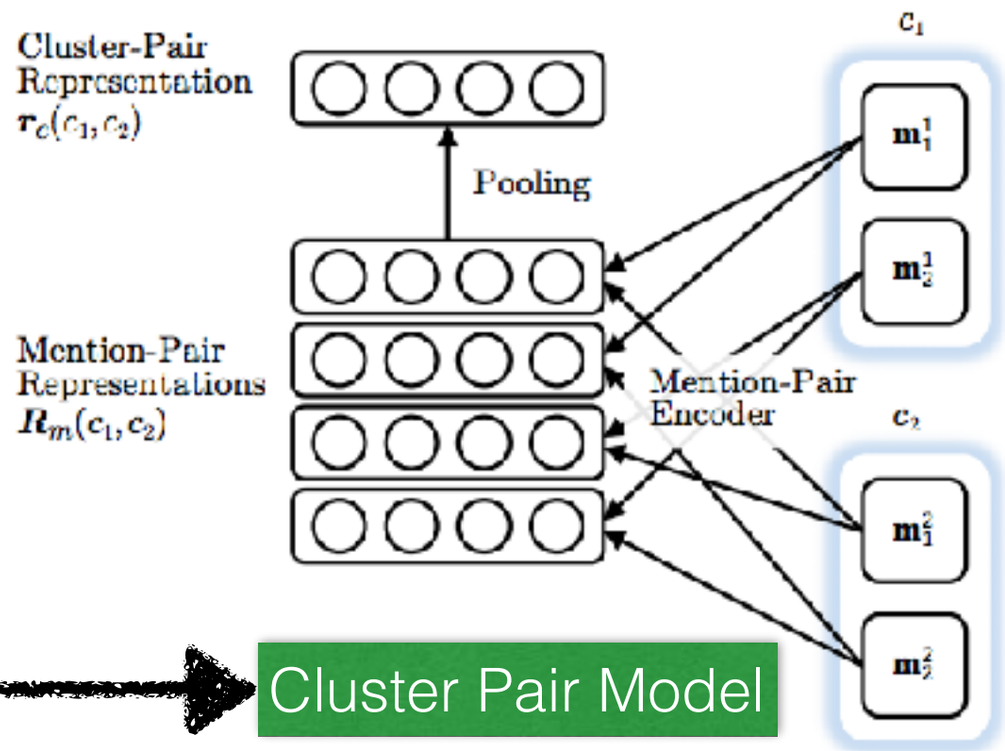
- **Train towards the metric** using reinforcement learning or margin-based methods.

- **Jointly perform mention detection** and clustering.

Coreference Resolution w/ Entity-Level Distributed Representations

Clark & Manning (2015)





Mention Pair Model → Cluster Pair Model

- Mention Pair Model and Cluster Pair model to capture representation
- Typical Coreference Features are used as embeddings or on-hot features
- Mention Pair Features are fed to the cluster pair features, followed by pooling

Feature

- Heuristic Max-Margin as in Wiseman et al.(2015) and Durrett & Klein (2013)

Objective

- Cluster merging as with Policy Network (MERGE or PASS)
- Trained with SEARN (Daume III et al., 2009)

Training

Deep Reinforcement Learning for Mention-Ranking Coreference Models

Clark & Manning (2016)

- A continuation of the previous model:
  - Same features and structure.
- Objective changed: reinforcement learning
  - Choosing which previous antecedent is considered as an action of the agent.
  - The final reward is one of the 4 main evaluation metric in coreference (B-Cubed).
  - Best model is reward-rescaled reinforcement method.

Cluster Features w/ Neural Network

Wiseman et.al (2016)

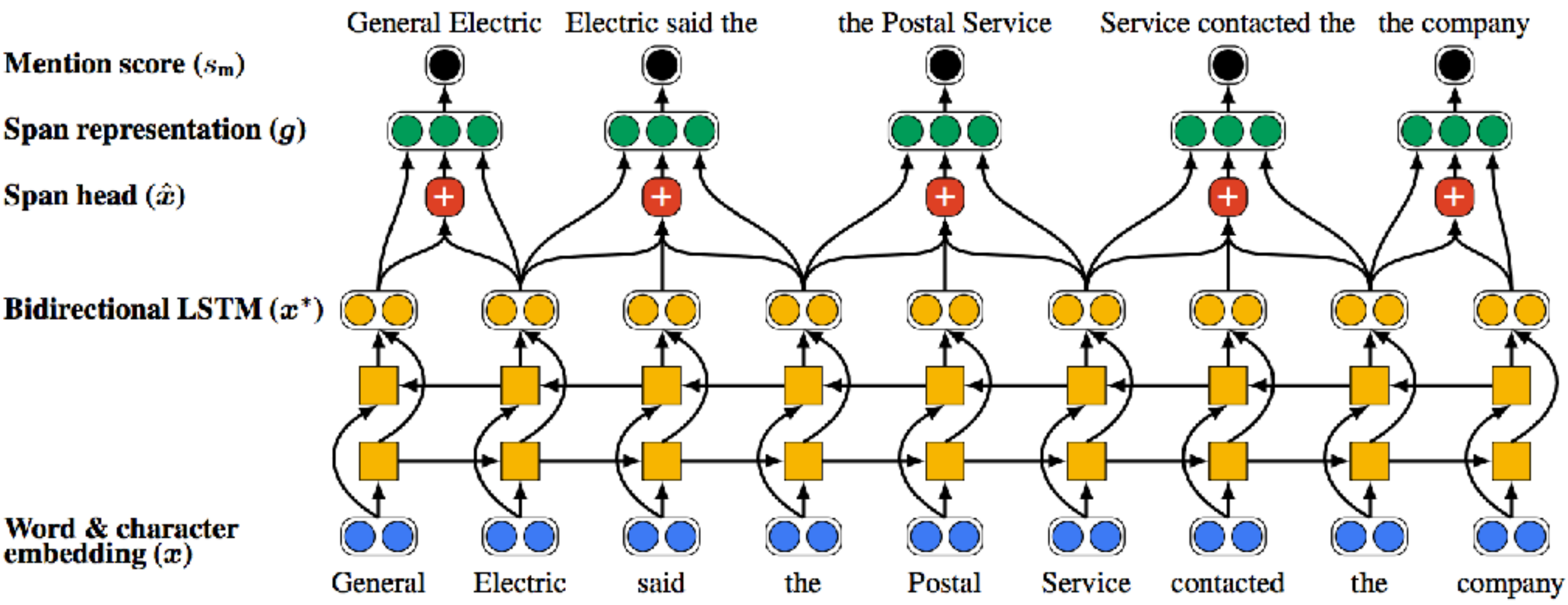
- Cluster level features are difficult to capture.
- Example cluster level features:
  - most-female=true (how to define most?).
  - Pronoun sequence: C-P-P = true.
- Use RNN to embed features from multiple mentions into a single representation.
  - No hand designed cluster level feature templates.

End-to-End Neural Coreference

Lee et.al (2017)

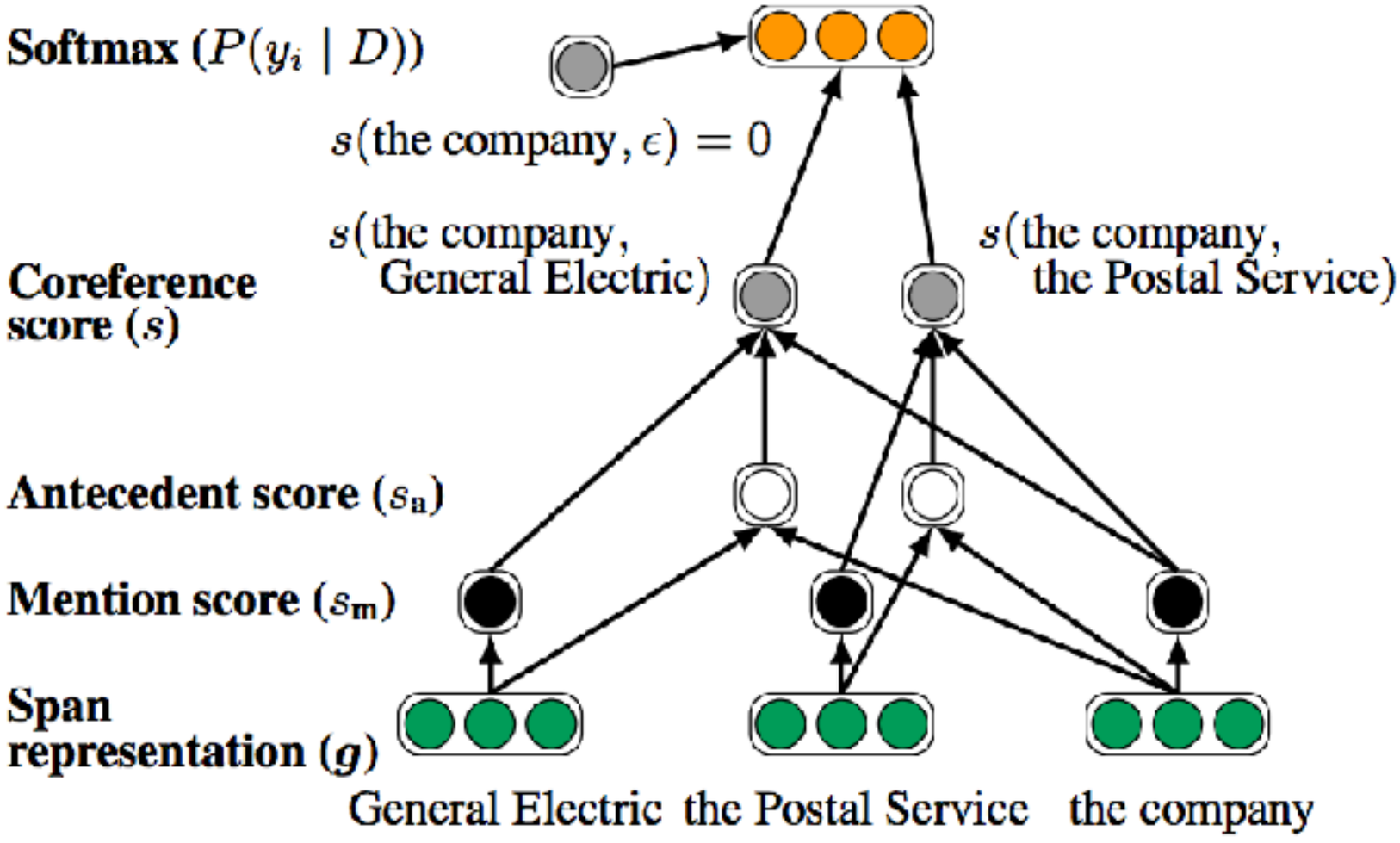
- 2 main contributions by this paper:
  - Can we represent all features with a more typical neural network embedding way?
  - Can neural network allow errors to flow end-to-end? All the way to mention detection?
    - This solves another type of error (span error), which is not previously handled.

End-to-End Neural Coreference (Span Model)



- Build mention representation from word representation (all possible spans)
- Head extracted by self-attention.

End-to-End Neural Coreference (Coreference Model)

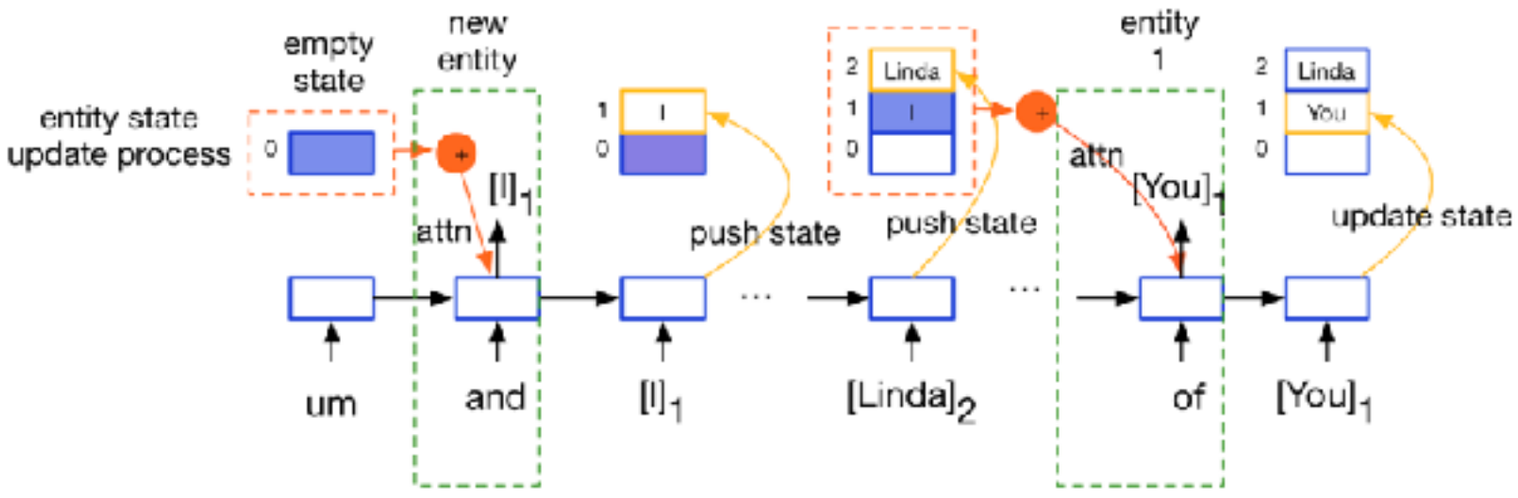


- Coreference model is similar to a mention ranking.
- Coreference score consist of multiple scores.
- Simple max-likelihood

Using Coreference in Neural Models

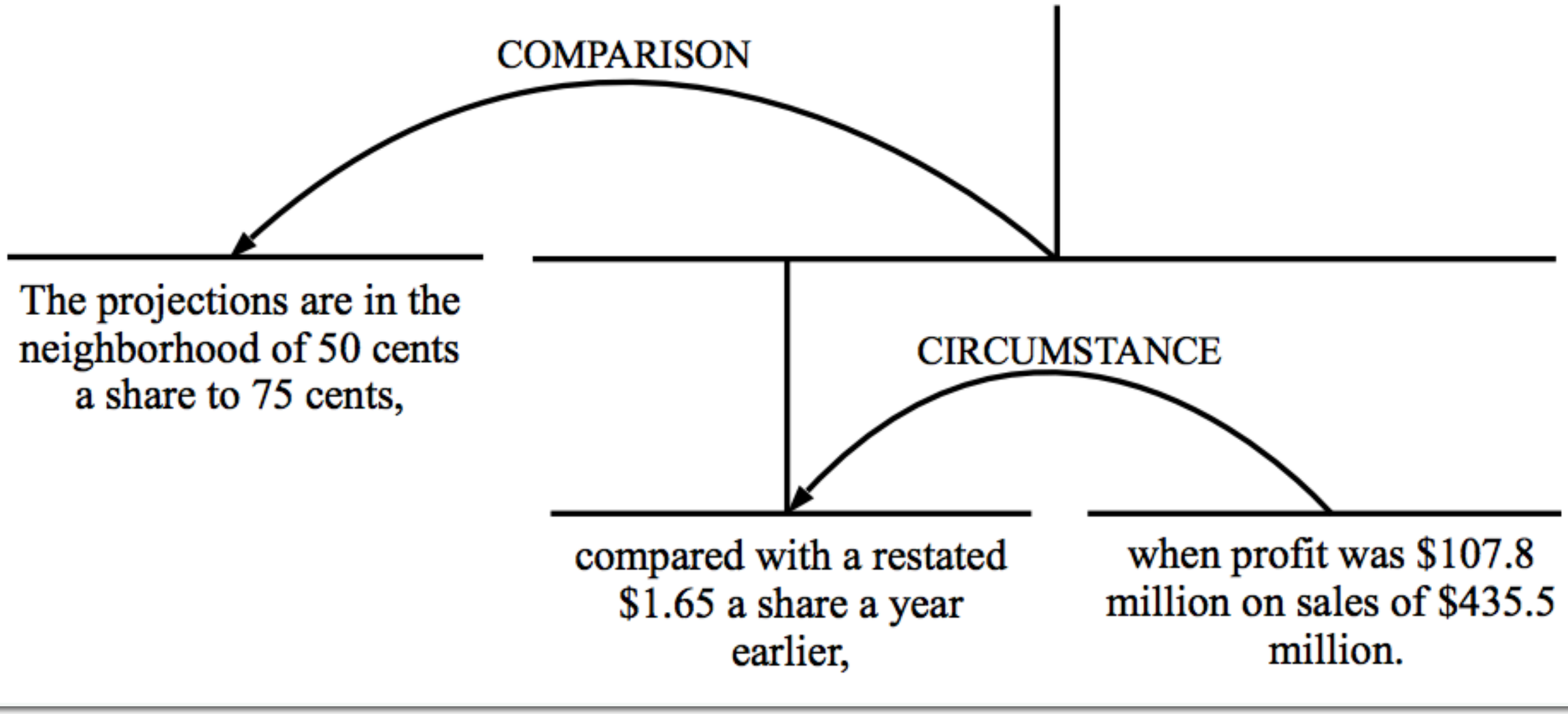
- Co-reference aware language modeling (Yang et al. 2017)

um and [I]$_1$ think that is whats - Go ahead [Linda]$_2$. Well and thanks goes to [you]$_1$ and to [the media]$_3$ to help [us]$_4$...So [our]$_4$ hat is off to all of [you]$_5$...



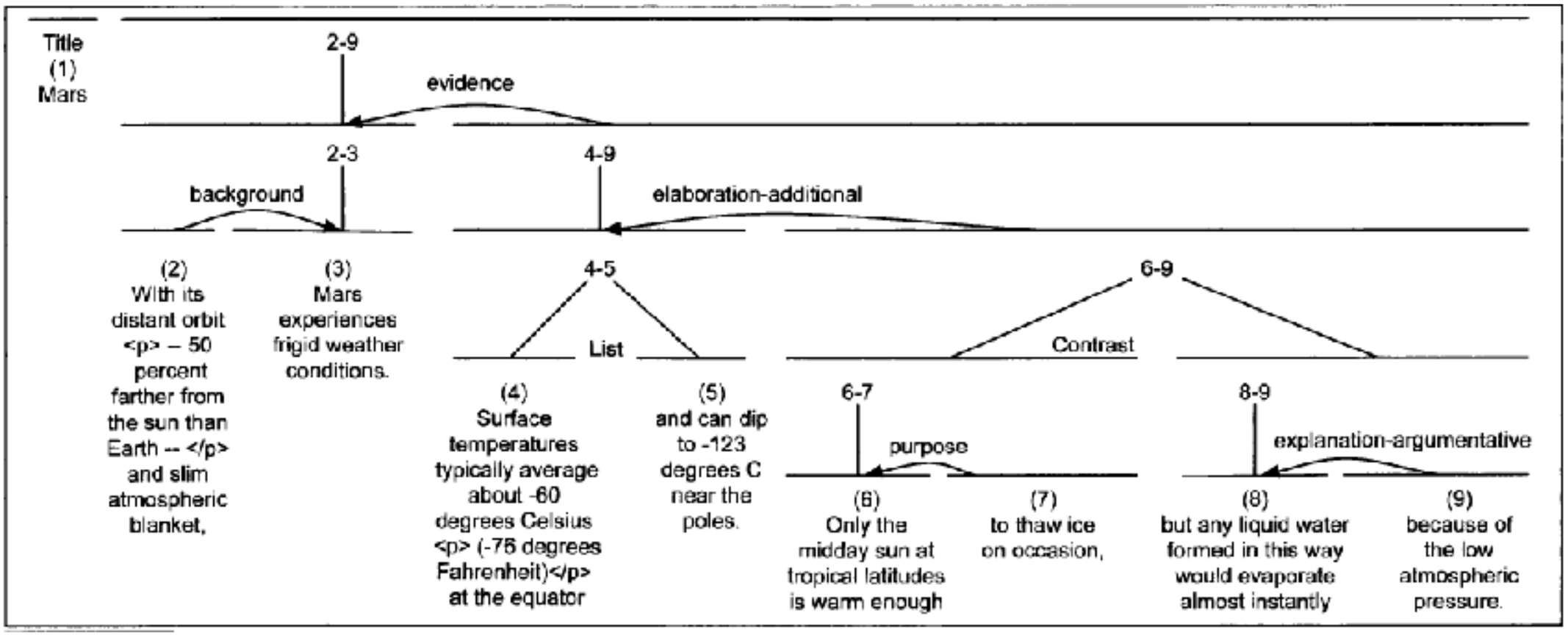
- Co-reference aware QA models (Dhingra et al. 2017)

mary — got — the — football — she — went — to — the — kitchen — she — left — the — ball — there



Discourse Parsing

Document Problems: Discourse Parsing



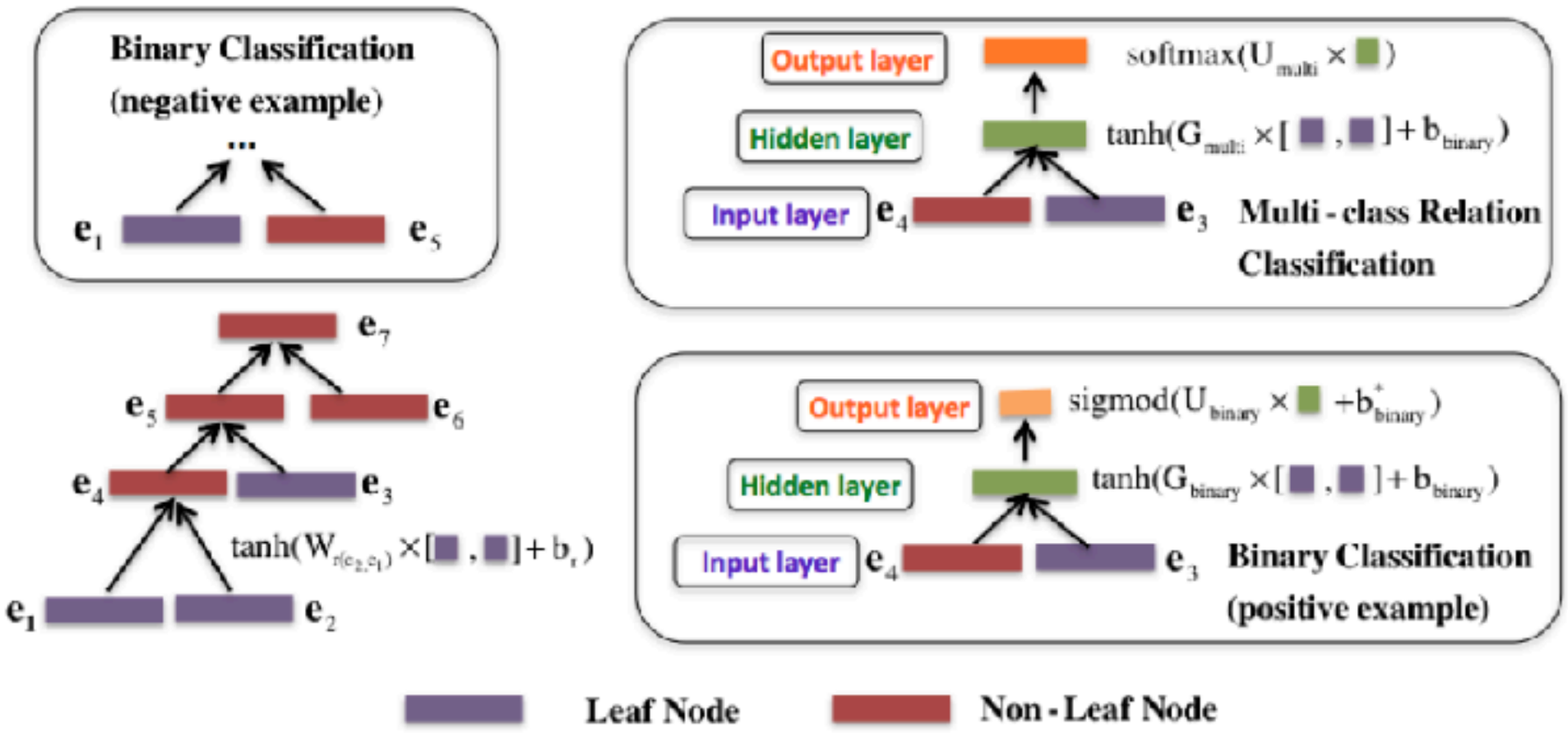
- Parse a piece of text into a relations between discourse units (EDUs).

- Researchers mainly used the Rhetorical Structure Theory (RST) formalism, which forms a tree of relations.

Example RST structures from Marcu (2000)

Recursive Deep Models for Discourse Parsing

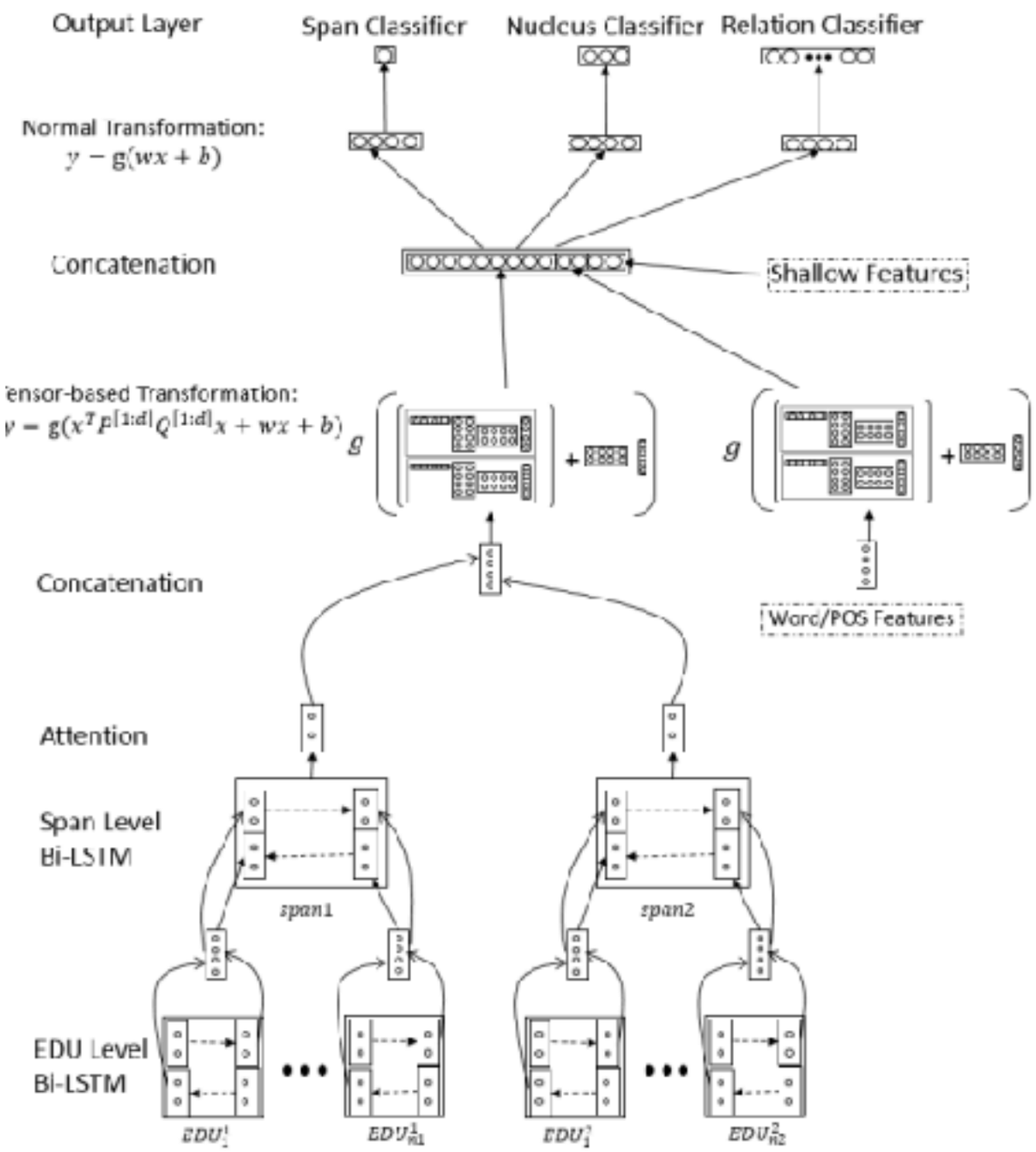
Li et.al (2014)



- Recursive NN for discourse parsing (similar to Socher's recursive parsing)
- First determine whether two spans should be merged (Binary)
- Then determine the relation type

Discourse Parsing w/ Attention-based Hierarchical Neural Networks

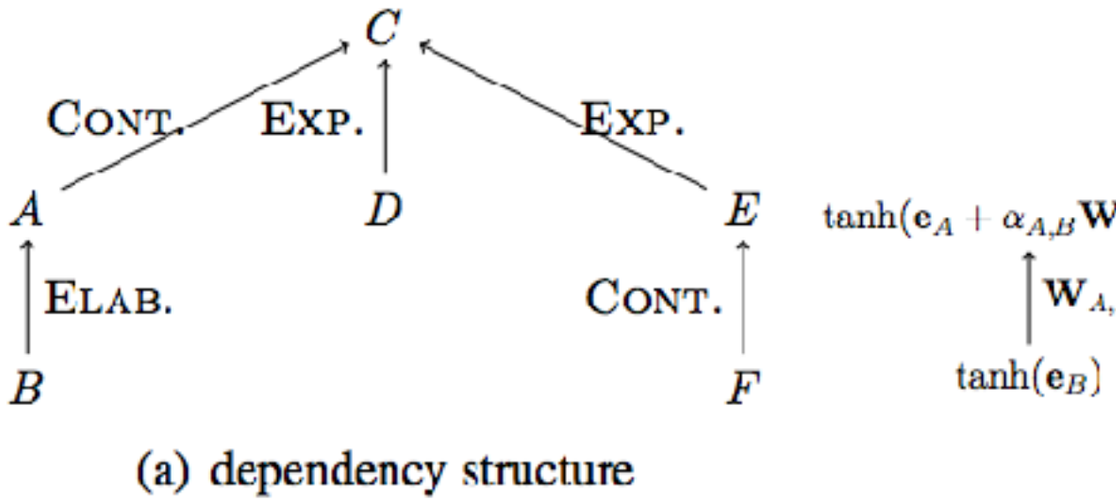
Li et.al (2016)



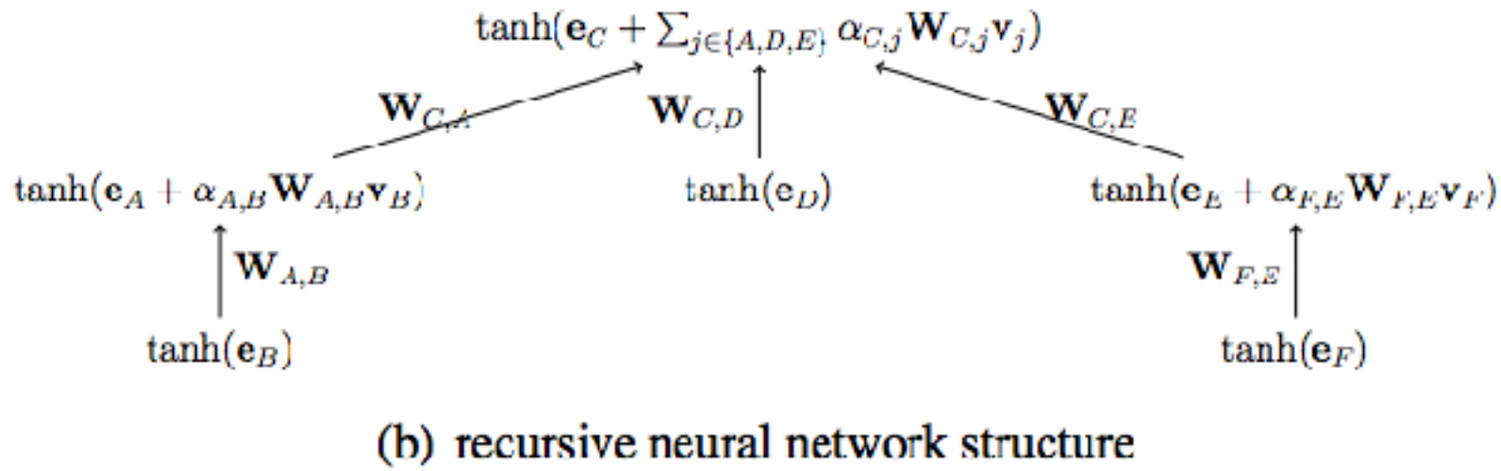
- Hierarchical bi-LSTM to learn composition scoring.
- Augmented with attention mechanism. (Span is long)
- 2 Bi-LSTMs: first used to capture the representation of a EDU, then combine EDU representation into larger representation
- CKY Parsing

Uses of Discourse Structure in Neural Models

- Discourse-structured classification with neural models (Ji and Smith 2017)

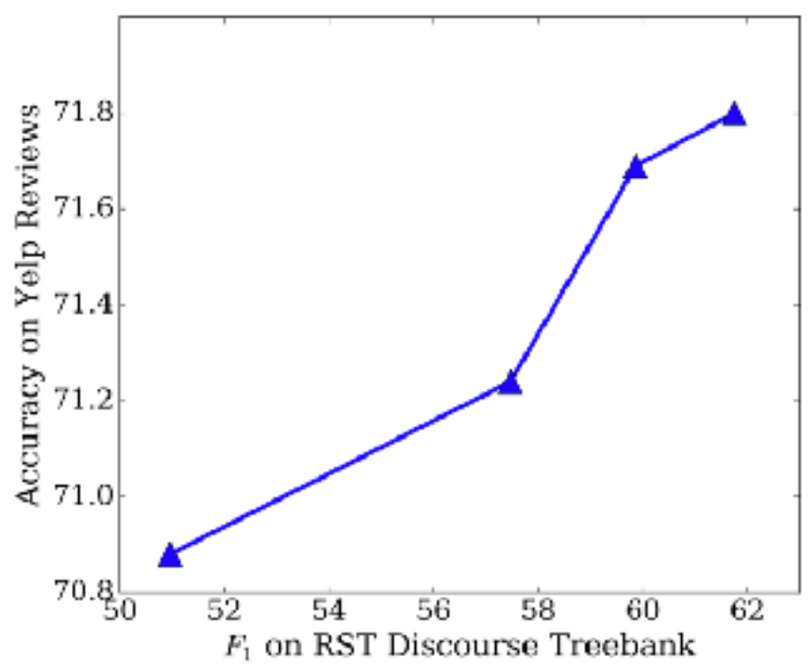


(a) dependency structure



(b) recursive neural network structure

- Good results, and more interestingly, discourse parsing accuracy very important!



Questions?