

# Lattice and Hypergraph MERT

Graham Neubig

Nara Institute of Science and Technology (NAIST)

12/20/2012

# Papers Introduced:

- “Lattice-based Minimum Error Rate Training for Statistical Machine Translation”  
Wolfgang Macherey, Franz Josef Och, Ignacio Thayer, Jakob Uszkoreit (Google)  
EMNLP 2008
- “Efficient Minimum Error Rate Training and Minimum Bayes-Risk Decoding for Translation Hypergraphs and Lattices”  
Shankar Kumar, Wolfgang Macherey, Chris Dyer, Franz Och (Google/University of Maryland)  
ACL-IJCNLP 2009

# Summary


- Minimum error rate training (MERT) is used to train the parameters for machine translation
- Normal MERT uses n-best lists
- However, there is not enough diversity in n-best lists,  
→ **unstable training & large accuracy fluctuations**
- As a solution these papers perform MERT over
  - lattices for phrase-based translation [Macherey+ 08]
  - hypergraphs for tree-based translation [Kumar+ 09]
- This leads to more stable training in fewer iterations

# Tuning/MERT

# Tuning


- **Scores** of translation, reordering, and language models

	<u>LM</u>	<u>TM</u>	<u>RM</u>	
○ Taro visited Hanako	-4	-3	-1	-8
✗ the Taro visited the Hanako	-5	-4	-1	-10
✗ Hanako visited Taro	-2	-3	-2	-7

Best Score ✗ 

- If we **add weights**, we can get better answers:

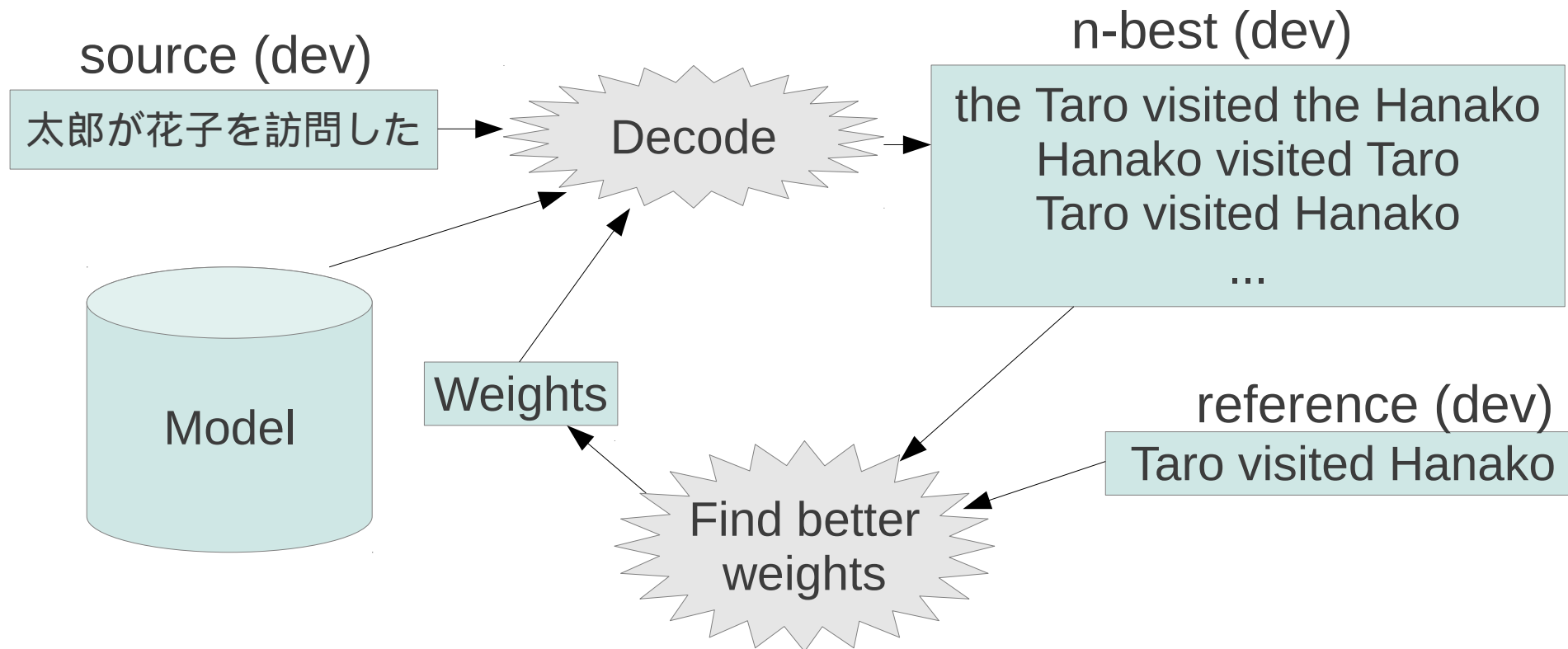
	<u>LM</u>	<u>TM</u>	<u>RM</u>	
○ Taro visited Hanako	0.2*-4	0.3*-3	0.5*-1	-2.2
✗ the Taro visited the Hanako	0.2*-5	0.3*-4	0.5*-1	-2.7
✗ Hanako visited Taro	0.2*-2	0.3*-3	0.5*-2	-2.3

Best Score ○ 

- Tuning finds these weights:  $w_{LM}=0.2$   $w_{TM}=0.3$   $w_{RM}=0.5$

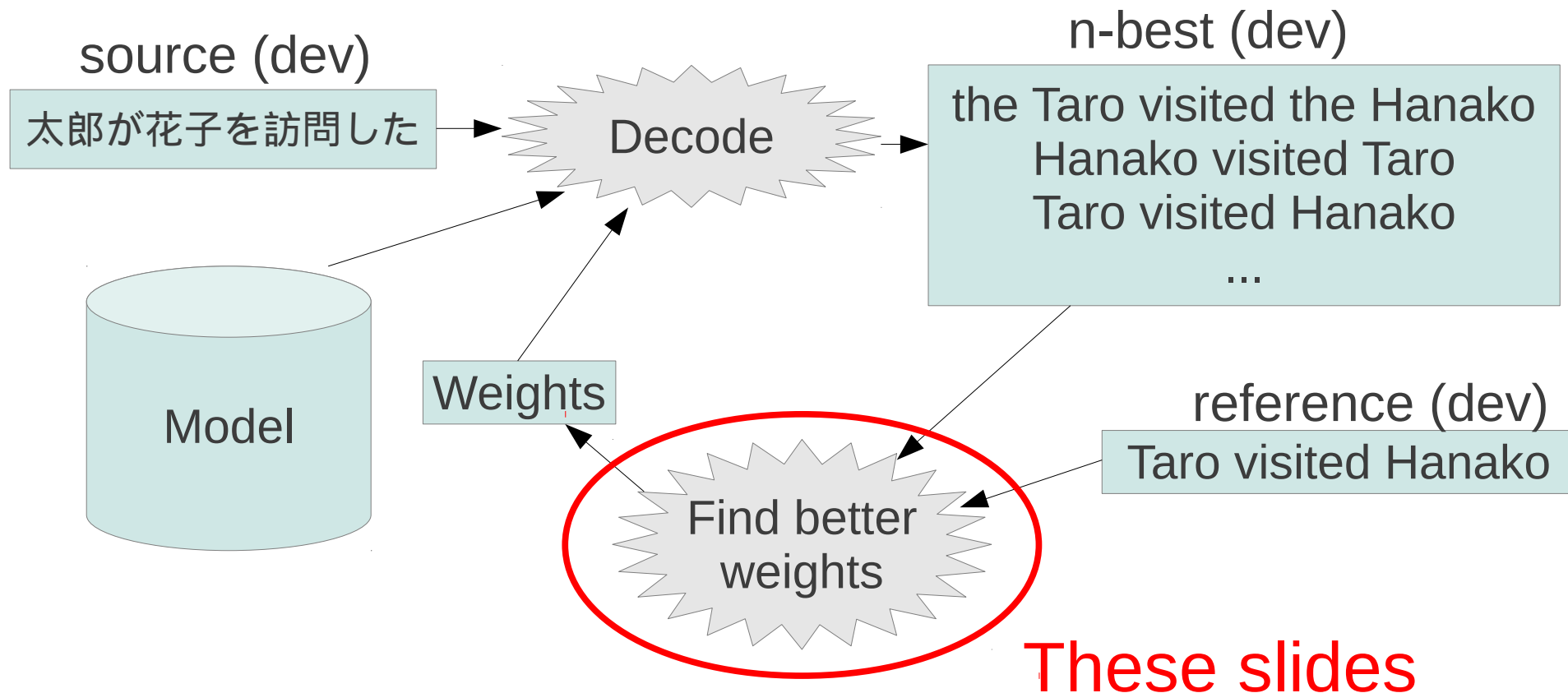
# MERT

- MERT performs iterations to increase the score  
[Och 03]



# MERT

- MERT performs iterations to increase the score  
[Och 03]



# MERT Weight Update:

- Adjust one weight at a time

	<u>Weights</u>			<u>Score</u>
	$w_{LM}$	$w_{TM}$	$w_{RM}$	
Initial:	0.1	0.1	0.1	0.20
Optimize $w_{LM}$ :	↓			
	0.4	0.1	0.1	0.32
Optimize $w_{TM}$ :		↓		
	0.4	0.1	0.1	0.32
Optimize $w_{RM}$ :			↓	
	0.4	0.1	0.3	0.4
Optimize $w_{LM}$ :	↓			
	0.35	0.1	0.3	0.41
Optimize $w_{TM}$ :		↓		



# Updating One Weight:

- We start with:  
n-best list

$f_1$	$\phi_{LM}$	$\phi_{TM}$	$\phi_{RM}$	BLEU*
$e_{1,1}$	1	0	-1	0
$e_{1,2}$	0	1	0	1
$e_{1,3}$	1	0	1	0

$f_2$	$\phi_{LM}$	$\phi_{TM}$	$\phi_{RM}$	BLEU*
$e_{2,1}$	1	0	-2	0
$e_{2,2}$	3	0	1	0
$e_{2,3}$	2	1	2	1

fixed weights:

$$w_{LM} = -1, w_{TM} = 1$$

weight to be adjusted:

$$w_{RM} = ???$$

\* Calculating BLEU for one sentence is a bit simplified, usually we compute for the whole corpus

# Updating One Weight:

- Next, transform each hypothesis into lines:

$$y = a x + b$$

- Where:
  - $a$  is the value of the feature to be adjusted
  - $b$  is the weighted sum of the fixed features
  - $x$  is the weight to be adjusted (unknown)

# Updating One Weight:

- Example:

$$w_{LM} = -1, w_{TM} = 1, w_{RM} = ???$$

$$y = ax + b$$

$$a = \phi_{RM} \quad b = w_{LM} \phi_{LM} + w_{TM} \phi_{TM}$$

$f_1$	$\phi_{LM}$	$\phi_{TM}$	$\phi_{RM}$
$e_{1,1}$	1	0	-1
$e_{1,2}$	0	1	0
$e_{1,3}$	1	0	1

$$a_{1,1} = -1$$

$$b_{1,1} = -1$$

$$a_{1,2} = 0$$

$$b_{1,2} = 1$$

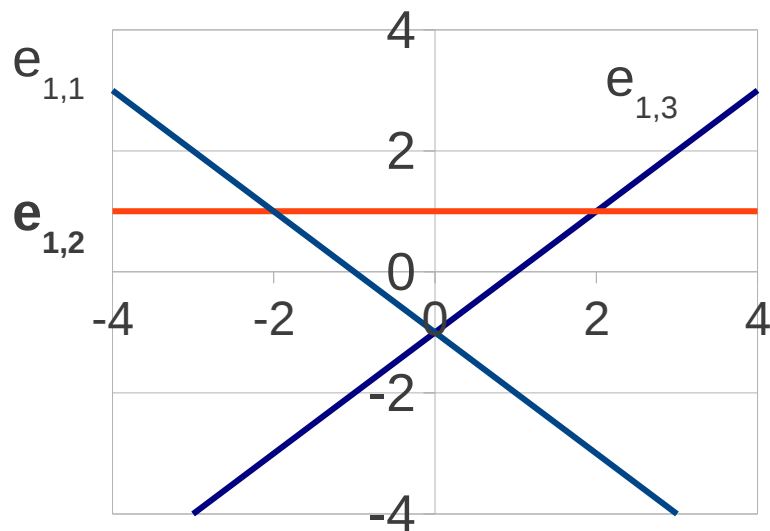
$$a_{1,3} = 1$$

$$b_{1,3} = -1$$

# Updating One Weight:

- Draw lines on a graph:  $y = ax + b$

$f_1$  hypotheses



$$a_{1,1} = -1$$

$$b_{1,1} = -1$$

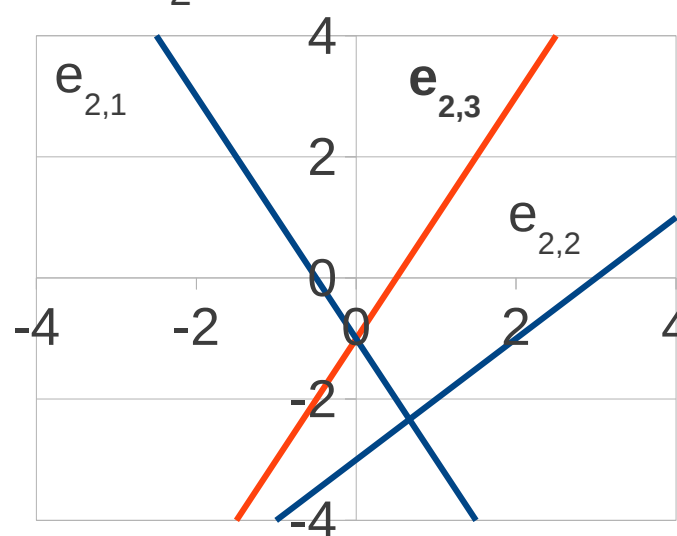
$$a_{1,2} = 0$$

$$b_{1,2} = 1$$

$$a_{1,3} = 1$$

$$b_{1,3} = -1$$

$f_2$  hypotheses



$$a_{2,1} = -2$$

$$b_{2,1} = -1$$

$$a_{2,2} = 1$$

$$b_{2,2} = -3$$

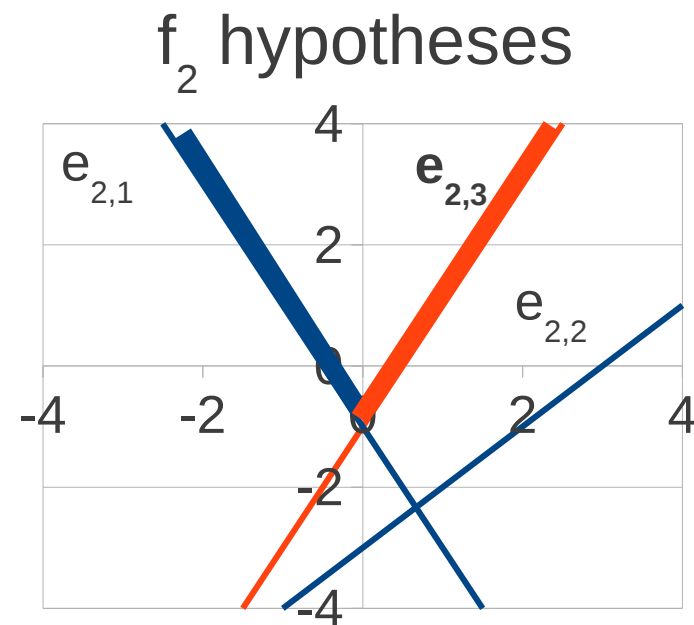
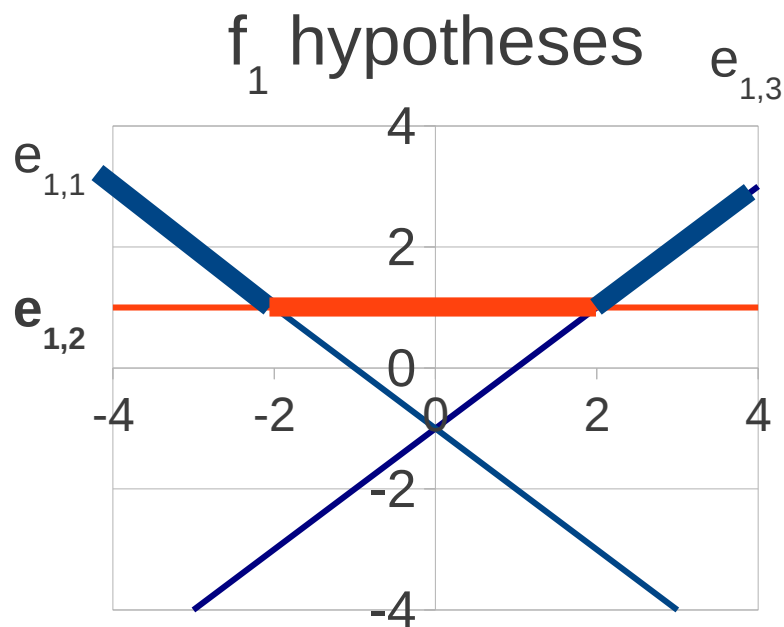
$$a_{2,3} = -2$$

$$b_{2,3} = 1$$



# Updating One Weight:

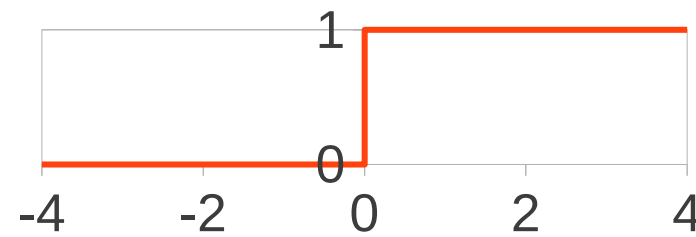
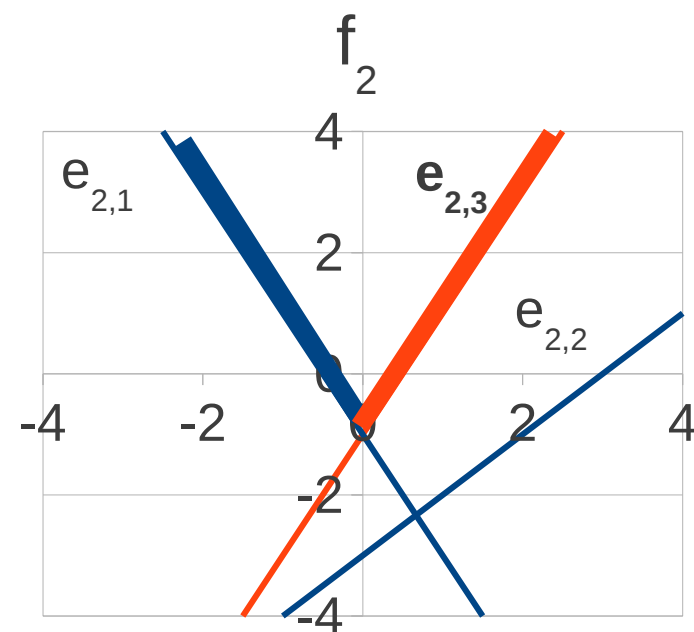
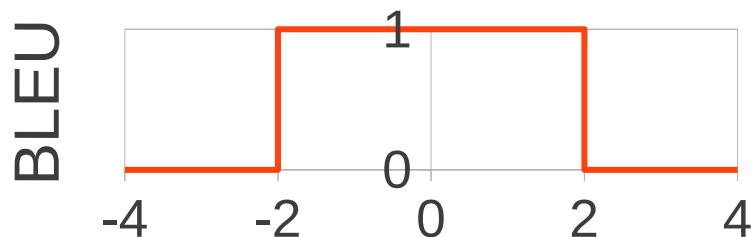
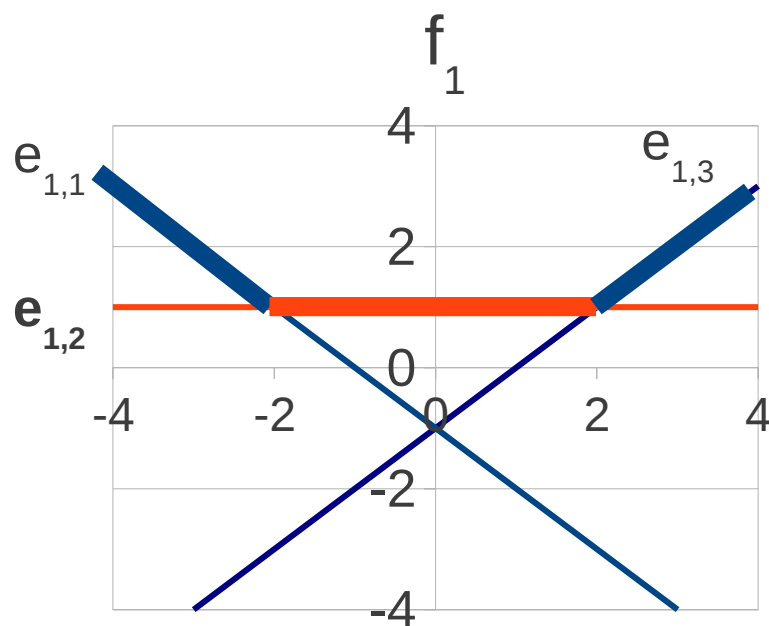
- Find the lines that are highest for each range of  $x$ :



- This is called the **convex hull** (or **upper envelope**)

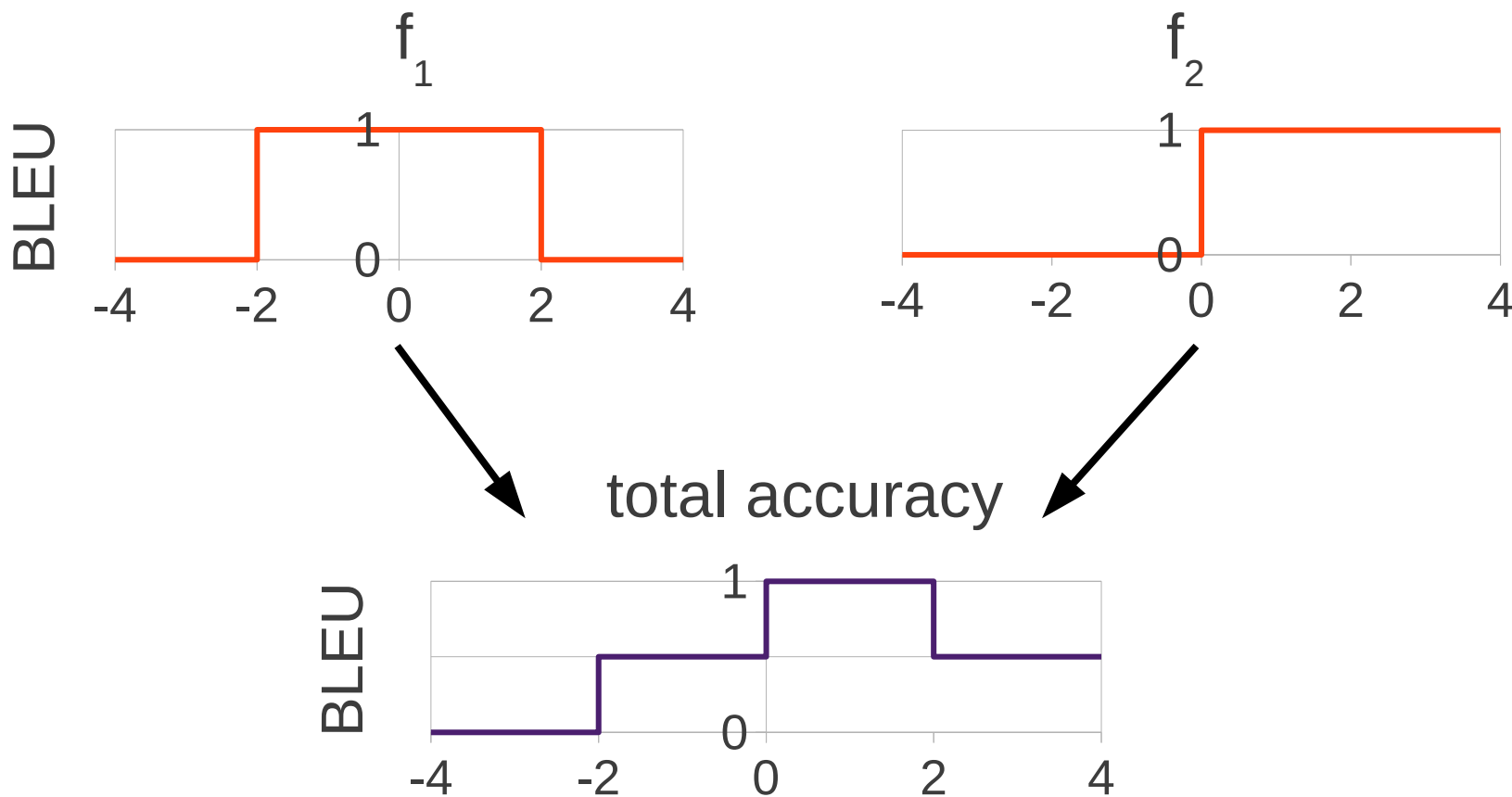
# Updating One Weight:

- Using the convex hull, find scores at each range:



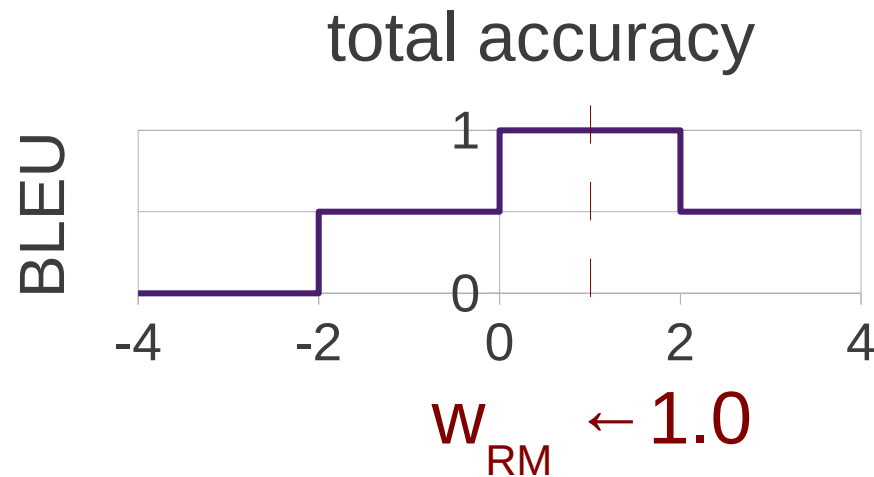
# Updating One Weight:

- Combine multiple sentences into a single error plane:



# Updating One Weight:

- Choose middle of best region:





# Summary

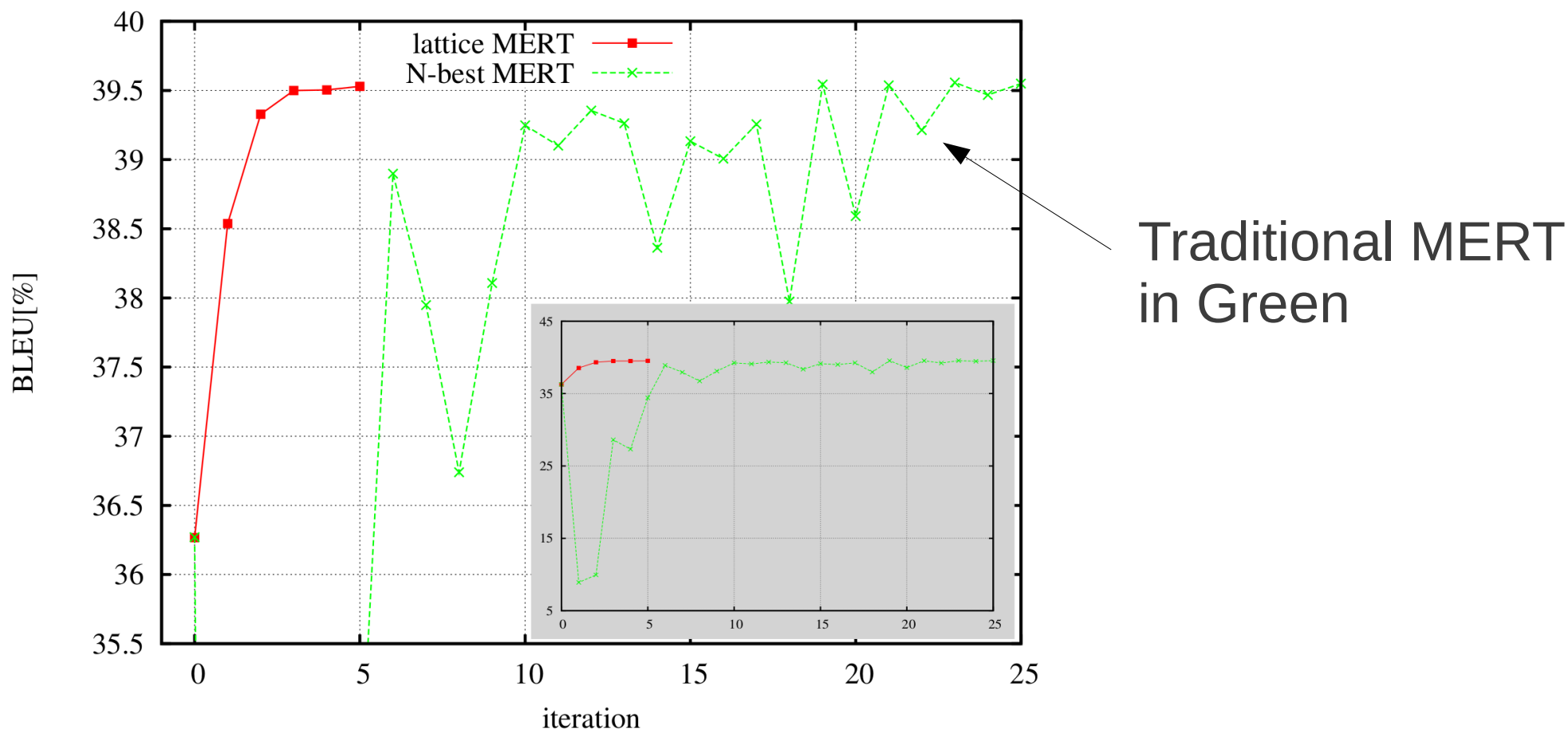
- For each sentence:
  - Create lines for each n-best hypothesis
  - Combine lines and find upper envelope
  - Transform upper envelope into error surface
- Combine error surfaces into one
- Find the range with the highest score
- Set the weight to the middle of the range

# Summary

- For each sentence: **Problem!** (not enough diversity)
  - Create lines for each **n-best** hypothesis
  - Combine lines and find upper envelope
  - Transform upper envelope into error surface
- Combine error surfaces into one
- Find the range with the highest score
- Set the weight to the middle of the range

# Result of Lack of Diversity

- Unstable training:

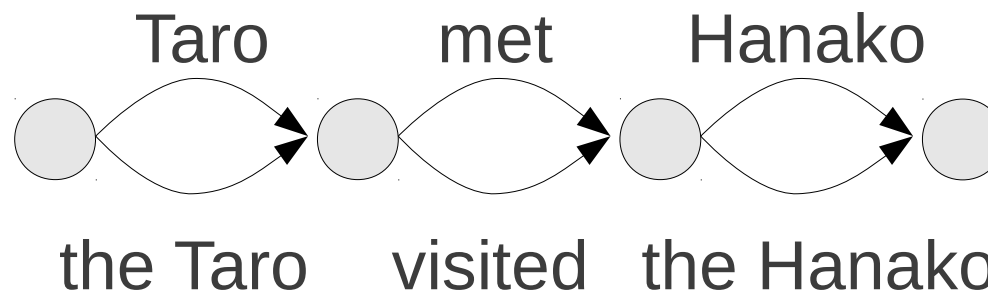


Traditional MERT  
in Green

# Lattice MERT

# Translation Lattice

- Represent many hypotheses compactly:

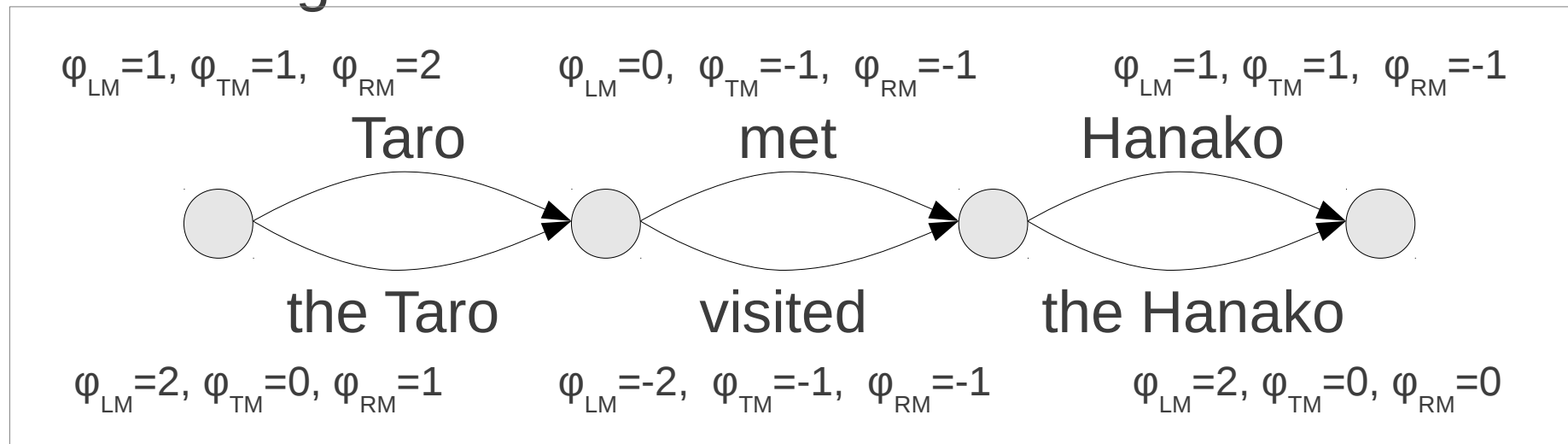


**8 hypotheses**  
in only  
**6 edges**

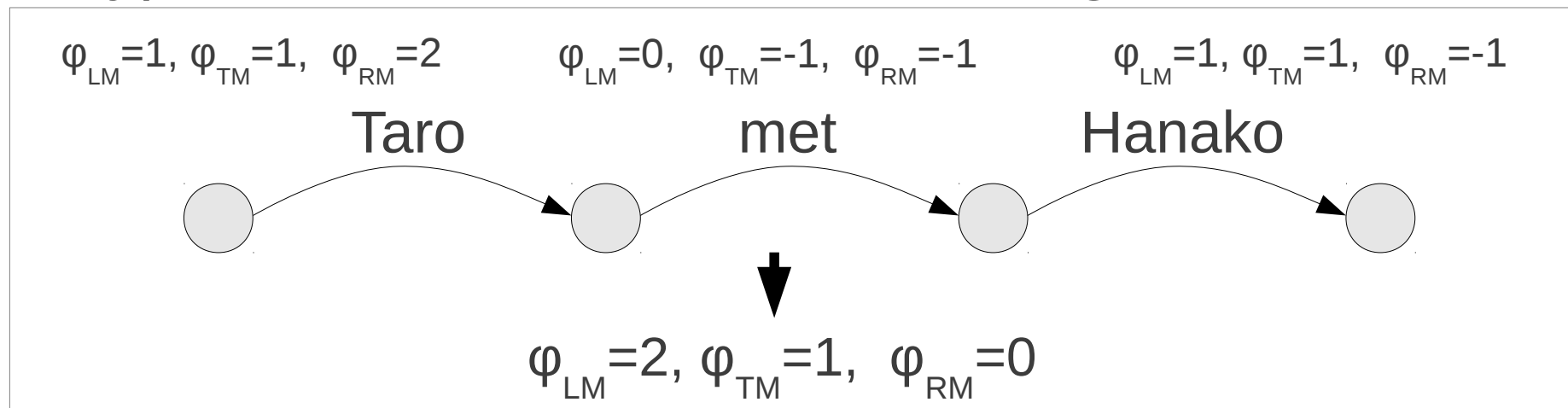
- MERT on lattices can solve the diversity problem

# Factoring Feature Functions


- Each edge in the lattice has a feature value:



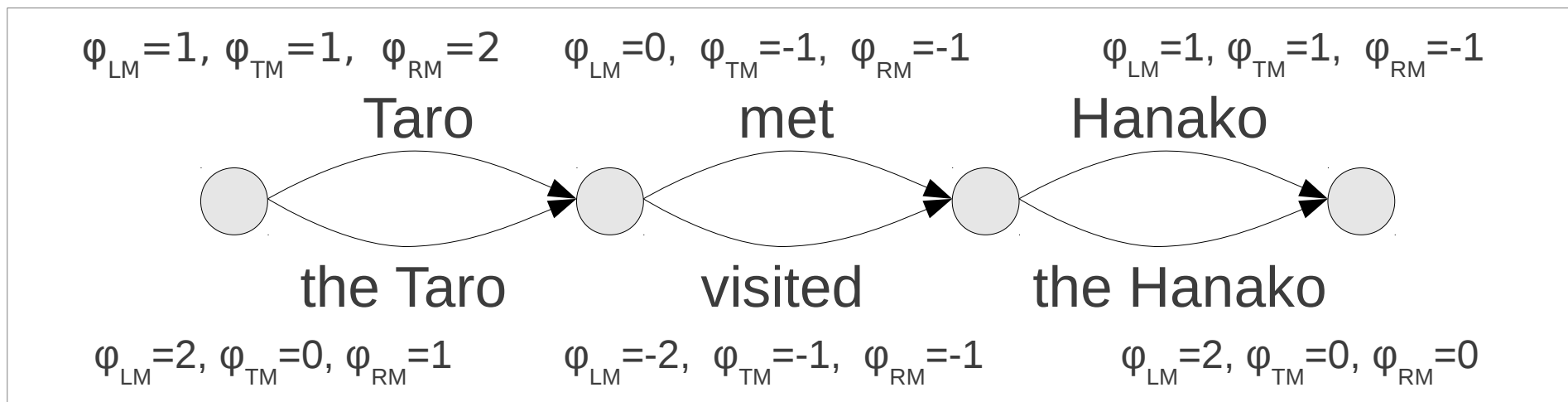
- Hypothesis's features are sum of edge features:



# MERT on Lattices:

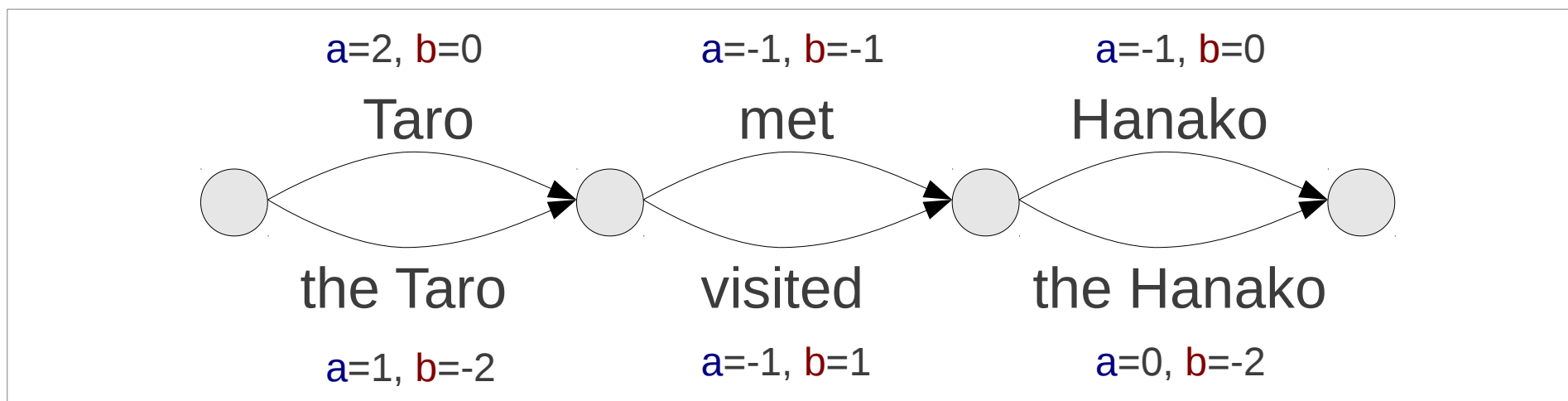
- For each sentence: **Only different part!!**
  - Transform each edge into lines 
  - Find the upper envelope for the lattice
  - Transform upper envelope into error surface
- Combine error surfaces into one
- Find the range with the highest score
- Set the weight to the middle of the range

# First, Transform each Edge into Lines



$$w_{LM}=-1, w_{TM}=1, w_{RM}=??? \quad \downarrow \quad y = ax + b$$

$$a = \phi_{RM} \quad b = w_{LM} \phi_{LM} + w_{TM} \phi_{TM}$$

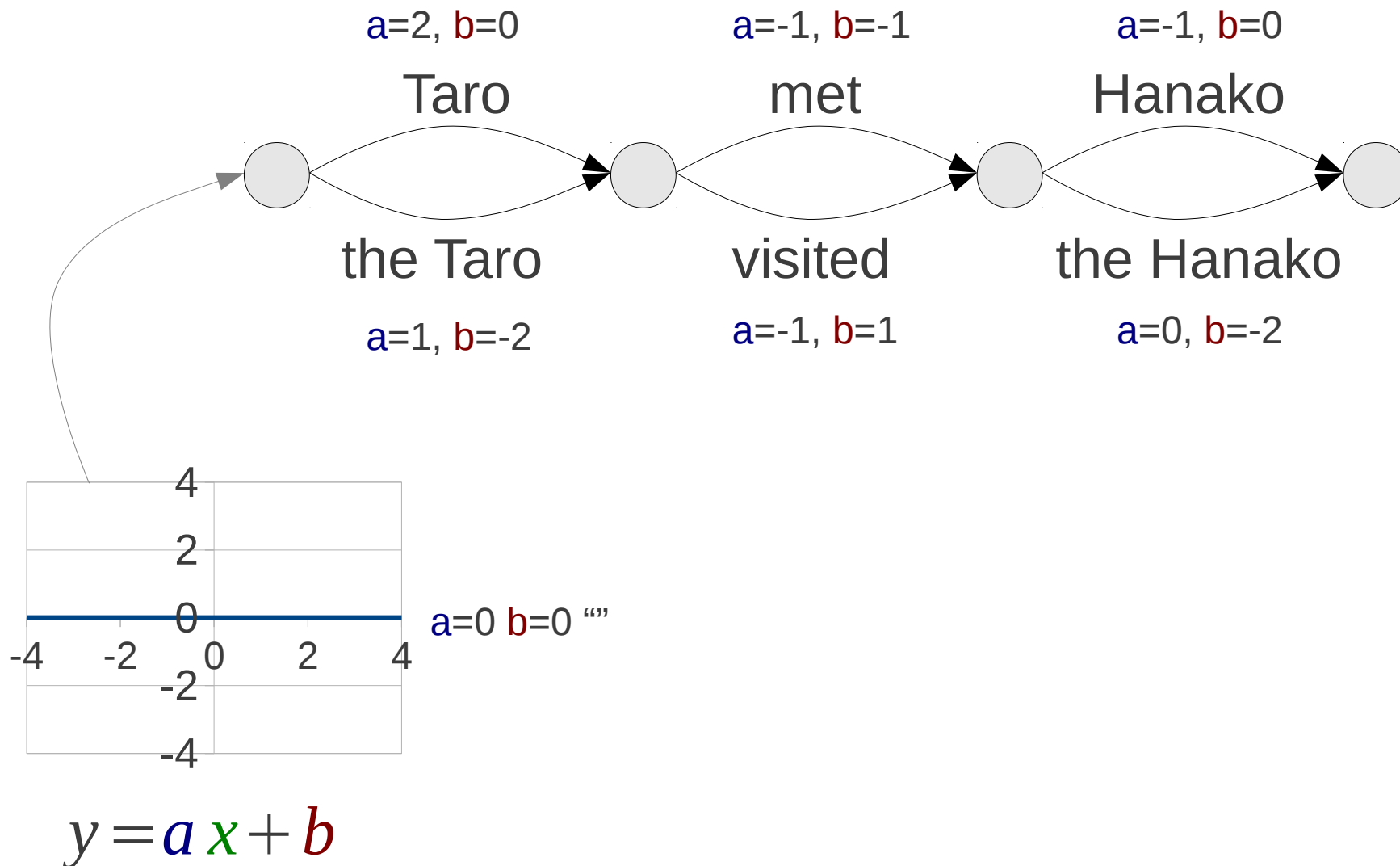




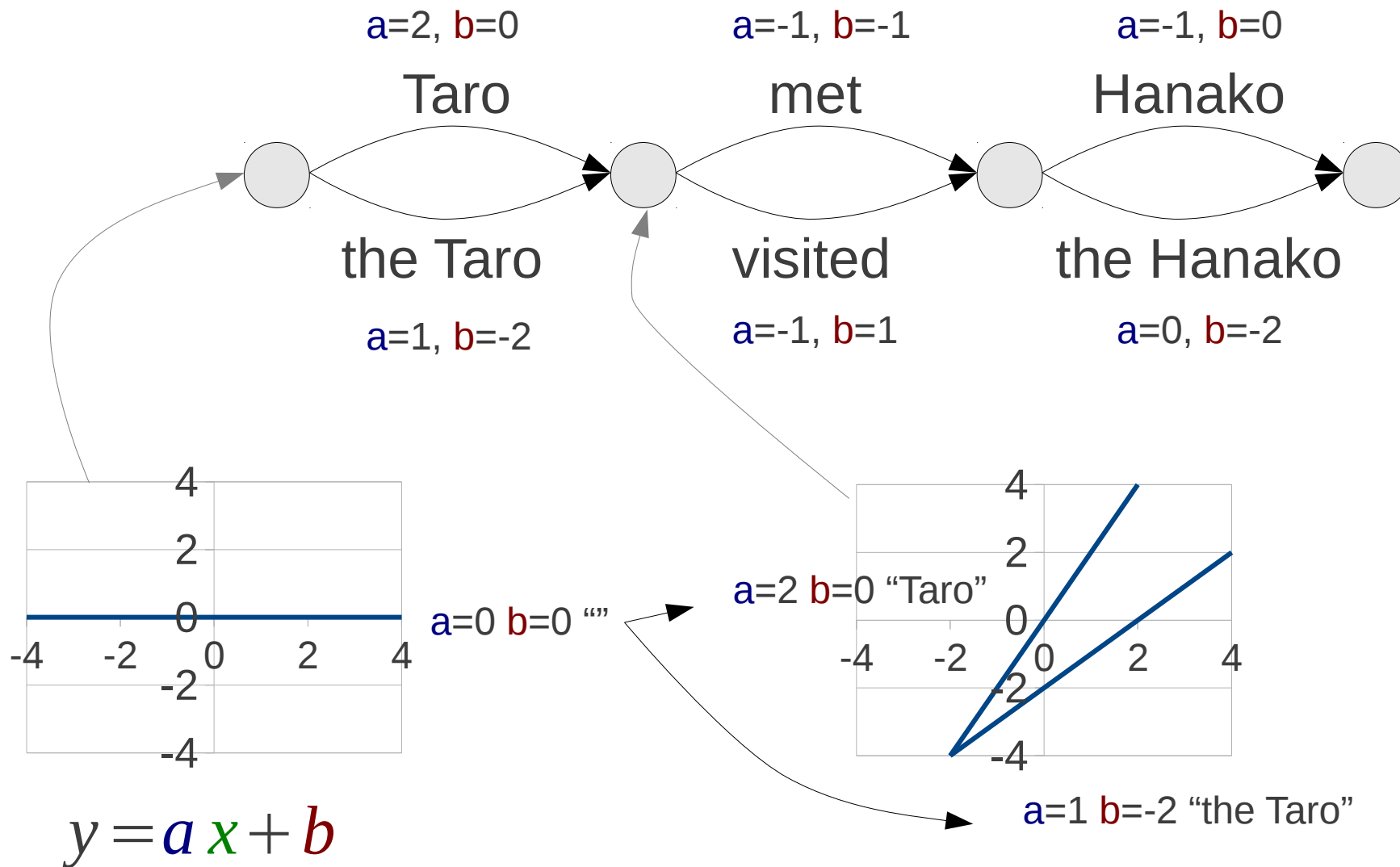
# Finding the Upper Envelope for Lattices:

- Can be done with dynamic programming
- 1) **Start with flat envelope** for initial node
- 2) Calculate upper envelope for **next node using previous nodes**

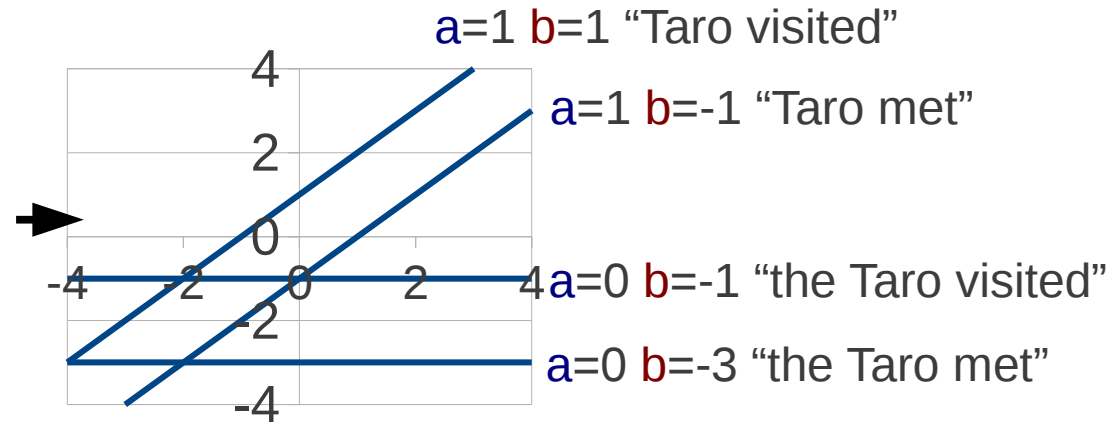
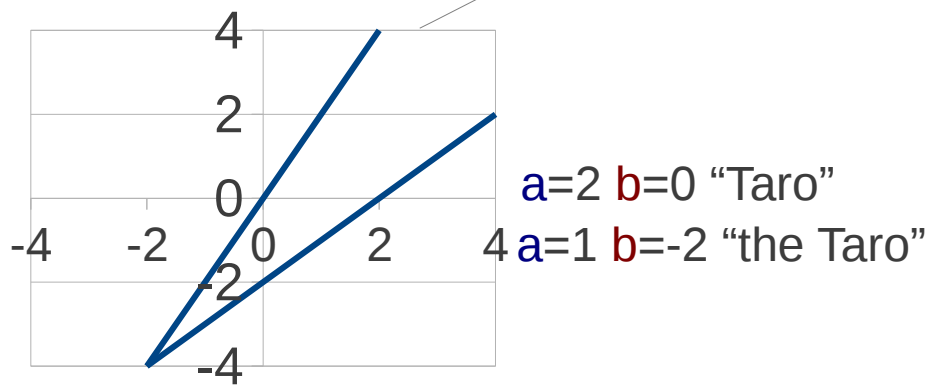
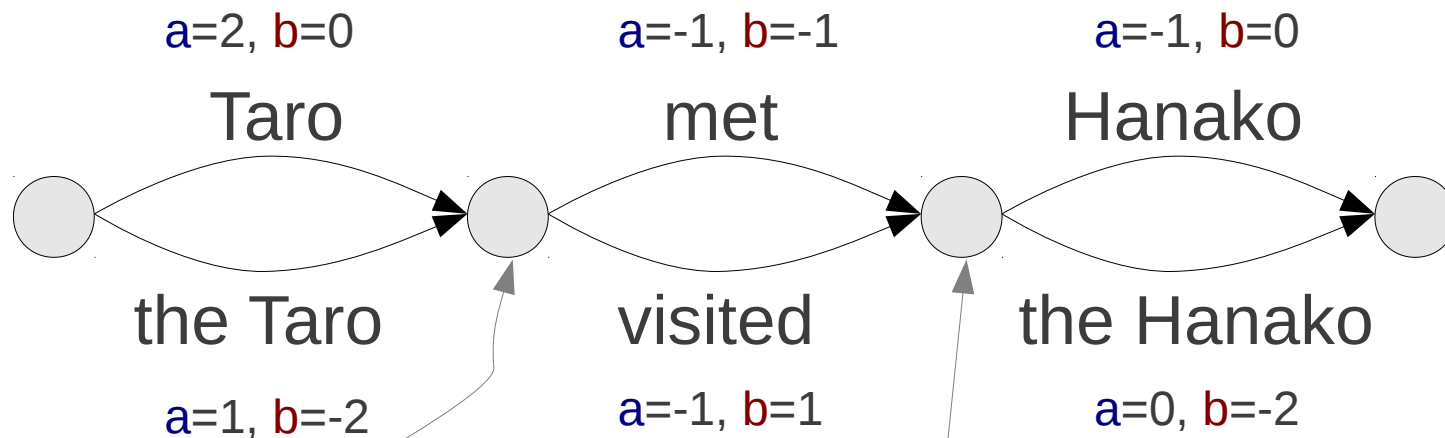
# Start with Flat Envelope



# Add First Node

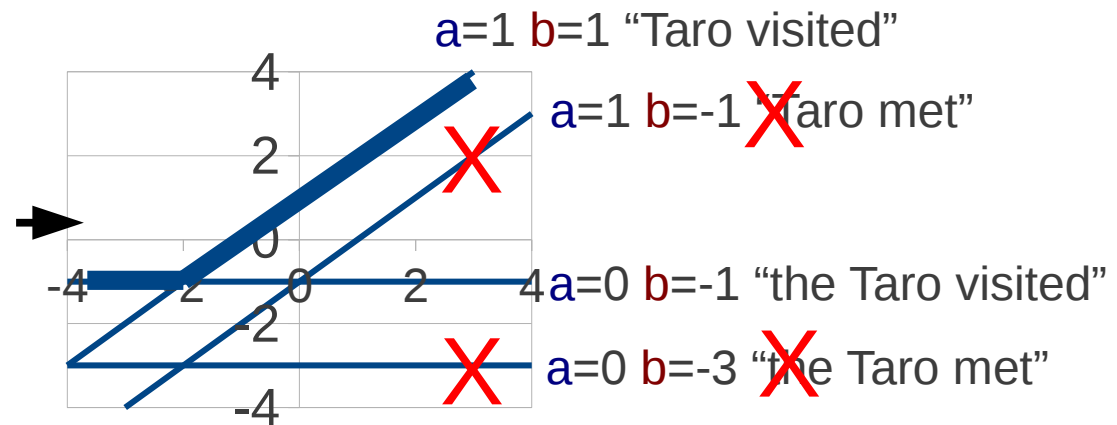
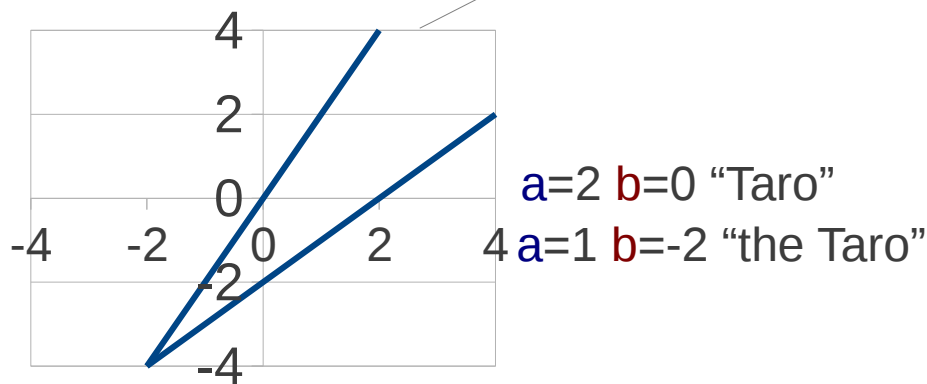
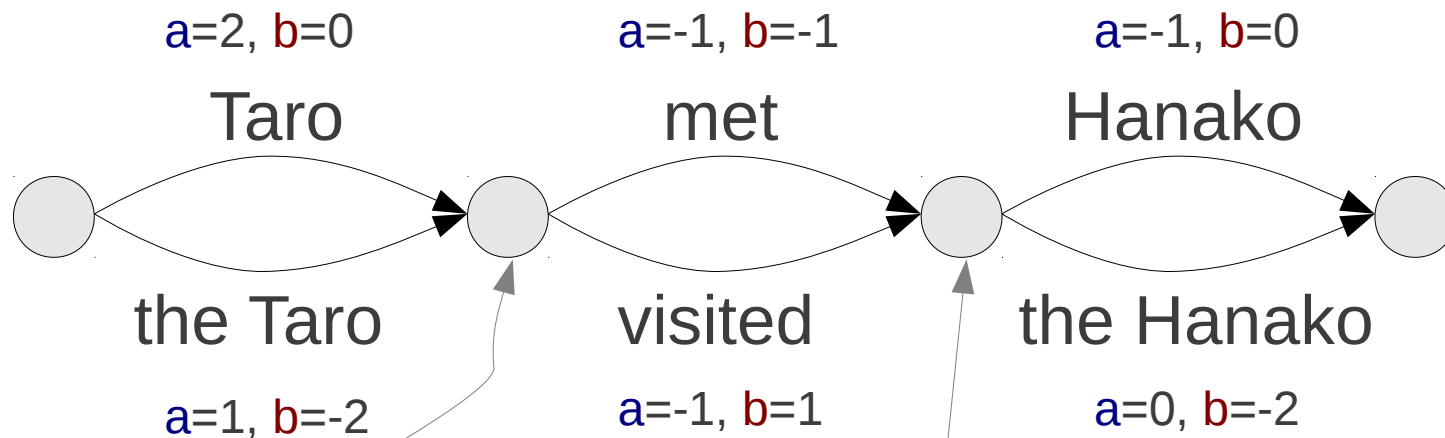


# Add Second



$$y = ax + b$$

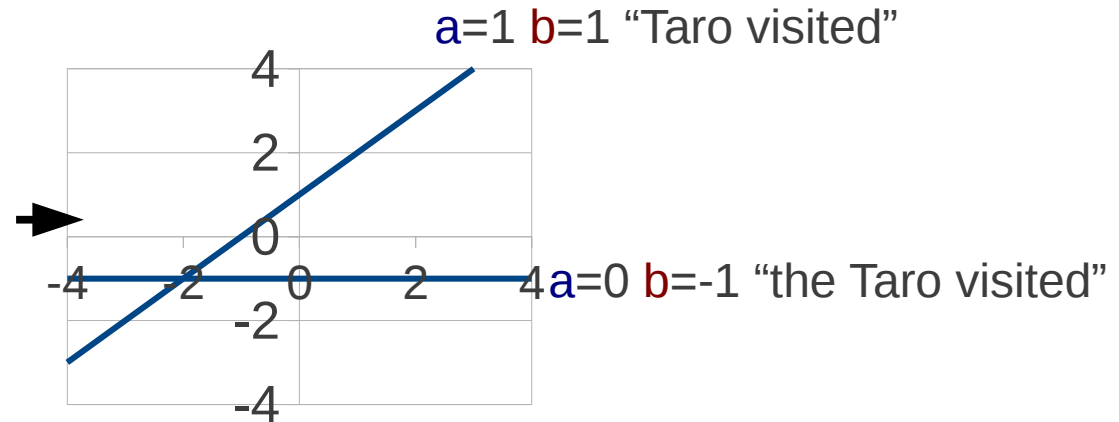
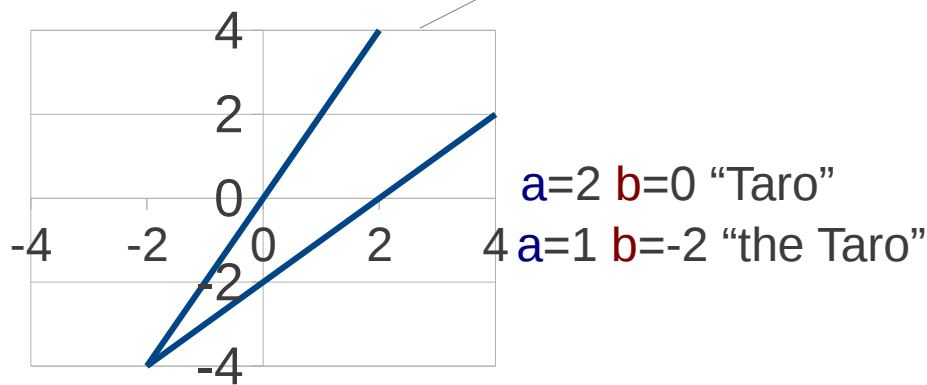
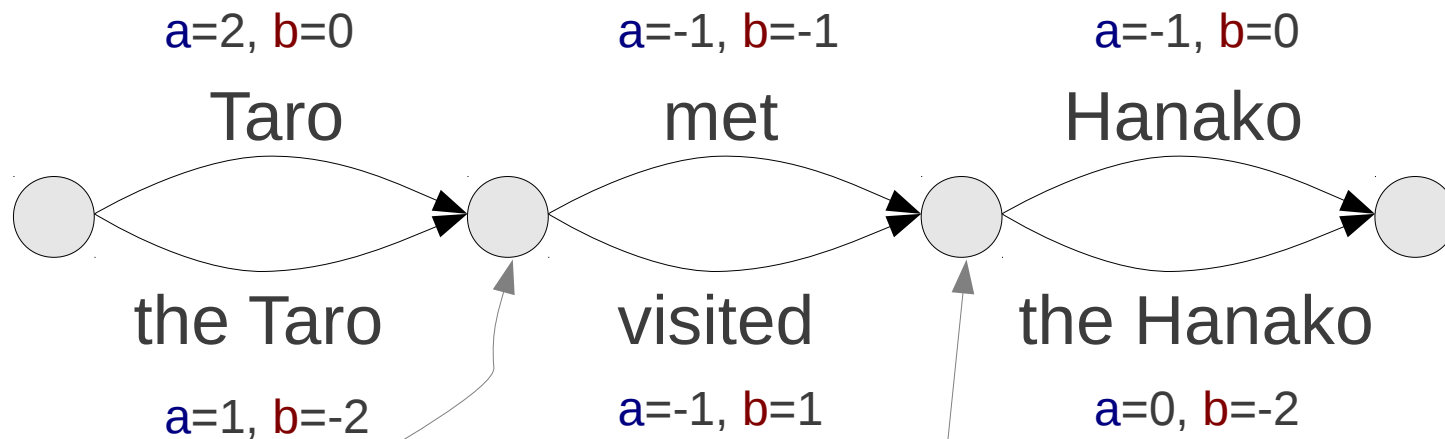
# Add Second



$$y = ax + b$$

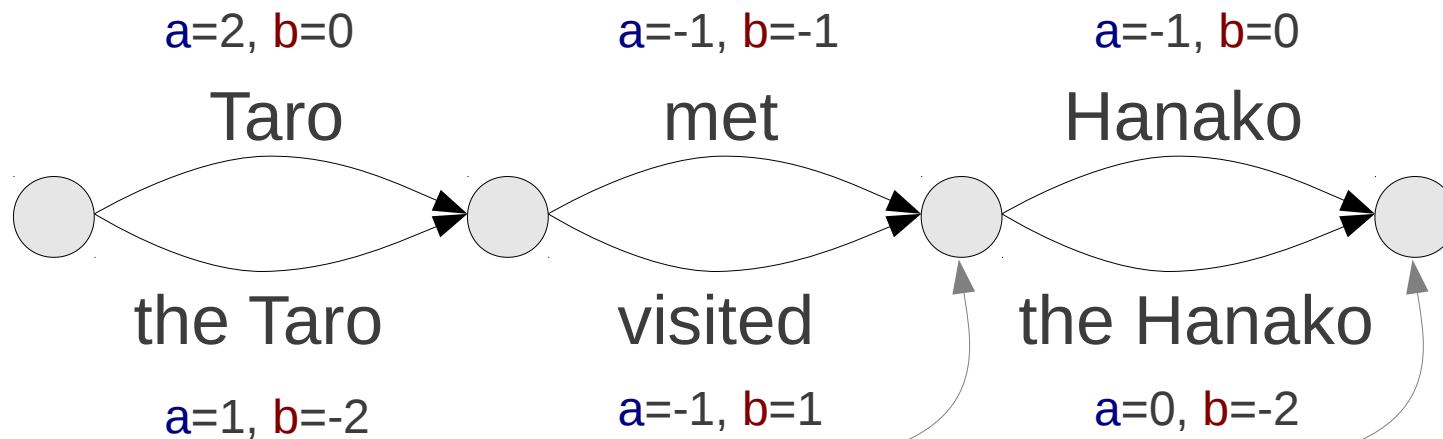
**Delete** all lines not in upper envelope

# Add Second



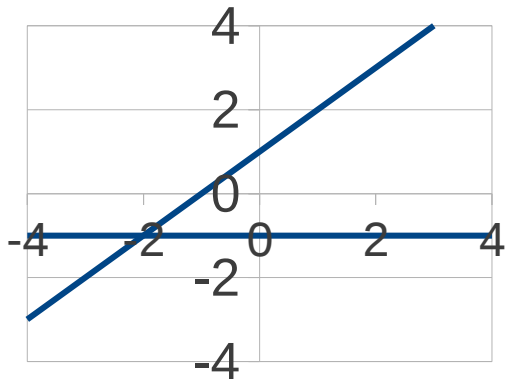
$$y = ax + b$$

# Add Third

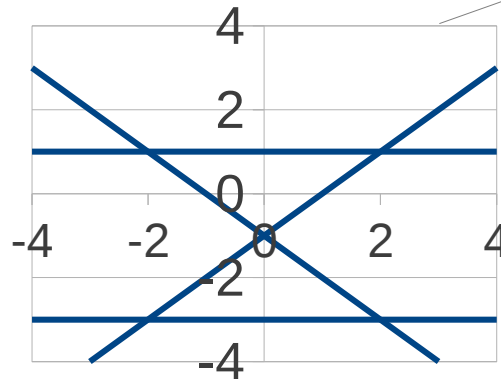


$a=1$   $b=1$  "Taro visited"

$a=0$   $b=-1$  "the Taro visited"



$$y = ax + b$$



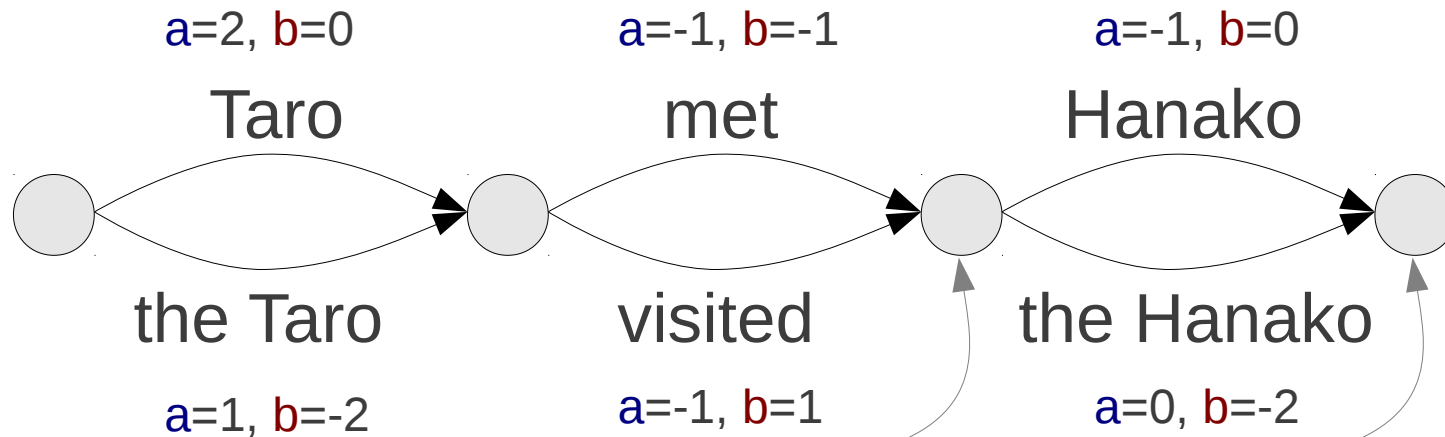
$a=1$   $b=-1$  "Taro visited the Hanako"

$a=0$   $b=1$  "Taro visited Hanako"

$a=0$   $b=-3$  "the Taro visited the Hanako"

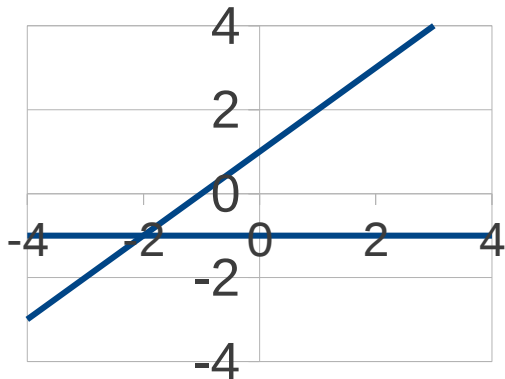
$a=-1$   $b=-1$  "the Taro visited Hanako"

# Add Third

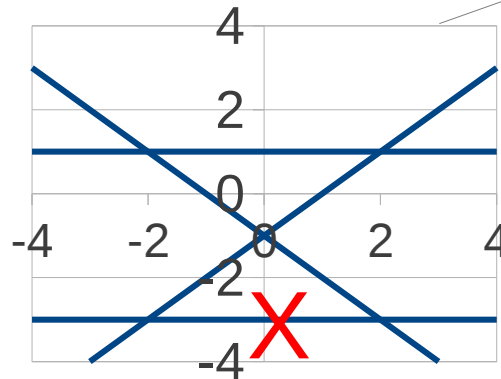


$a=1$   $b=1$  "Taro visited"

$a=0$   $b=-1$  "the Taro visited"



$$y = ax + b$$



$a=1$   $b=-1$  "Taro visited the Hanako"

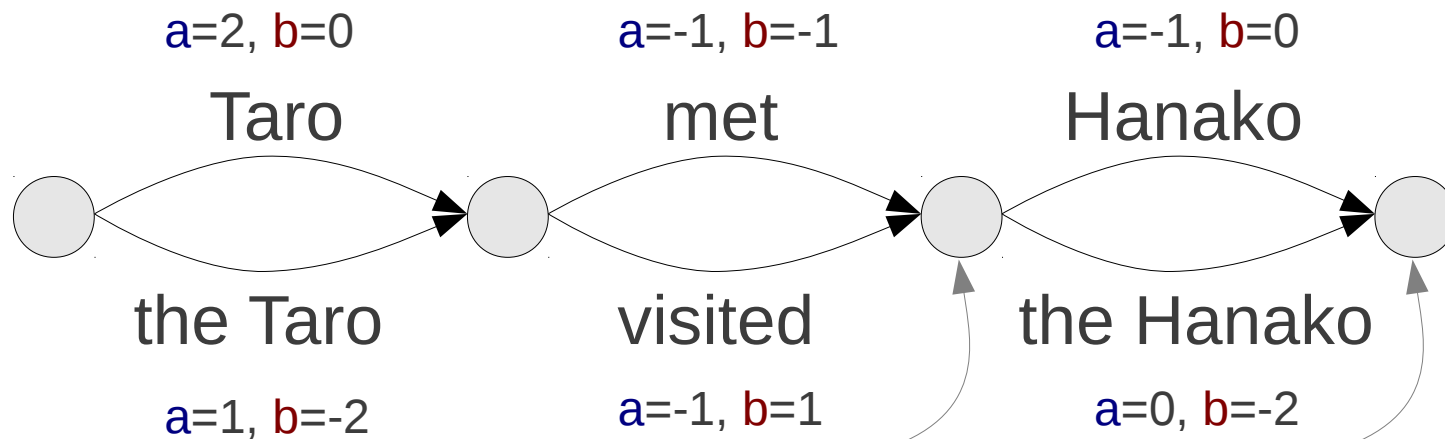
$a=0$   $b=1$  "Taro visited Hanako"

$a=0$   $b=-3$  "the Taro visited the Hanako" ~~X~~

$a=-1$   $b=-1$  "the Taro visited Hanako"

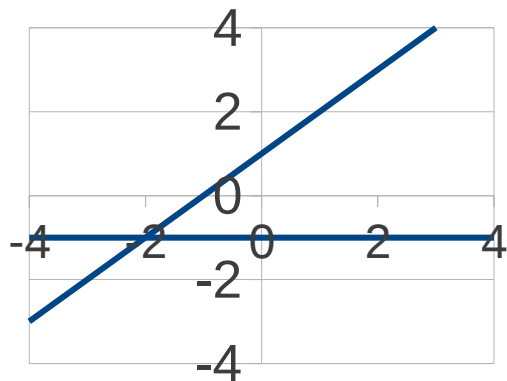


# Add Third

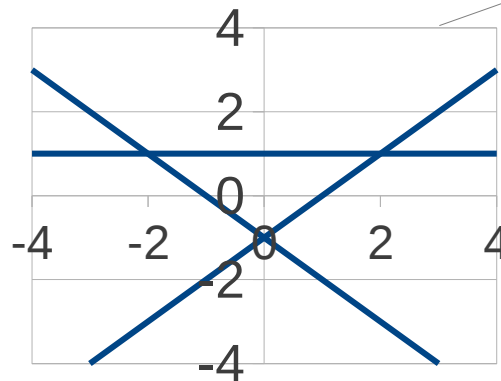


$a=1, b=1$  "Taro visited"

$a=0, b=-1$  "the Taro visited"



$$y = ax + b$$



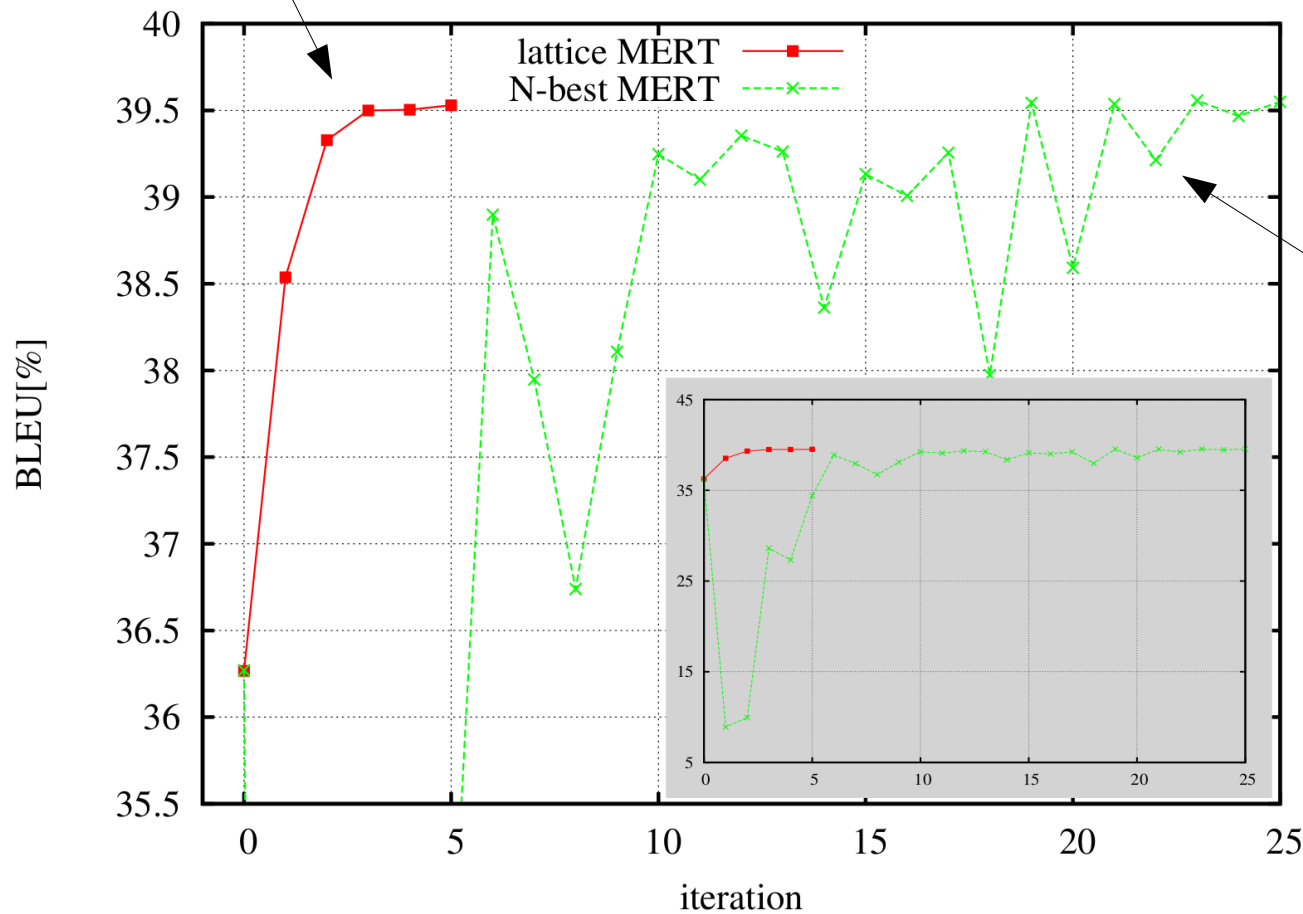
$a=1, b=-1$  "Taro visited the Hanako"

$a=0, b=1$  "Taro visited Hanako"

$a=-1, b=-1$  "the Taro visited Hanako"

# Improved Stability

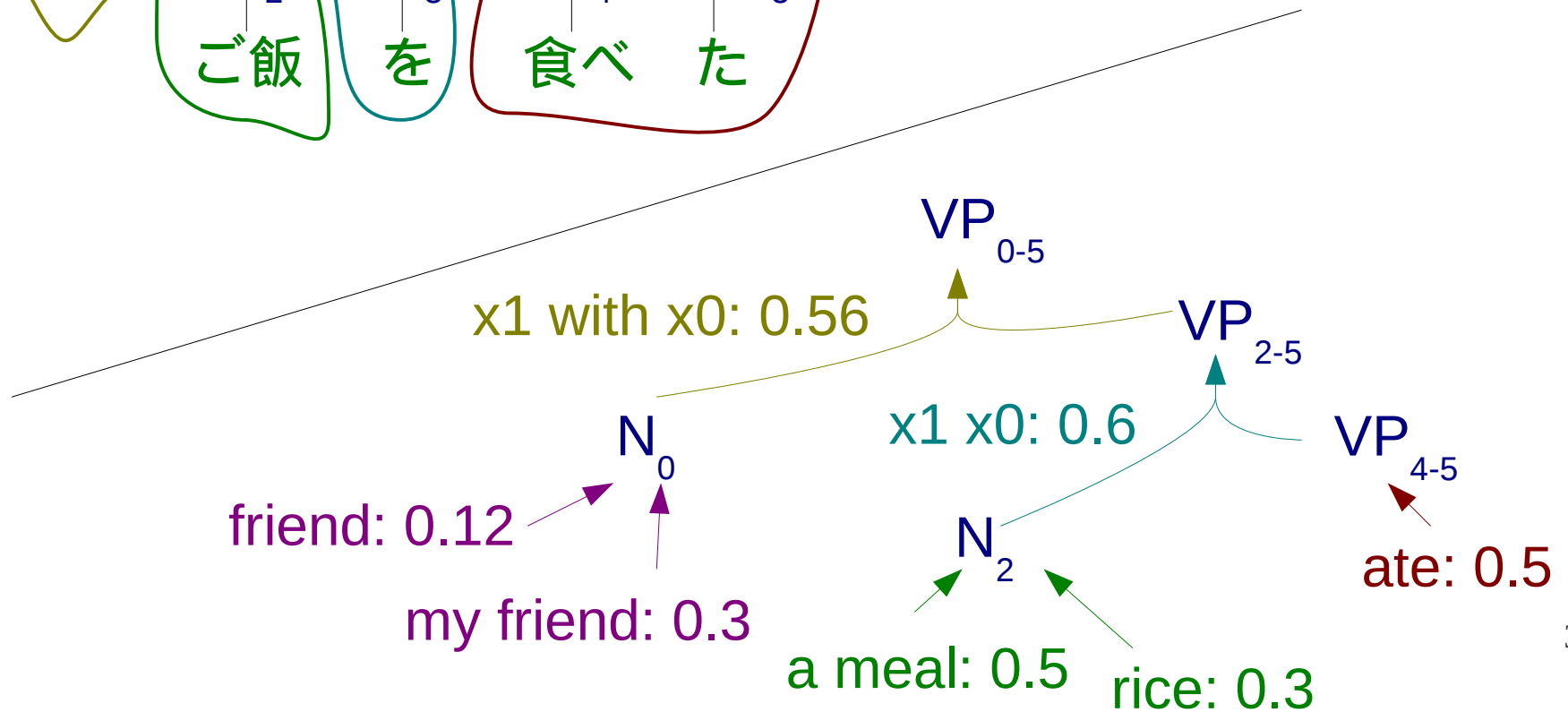
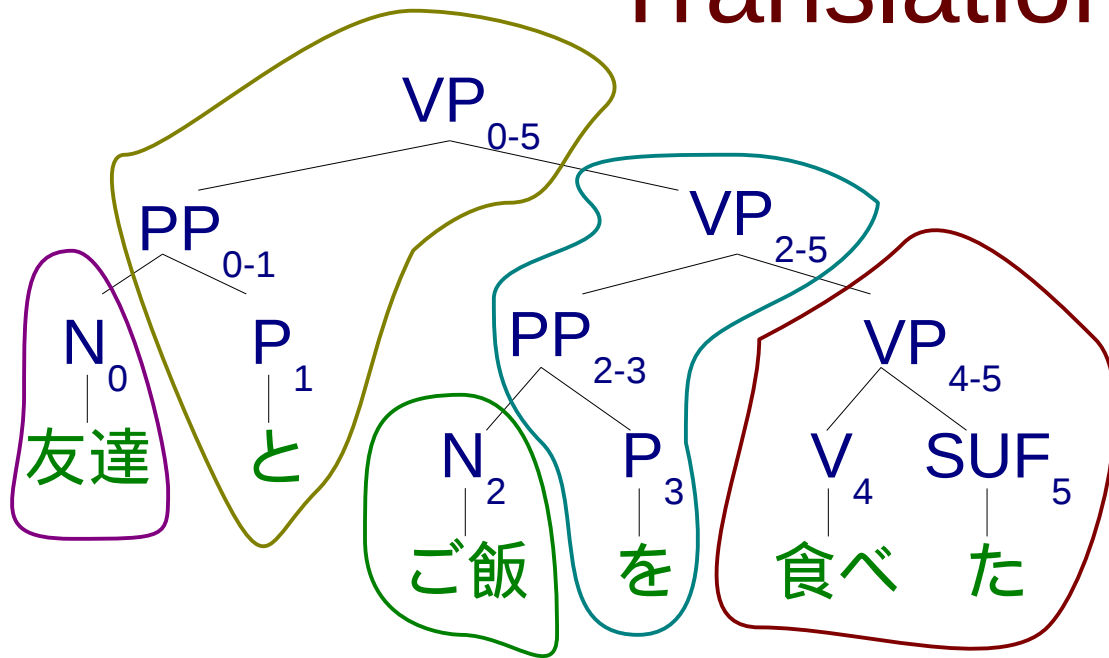
Lattice MERT  
in Red



Traditional MERT  
in Green

# Hypergraph MERT

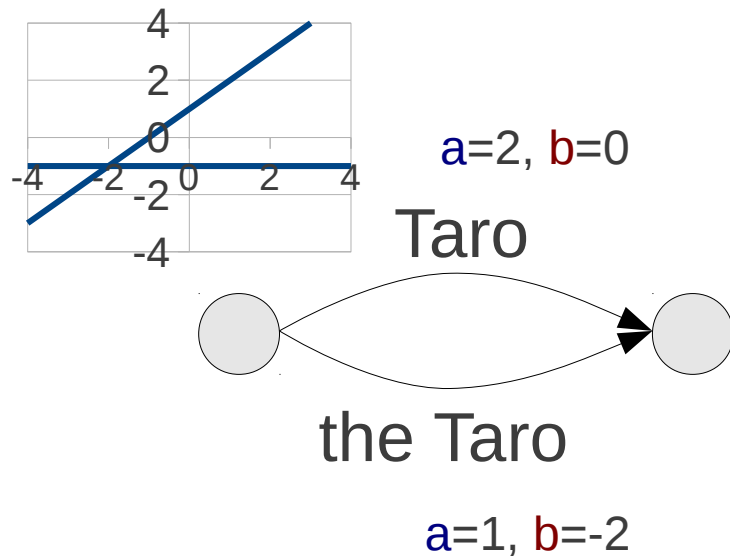
# Translation Hypergraph



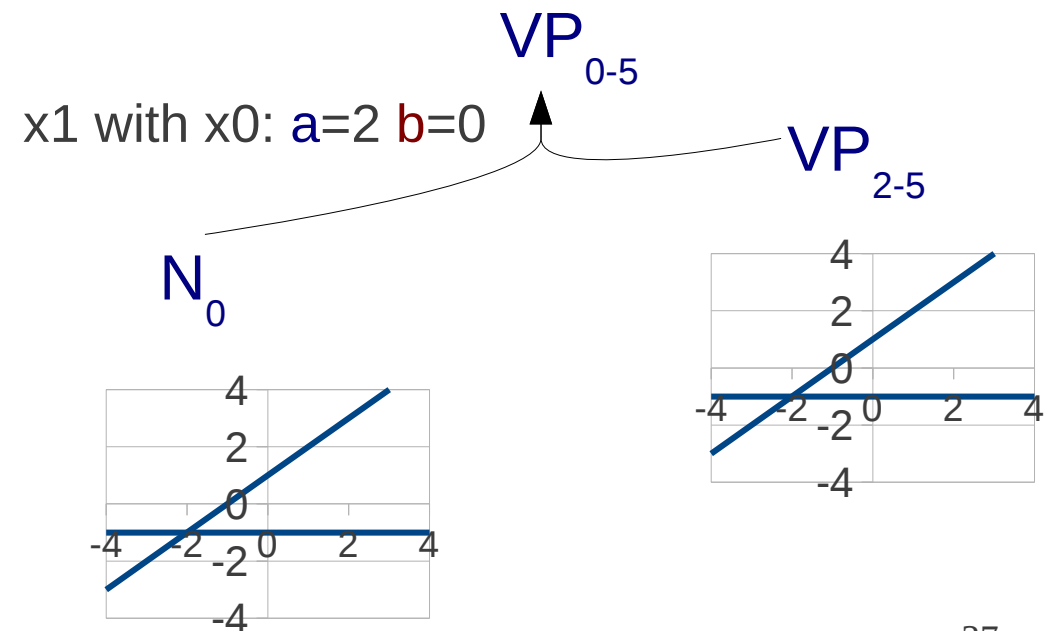
# Hypergraph MERT

- Almost exactly the same as lattice MERT

## Lattice MERT



## Hypergraph MERT



# Summary

# Summary

- n-best MERT is unstable because of lack of diversity in the n-best list
- This problem can be solved by lattice or hypergraph MERT
- Algorithm finds the upper envelope for each sentence efficiently using dynamic programming