



# Improved Statistical Models for SMT-Based Speaking Style Transformation

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# 1. Overview of Speaking-Style Transformation

# Speaking Style Transformation (SST)

- ASR is generally modeled to find the verbatim utterance  $V$  given acoustic features  $X$
- In many cases verbatim speech is difficult to read:

**V**

ya know when I was asked earlier about uh the issue of coal uh you under my plan uh of a cap and trade system ...

- In order to create usable transcripts from ASR results, it is necessary to transform  $V$  into clean text  $W$

**W**

When I was asked earlier about the issue of coal under my plan of a cap and trade system, ...



# Previous Research

- **Detection-Based Approaches**

- Focus on deletion of fillers, repeats, and repairs, as well as insertion of punctuation
- Modeled using noisy-channel models [Honal & Schultz 03, Maskey et al. 06], HMMs, and CRFs [Liu et al. 06]

- **SMT-Based Approaches**

- Treat spoken and written language as different languages, and “translate” between them
- Proposed by [Shitaoka et al. 04] and implemented using WFSTs and log-linear models in [Neubig et al. 09]
- Is able to handle colloquial expression correction, insertion of dropped words (important for formal settings)


# Research Summary

- Propose **two enhancements of the statistical model** for finite-state SMT-based SST
  - **Incorporation of context** in a noisy channel model by transforming context-sensitive joint probabilities to conditional probabilities
  - Allowing **greater emphasis on frequent patterns** by log-linearly interpolating joint and conditional probability models
- Evaluation of the proposed methods on both verbatim transcripts and ASR output for the Japanese Diet (national congress)

## 2. Noisy-Channel and Joint-Probability Models for SMT

# Noisy Channel Model

- Statistical models for SST attempt to maximize  $P(W|V)$
- Training requires a parallel corpus of  $W$  and  $V$ 
  - It is generally easier to acquire a large volume of clean transcripts ( $W$ ) than a parallel corpus ( $W$  and  $V$ )
  - Bayes' law is used to decompose the probabilities

$$\begin{aligned}\hat{W} &= \operatorname{argmax}_W P(W|V) \\ &= \operatorname{argmax}_W P_t(V|W) P_l(W)\end{aligned}$$


Translation Model (TM)

Language Model (LM)

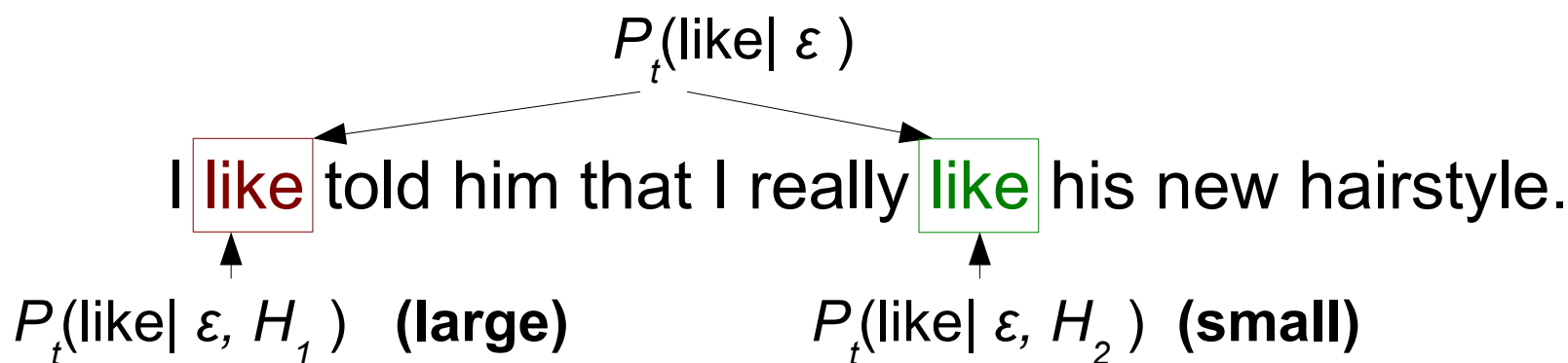
- $P_l(W)$  is estimated using an  $n$ -gram (3-gram) model

# Probability Estimation for the TM

- $P_t(V|W)$  is difficult to estimate for the whole sentence
  - Assume that the word TM probabilities are independent
  - Set the sentence TM probability equal to the product of the word TM probabilities

$$P_t(V|W) \approx \prod_i P_t(v_i|w_i)$$

- However, the word TM probabilities are actually not context independent





# Joint Probability Model

## [Casacuberta & Vidal 2004]

- The joint probability model is an alternative to the noisy-channel model for speech translation

$$\hat{W} = \underset{W}{\operatorname{argmax}} P_t(W, V)$$

- Sentences are aligned into matching words or phrases

$V=$	<i>ironna</i>	<i>e-</i>	<i>koto</i>	<i>de</i>	<i>chumon</i>	<i>tsukeru</i>	<i>to</i>	<i>desu</i>	<i>ne</i>	...
$W=$	<i>iroiro na</i>		<i>koto</i>	<i>de</i>	<i>chumon</i>	<i>o</i>	<i>tsukeru</i>	<i>to</i>		...

- A sequence  $\Gamma$  of word/phrase pairs is created

$\Gamma=$  *ironnna/iroiro\_na* *e-/ε* *koto/koto* *de/de*  
*chumon/chumon* *ε/o* *tsukeru/tsukeru* *to/to* *desu/ε* *ne/ε*

## Joint Probability Model (2)

- The probability of  $\Gamma$  is estimated using a smoothed  $n$ -gram model trained on  $\Gamma$  strings

$$P_t(W, V) = P_t(\Gamma) \approx \prod_{k=1}^K P_t(y_k | y_{k-n+1}^{k-1})$$

- Context information is contained in the joint probability
- However, this probability can only be trained on parallel text (an LM probability cannot be used)

$$\operatorname{argmax}_W P_t(W | V) \neq \operatorname{argmax}_W P_t(W, V) P_l(W)$$

- It is desirable to have a **context-sensitive** model that can be **used with a language model**

### 3. A Context-Sensitive Translation Model

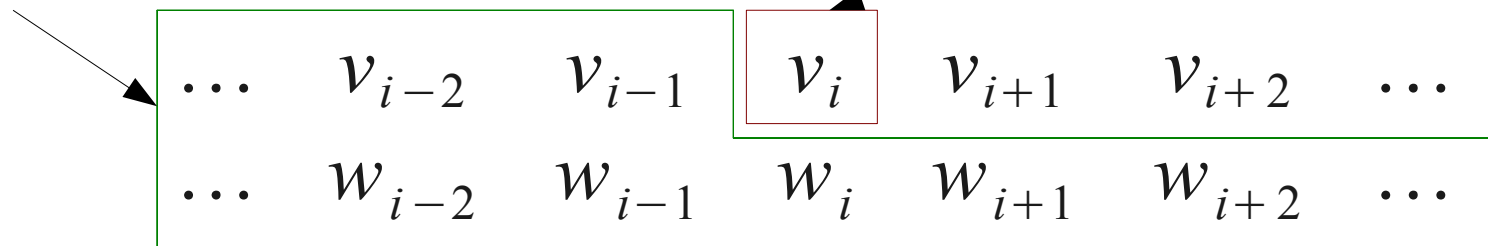
# Context-Sensitive Conditional Probability

- It is possible to model the conditional (TM) probability from right-to-left, similarly to the joint probability

$$\begin{aligned}
 P_t(V|W) &= \prod_{i=1}^k P_t(v_i | v_1, \dots, v_{i-1}, w_1, \dots, w_k) \\
 &= \prod_{i=1}^k P_t(v_i | \gamma_1, \dots, \gamma_{i-1}, w_i, \dots, w_k)
 \end{aligned}$$

Context Information

Prediction Unit



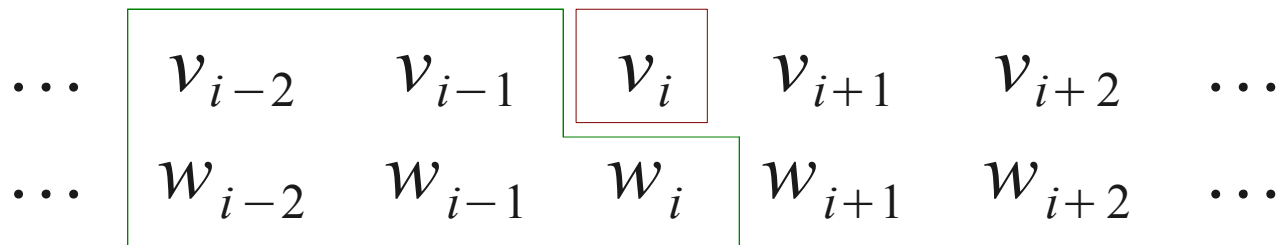
# Independence Assumptions

- To simplify the model, we make two assumptions
  - Assume that word probabilities rely only on preceding words

$$P_t(V|W) \approx \prod_{i=1}^k P_t(v_i | \mathcal{Y}_1, \dots, \mathcal{Y}_{i-1}, w_i)$$

- Limit the history length

$$P_t(V|W) \approx \prod_{i=1}^k P_t(v_i | \mathcal{Y}_{i-n+1}, \dots, \mathcal{Y}_{i-1}, w_i)$$



# Calculating Conditional Probabilities from Joint Probabilities

- It is possible to decompose this equation into its numerator and denominator

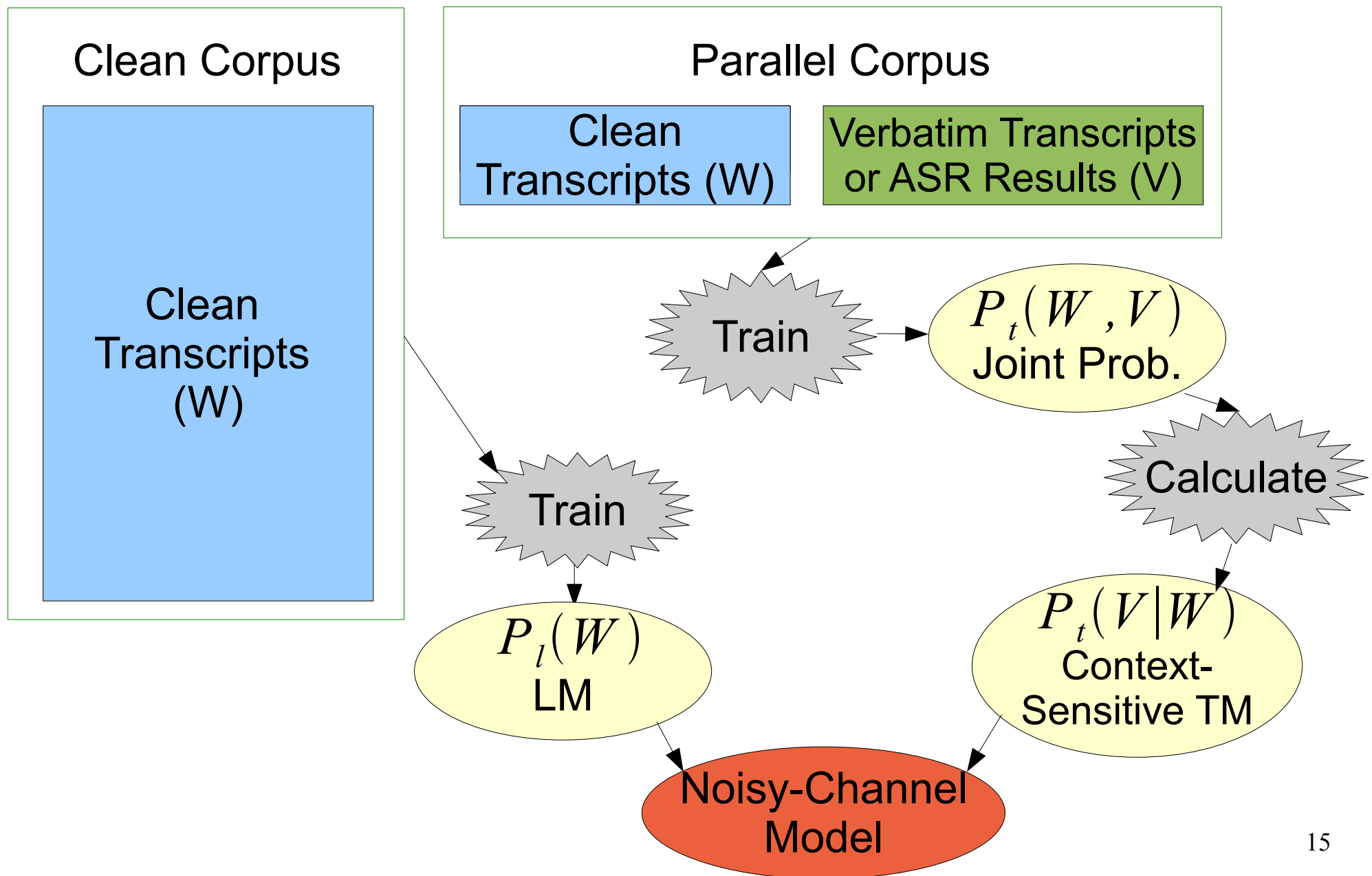
$$P_t(v_i | \mathcal{Y}_{i-n+1}, \dots, \mathcal{Y}_{i-1}, w_i) = \frac{P_t(\mathcal{Y}_i | \mathcal{Y}_{i-n+1}, \dots, \mathcal{Y}_{i-1})}{P_t(w_i | \mathcal{Y}_{i-n+1}, \dots, \mathcal{Y}_{i-1})}$$

- The numerator is equal to the joint  $n$ -gram probability, while the denominator can be marginalized

$$P_t(v_i | \mathcal{Y}_{i-n+1}, \dots, \mathcal{Y}_{i-1}, w_i) = \frac{P_t(\mathcal{Y}_i | \mathcal{Y}_{i-n+1}, \dots, \mathcal{Y}_{i-1})}{\sum_{\tilde{\mathcal{Y}} \in \{\tilde{\mathcal{Y}} : \langle \tilde{\mathcal{Y}}, w_i \rangle\}} P_t(\tilde{\mathcal{Y}} | \mathcal{Y}_{i-n+1}, \dots, \mathcal{Y}_{i-1})}$$

- This conditional probability **uses context information and can be combined with a language model**

# Training the Proposed Model





# Log-Linear Interpolation with the Joint Probability

- The joint probability contains information about pattern frequency not present in the conditional probability

$$c(Y_1) = 100$$

$$c(W_1) = 1000$$

$$c(Y_2) = 1$$

$$c(W_2) = 10$$

$$P_t(v_1|w_1) = P_t(v_2|w_2)$$

$$P_t(Y_1) \neq P_t(Y_2)$$

- High-frequency patterns are more reliable
- The strong points of both models can be utilized through log-linear interpolation

Noisy-Channel Model



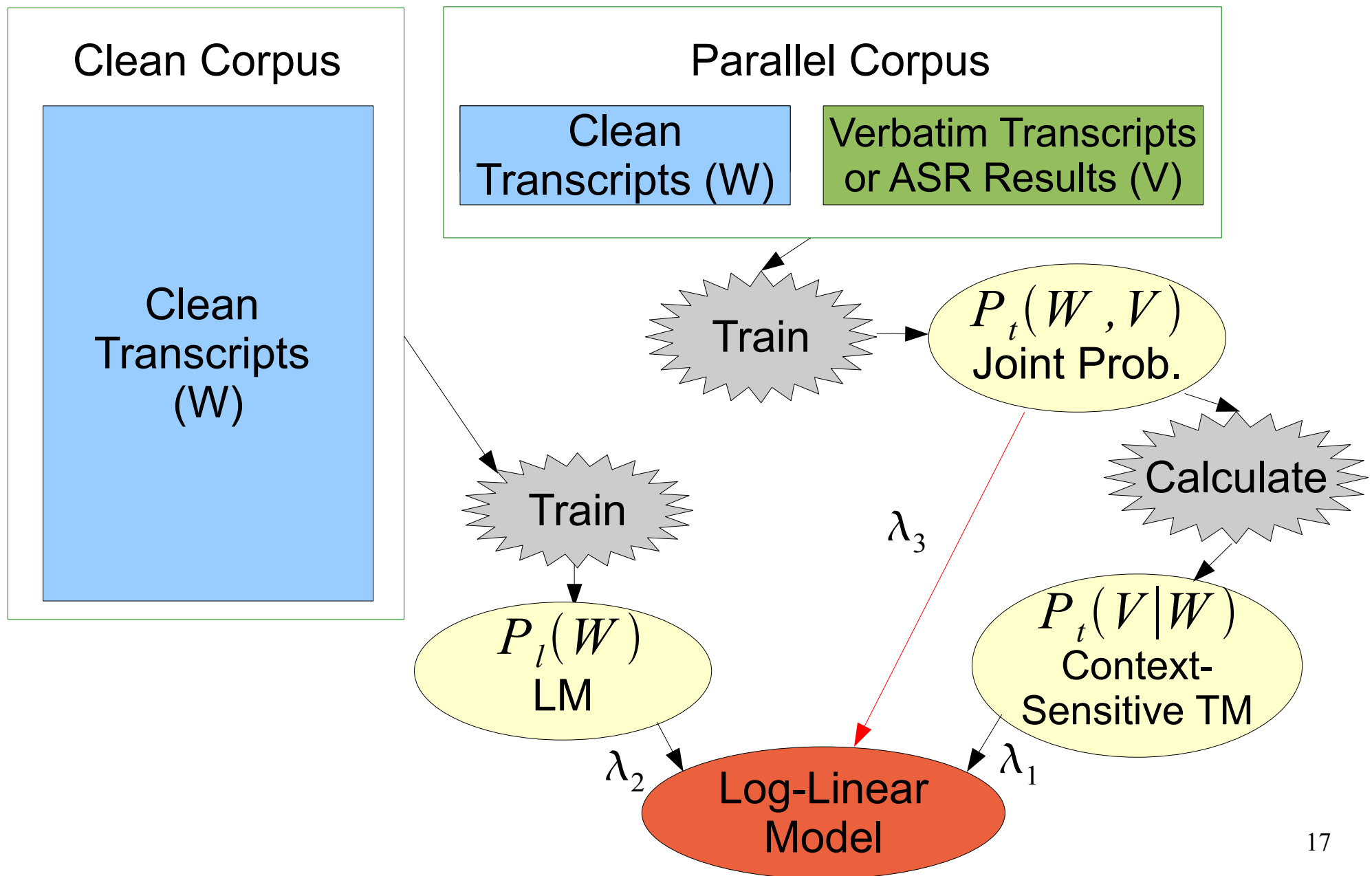
Joint Probability



$$\log(P(W|V)) \propto \lambda_1 \log(P_t(V|W)) + \lambda_2 \log(P_l(W)) + \lambda_3 \log(P_t(V, W))$$



# Training the Proposed Model



## 4. Evaluation

# Experimental Setup

- Verbatim transcripts and ASR output of meetings from the Japanese Diet were used as a target

Data Type	Size	Time Period
LM Training	158M	1/1999 - 8/2007
TM Training	2.31M	1/2003 - 10/2006
Weight Training	66.3k	10/2006-12/2006
Testing	300k	10/2007

- TM training:
  - Verbatim system: Verbatim transcripts and clean text
  - ASR system: **ASR output and clean text**
- Baseline: noisy channel, 3-gram LM, 1-gram TM

## Effect of Translation Models (Verbatim Transcripts)

- 4 models were compared
  - A) The context-sensitive noisy-channel model
  - B) **A** with log-linear interpolation of the LM and TM
  - C) The joint-probability model
  - D) **B** and **C** log-linearly interpolated
- Evaluated using edit distance from the clean transcript (WER), with no editing, the WER was **18.62%**

Model	LL	TM n-gram order		
		1-gram	2-gram	3-gram
A. Noisy-Channel (Noisy)		<u>6.51%</u>	5.33%	5.32%
B. Noisy-Channel (Noisy LL)	★	5.99%	5.15%	5.13%
C. Joint Probability (Joint)		9.89%	4.70%	4.60%
D. B+C (Noisy+Joint LL)	★	5.81%	4.12%	<b>4.05%</b>

## Effect of Translation Models (ASR Output)

- The WER between ASR output and verbatim transcripts (ASR WER) was **17.10%**
- ASR output and clean transcripts was **36.10%**

Model	LL	TM n-gram Order		
		1-gram	2-gram	3-gram
A. Noisy-Channel (Noisy)		<u>21.83%</u>	21.00%	21.09%
B. Noisy-Channel (Noisy LL)	★	21.63%	20.97%	21.09%
C. Joint Probability (Joint)		28.61%	22.62%	21.98%
D. B+C (Noisy+Joint LL)	★	21.32%	20.04%	<b>20.03%</b>

- The noisy-channel model was more effective than the joint-probability model for ASR output

# Comparison with Phrase-Based SMT (New Results)

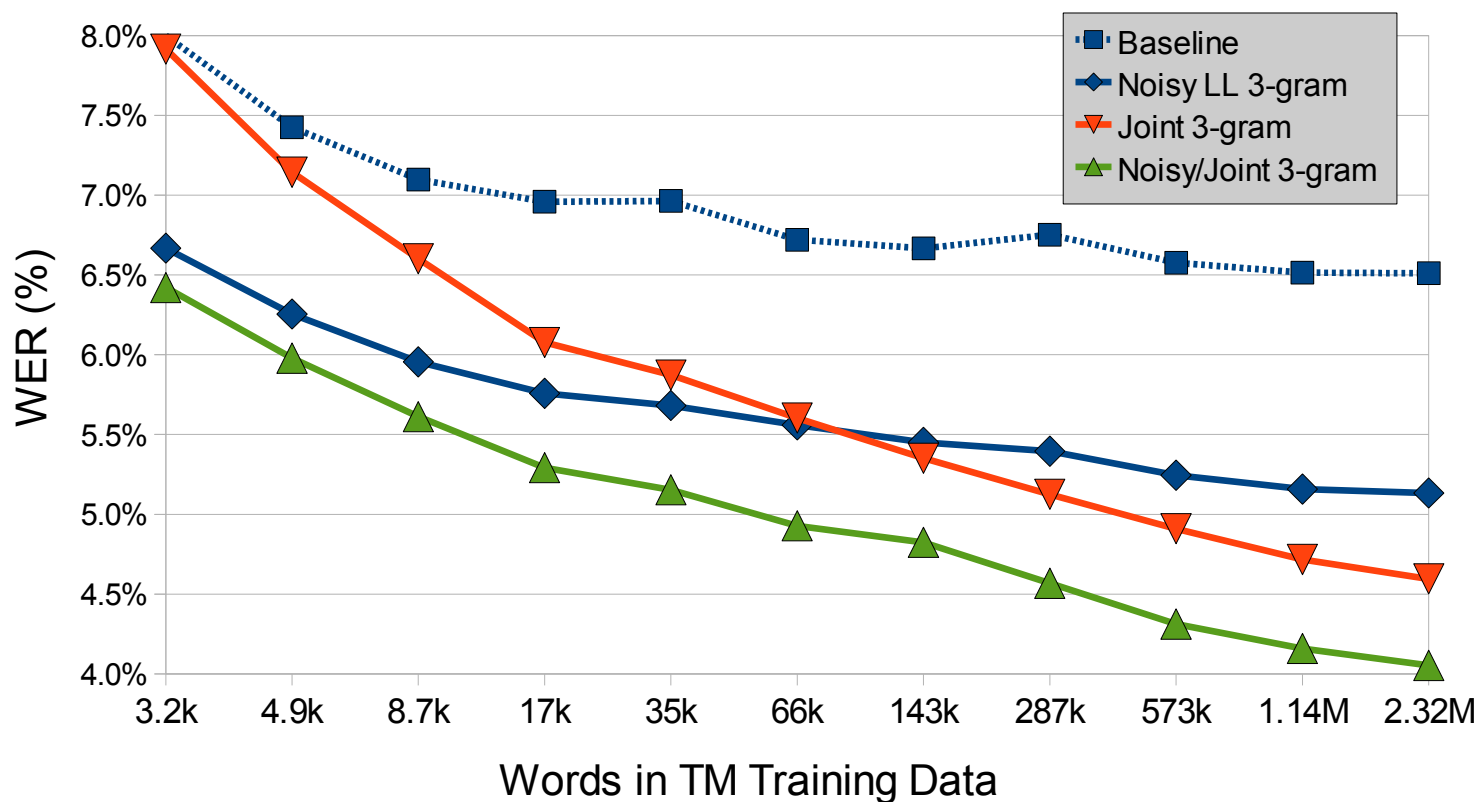
- The proposed techniques were also compared with Moses, a popular system for phrase-based SMT

Model	Verbatim WER	ASR WER
Baseline	6.51%	21.83%
Noisy LL (2-gram or 3-gram)	5.13%	20.97%
Noisy+Joint (2-gram or 3-gram)	<b>4.05%</b>	<b>20.03%</b>
Moses	5.45%	20.97%

- Noisy LL** is able to achieve performance as good or better than **Moses**, while **Noisy+Joint** greatly outperforms it

# Effect of Corpus Size (Verbatim Transcripts)

- The noisy-channel model is more effective with small data sizes, but the joint model improves rapidly



- Combining both allows for greater accuracy at all sizes



# Conclusion

- We proposed two improved statistical models for SMT-based SST
- The proposed methods showed a significant improvement over the baseline for verbatim transcripts and ASR results
- Models transforming ASR output can be trained without using verbatim transcripts
- A promising future direction is tight coupling with a WFST-based ASR decoder



Thank you for listening.

# Target Phenomena

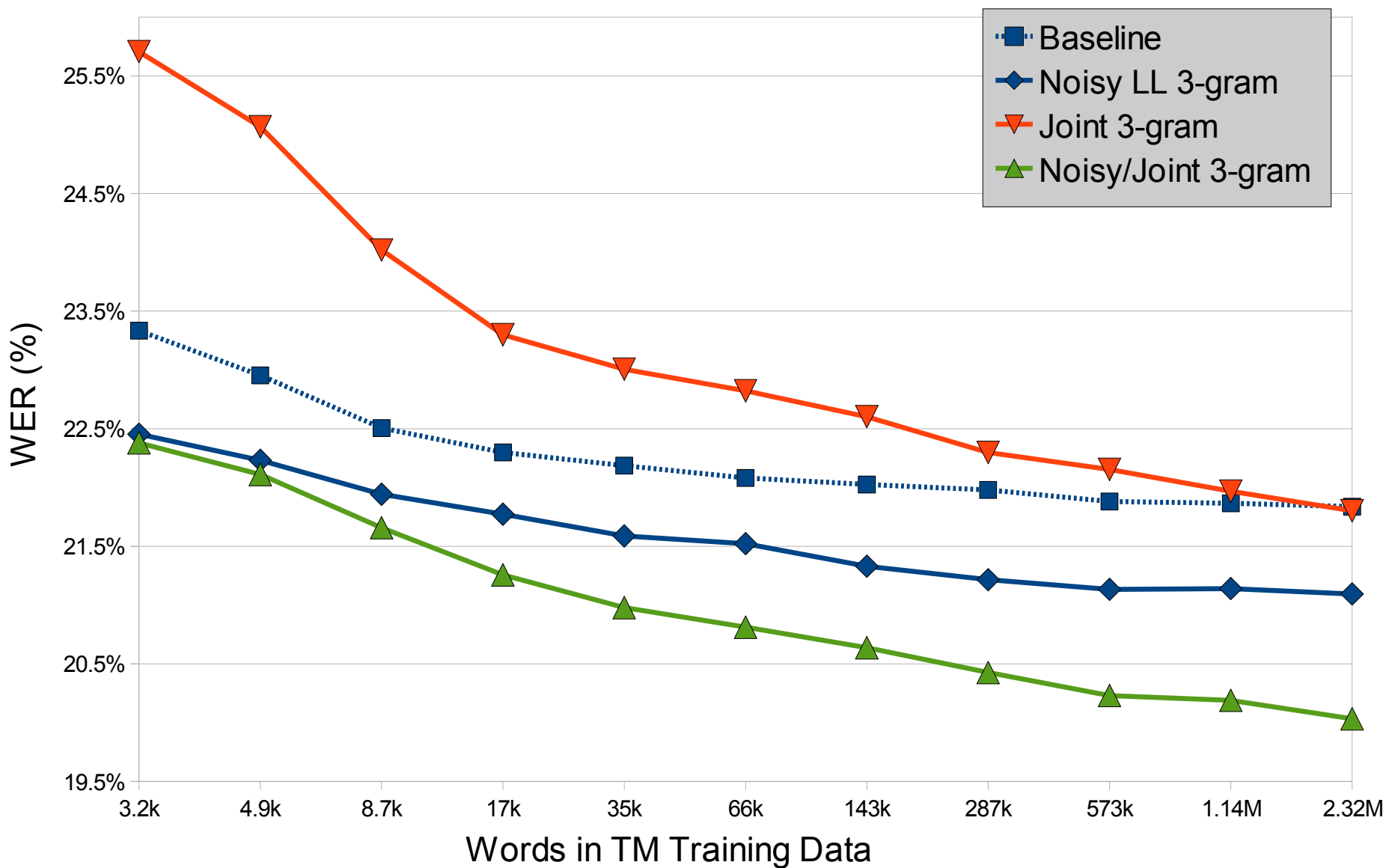
- **Deletion of Extraneous Words:** These include fillers (“um”), context-dependent deletions (“like”), repeats
- **Colloquial Expressions:** Expressions used in speech but less in writing (“ya'know”→“you know”, “*ironna*” → “*iroiro-na*”)
- **Insertion of Words and Punctuation:** Words are omitted in speech, but not in writing (“[did you] talk to the boss?”, “*chumon [o] tsukeru*”)

various	ahh	things	by	order	-obj	make	if	it is	
いろんな <i>ironna</i>	あー <i>a-</i>	こと	で	注文		つける	と	です ね	...
		<i>koto</i>	<i>de</i>	<i>chu-mon</i>		<i>tsukeru</i>	<i>to</i>	<i>desu ne</i>	
いろいろ な <i>iroiro na</i>		こと	で	注文	を	つける	と		...
		<i>koto</i>	<i>de</i>	<i>chu-mon</i>	<i>o</i>	<i>tsukeru</i>	<i>to</i>		
sub	fill				ins			non-fill	

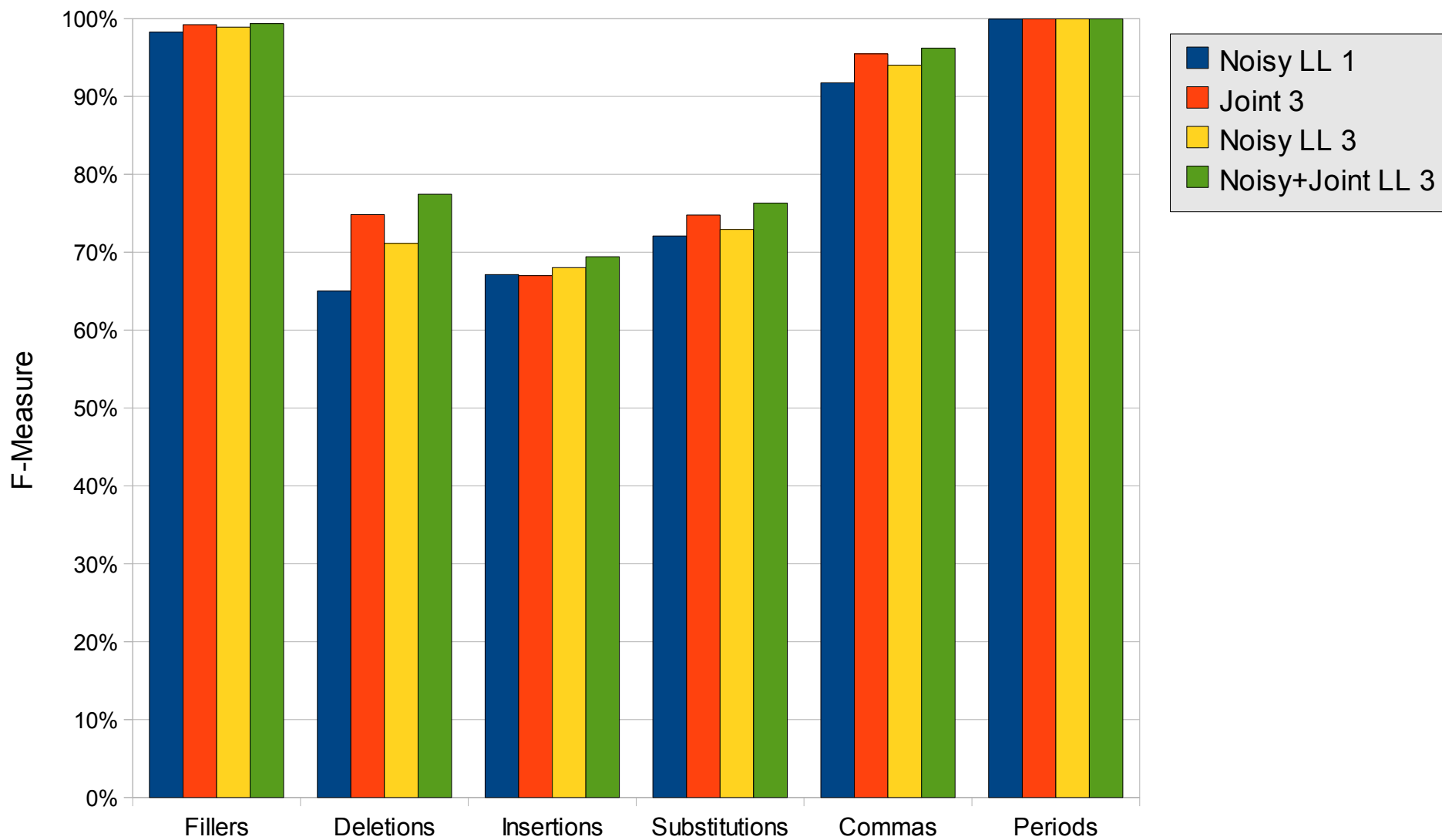
- **Other Phenomena:** order reversal, repairs, fragments



# Effect of Corpus Size (ASR Results)



## Accuracy by Transformation Type (Verbatim Transcript)





### Accuracy by Transformation Type (ASR Output)

